

## PERMANENCE OF NUCLEAR DIMENSION FOR INCLUSIONS OF UNITAL $C^*$ -ALGEBRAS WITH THE ROKHLIN PROPERTY

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*Dedicated to the memory of Uffe Haagerup*

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ABSTRACT. Let  $P \subset A$  be an inclusion of unital  $C^*$ -algebras and  $E: A \rightarrow P$  be a faithful conditional expectation of index finite type. Suppose that  $E$  has the Rokhlin property. Then  $\text{dr}(P) \leq \text{dr}(A)$  and  $\dim_{\text{nuc}}(P) \leq \dim_{\text{nuc}}(A)$ . This can be applied to Rokhlin actions of finite groups. We also show that under the same above assumption if  $A$  is exact and pure, that is, the Cuntz semigroups  $W(A)$  has strict comparison and is almost divisible, then  $P$  and the basic construction  $C^*\langle A, e_P \rangle$  are also pure.

### 1. INTRODUCTION

The nuclear dimension of a  $C^*$ -algebra was introduced by Winter and Zacharias [31] as a noncommutative version of topological dimension, which is weaker than the decomposition rank introduced by Kirchberg and Winter [11]. The class of separable, simple, nuclear  $C^*$ -algebras with finite nuclear dimension accounts for, however, a large part of separable, simple, nuclear  $C^*$ -algebras covered by classification programs, in both stable finite and purely infinite cases [30]. Note that if a  $C^*$ -algebra  $A$  has finite decomposition rank, then  $A$  should be stably finite.

A  $C^*$ -algebra  $A$  is said to be pure if it has strict comparison of positive elements and an almost divisible Cuntz semigroup  $W(A)$ . Here, the Cuntz semigroup

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$W(A)$  is said to be almost divisible if, for any positive contraction  $a \in M_\infty(A)$  and  $0 \neq k \in \mathbb{N}$ , there is  $x \in W(A)$  such that  $k \cdot x \leq \langle a \rangle \leq (k+1) \cdot x$ . Winter [30] showed that a separable, simple, unital nonelementary  $C^*$ -algebra with finite nuclear dimension is  $\mathcal{Z}$ -stable, that is, absorbs the Jiang-Su algebra  $\mathcal{Z}$  tensorially. Note that the Jiang-Su algebra  $\mathcal{Z}$  plays a crucial role in the Elliott conjecture of the classification of separable, simple, unital, nuclear  $C^*$ -algebras. Indeed, very recently the classification theory of separable, simple, unital, nuclear,  $\mathcal{Z}$ -absorbing  $C^*$ -algebras has been completed by Gong-Lin-Nu [5], Elliott-Gong-Lin-Niu [4], and Tikuisis-White-Winter [26].

In this paper, we first consider the local  $\mathcal{C}$ -property for separable unital  $C^*$ -algebras in the sense of Osaka and Phillips [15] and show that, when  $A$  is a local  $\mathcal{C}_n$  (respectively,  $\mathcal{C}_{\text{nuc}_n}$ ), separable unital  $C^*$ -algebra and  $\alpha$  is an action of a finite group  $G$  on  $A$ , if  $\alpha$  has the Rokhlin property in the sense of Izumi [7], then the crossed product algebra  $A \rtimes_\alpha G$  belongs to  $\mathcal{C}_n$  (respectively,  $\mathcal{C}_{\text{nuc}_n}$ ). This is a partial answer to Problem 9.4 in [31]. We note that the Rokhlin property for an action is essential in the estimate of the nuclear dimension of the crossed product algebra by that of a given  $C^*$ -algebra, because there is the symmetry  $\alpha$  on the CAR algebra  $\mathcal{U}$  without the Rokhlin property such that  $\dim_{\text{nuc}}(\mathcal{U} \rtimes_\alpha \mathbb{Z}/2\mathbb{Z}) \neq 0$  (see Remark 3.3).

In Section 3, we extend the above observation for crossed product algebras to inclusions of unital  $C^*$ -algebra of index finite type. Let  $P \subset A$  be an inclusion of separable unital  $C^*$ -algebras of index finite type in the sense of Watatani [28] and let a faithful conditional expectation  $E: A \rightarrow P$  have the Rokhlin property in the sense of Kodaka, Osaka, and Teruya [12]; then  $P$  belongs to  $\mathcal{C}_n$  (respectively,  $\mathcal{C}_{\text{nuc}_n}$ ) when  $A$  is a local  $\mathcal{C}_n$  (respectively,  $\mathcal{C}_{\text{nuc}_n}$ ), unital  $C^*$ -algebra.

In Section 4, we investigate the permanence property of inclusions with the Rokhlin property with respect to the strict comparison property. We show that under the assumption that an inclusion  $P \subset A$  is of index finite type and  $E: A \rightarrow P$  has the Rokhlin property, if  $A$  is a unital exact  $C^*$ -algebra that has strict comparison, then  $P$  and the basic construction  $C^*\langle A, e_P \rangle$  have strict comparison. We need the exactness because in this case strict comparison is equivalent to, for  $x$  and  $y$  in  $W(A)$ ,  $x \leq y$  if  $d_\tau(x) \leq d_\tau(y)$  for all tracial states  $\tau$  in  $A$ , where the function  $d_\tau$  is the dimension function on  $A$  induced by a trace  $\tau$ , that is,  $d_\tau(a) = \lim_{n \rightarrow \infty} \tau(a^{\frac{1}{n}})$  for  $a \in M_\infty(A)^+$ .

When  $A$  has stable rank one, the condition that  $A$  has an almost divisible Cuntz semigroup is equivalent to there being a unital  $*$ -homomorphism from a dimension drop  $C^*$ -algebra  $Z_{n,n+1} = \{f \in C([0, 1], M_n \otimes M_{n+1}) \mid f(0) \in M_n \otimes \mathbb{C} \text{ and } f(1) \in \mathbb{C} \otimes M_{n+1}\}$  by [23, Proposition 2.4]. Note that the Jiang-Su algebra  $\mathcal{Z}$  can be constructed as the inductive limit of the sequence of such dimension  $C^*$ -algebras [8]. Then we show that, when  $A$  is a unital  $C^*$ -algebra of stable rank one, if  $A$  has an almost divisible Cuntz semigroup, then  $P$  and  $C^*\langle A, e_P \rangle$  have an almost divisible Cuntz semigroup. Therefore, if  $A$  is a separable, unital, exact, pure  $C^*$ -algebra of stable rank one, then  $P$  and  $C^*\langle A, e_P \rangle$  are pure in the sense of Winter [30]. We stress that we do not need the simplicity of  $A$  and  $P$ .

The first draft of this paper was posted on the arXiv in 2011 (arXiv.1111.1808 v.1). Very recently, Nawata [14] and Santiago [24] studied the general Rokhlin property for actions on projectionless  $C^*$ -algebras and Barlak and Szabo [3] defined the sequential split  $*$ -homomorphism, and they pointed out that the inclusion  $*$ -homomorphism from  $P$  into  $A$  is sequentially split using the inclusion map  $A \rightarrow P^\infty$  in [12, 5.1]. However, the results presented here are of significance.

## 2. PRELIMINARIES

In this section, we recall the finitely saturated property and local  $\mathcal{C}$ -property. If we consider the class of unital  $C^*$ -algebras with finite decomposable rank (respectively, finite nuclear dimension), we can show that they are finitely saturated. We also recall  $C^*$ -index theory and present the relevant basic facts.

**2.1. Local  $\mathcal{C}$ -property and nuclear dimension.** First we recall the definition of the finitely saturated property in [15].

**Definition 2.1.** Let  $\mathcal{C}$  be a class of separable unital  $C^*$ -algebras. Then  $\mathcal{C}$  is *finitely saturated* if the following closure conditions hold:

- (1) If  $A \in \mathcal{C}$  and  $B \cong A$ , then  $B \in \mathcal{C}$ .
- (2) If  $A_1, A_2, \dots, A_n \in \mathcal{C}$ , then  $\bigoplus_{k=1}^n A_k \in \mathcal{C}$ .
- (3) If  $A \in \mathcal{C}$  and  $n \in \mathbb{N}$ , then  $M_n(A) \in \mathcal{C}$ .
- (4) If  $A \in \mathcal{C}$  and  $p \in A$  is a nonzero projection, then  $pAp \in \mathcal{C}$ .

Moreover, the *finite saturation* of a class  $\mathcal{C}$  is the smallest finitely saturated class that contains  $\mathcal{C}$ .

We recall the definition of the local  $\mathcal{C}$ -property in [15].

**Definition 2.2.** Let  $\mathcal{C}$  be a class of separable unital  $C^*$ -algebras. A *unital local  $\mathcal{C}$ -algebra* is a separable unital  $C^*$ -algebra  $A$  such that for every finite set  $S \subset A$  and every  $\varepsilon > 0$  there is a  $C^*$ -algebra  $B$  in the finite saturation of  $\mathcal{C}$  and a unital  $*$ -homomorphism  $\varphi: B \rightarrow A$  (not necessarily injective) such that  $\text{dist}(a, \varphi(B)) < \varepsilon$  for all  $a \in S$ . If one can always choose  $B \in \mathcal{C}$ , rather than merely in its finite saturation, we call  $A$  a unital strong local  $\mathcal{C}$ -algebra.

If  $\mathcal{C}$  is the set of unital  $C^*$ -algebras  $A$  with  $\text{dr}A < \infty$  (respectively,  $\text{dim}_{\text{nuc}} A < \infty$ ) in the sense of Winter, then any local  $\mathcal{C}$ -algebra belongs to  $\mathcal{C}$ . (See Proposition 2.4.)

First, we recall the definition of the covering dimension for nuclear  $C^*$ -algebras:

**Definition 2.3.** [29, 31] Let  $A$  be a separable  $C^*$ -algebra.

- (1) A completely positive map  $\varphi: \bigoplus_{i=1}^s M_{r_i} \rightarrow A$  has order zero if it preserves orthogonality, that is,  $\varphi(e)\varphi(f) = \varphi(f)\varphi(e) = 0$  for  $e, f \in \bigoplus_{i=1}^s M_{r_i}$  with  $ef = fe = 0$ .
- (2) A completely positive map  $\varphi: \bigoplus_{i=1}^s M_{r_i} \rightarrow A$  is  $n$ -decomposable if there is a decomposition  $\{1, \dots, s\} = \coprod_{j=0}^n I_j$  such that the restriction  $\varphi^{(j)}$  of  $\varphi$  to  $\bigoplus_{i \in I_j} M_{r_i}$  has order zero for each  $j \in \{0, \dots, n\}$ .

- (3)  $A$  has decomposition rank  $n$ ,  $\text{dr}A = n$ , if  $n$  is the least integer such that the following holds: Given  $\{a_1, \dots, a_m\} \subset A$  and  $\varepsilon > 0$ , there is a completely positive approximation  $(F, \psi, \varphi)$  for  $a_1, \dots, a_m$  within  $\varepsilon$ , i.e.,  $F$  is a finite-dimensional  $C^*$ -algebra, and  $\psi: A \rightarrow F$  and  $\varphi: F \rightarrow A$  are completely positive contractions such that
- (a)  $\|\varphi\psi(a_i) - a_i\| < \varepsilon$  and
  - (b)  $\varphi$  is  $n$ -decomposable.
- If no such  $n$  exists, we write  $\text{dr}A = \infty$ .
- (4)  $A$  has nuclear dimension  $n$ ,  $\dim_{\text{nuc}} A = n$ , if  $n$  is the least integer such that the following holds: Given  $\{a_1, \dots, a_m\} \subset A$  and  $\varepsilon > 0$ , there is a completely positive approximation  $(F, \psi, \varphi)$  for  $a_1, \dots, a_m$  within  $\varepsilon$ , i.e.,  $F$  is a finite-dimensional  $C^*$ -algebra, and  $\psi: A \rightarrow F$  and  $\varphi: F \rightarrow A$  are completely positive such that
- (a)  $\|\varphi\psi(a_i) - a_i\| < \varepsilon$ ,
  - (b)  $\|\psi\| \leq 1$ , and
  - (c)  $\varphi$  is  $n$ -decomposable, and each restriction  $\varphi|_{\oplus_{i \in I_j} M_{r_i}}$  is completely positive contractive.
- If no such  $n$  exists, we write  $\dim_{\text{nuc}} A = \infty$ .

**Proposition 2.4.** *For each  $n \in \mathbb{N} \cup \{0\}$ , let  $\mathcal{C}_n$  be the set of unital  $C^*$ -algebras  $A$  with  $\text{dr}A \leq n$  and  $\mathcal{C}_{\text{nuc}_n}$  be the set of unital  $C^*$ -algebras  $A$  with  $\dim_{\text{nuc}} A \leq n$ . Then both  $\mathcal{C}_n$  and  $\mathcal{C}_{\text{nuc}_n}$  are finitely saturated.*

*Proof.* By [11, Remark 3.2 (iii): (3.1)–(3.3), Proposition 3.8, and Corollary 3.9], we know that  $\mathcal{C}_n$  is finitely saturated.

Similarly, it follows from [31, Propostion 2.3 and Corollary 2.8] that  $\mathcal{C}_{\text{nuc}_n}$  is finitely saturated.  $\square$

**2.2.  $C^*$ -index theory.** We recall an index in terms of a quasi-basis following Watatani [28].

**Definition 2.5.** Let  $A \supset P$  be an inclusion of unital  $C^*$ -algebras with a conditional expectation  $E$  from  $A$  onto  $P$ .

- (1) A *quasi-basis* for  $E$  is a finite set  $\{(u_i, v_i)\}_{i=1}^n \subset A \times A$  such that, for every  $a \in A$ ,

$$a = \sum_{i=1}^n u_i E(v_i a) = \sum_{i=1}^n E(a u_i) v_i.$$

- (2) When  $\{(u_i, v_i)\}_{i=1}^n$  is a quasi-basis for  $E$ , we define  $\text{Index}E$  by

$$\text{Index}E = \sum_{i=1}^n u_i v_i.$$

When there is no quasi-basis, we write  $\text{Index}E = \infty$ .  $\text{Index}E$  is called the Watatani index of  $E$ .

*Remark 2.6.* We give several remarks about the above definitions.

- (1)  $\text{Index}E$  does not depend on the choice of the quasi-basis in the above formula, and it is a central element of  $A$  [28, Proposition 1.2.8].
- (2) Once we know that there exists a quasi-basis, we can choose one of the form  $\{(w_i, w_i^*)\}_{i=1}^m$ , which shows that  $\text{Index}E$  is a positive element [28, Lemma 2.1.6].
- (3) By the above statements, if  $A$  is a simple  $C^*$ -algebra, then  $\text{Index}E$  is a positive scalar.
- (4) If  $\text{Index}E < \infty$ , then  $E$  is faithful, i.e.,  $E(x^*x) = 0$  implies  $x = 0$  for  $x \in A$ .

*Remark 2.7.* As in the same argument in [25] we have an example of inclusion of  $C^*$ -algebras that do not arise as  $C^*$ -crossed products. That is, let  $\alpha$  be an outer action of a finite group  $G$  on a simple  $C^*$ -algebra  $A$  and let  $H$  be a non-normal subgroup of  $G$ . Then an inclusion  $A^G \subset A^H$  does not arise as a  $C^*$ -crossed product.

*Remark 2.8.* Let  $P \subset A$  be an inclusion of unital  $C^*$ -algebras and let  $E: A \rightarrow P$  be of index finite type. As shown in [16] and the following sections, we know that several local properties (stable rank one, real rank zero, AF, AI, AT, the order of projections over  $A$  determined by traces, and  $\mathcal{D}$ -absorbing) of  $A$  are inherited by  $P$  when  $E$  has the Rokhlin property. The converse, however, is not true. Indeed, there is an example of an inclusion of  $C^*$ -algebras  $A^{\mathbb{Z}/2\mathbb{Z}} \subset A$  such that a conditional expectation  $E: A \rightarrow A^{\mathbb{Z}/2\mathbb{Z}}$  is of index finite type and has the Rokhlin property, and  $A^{\mathbb{Z}/2\mathbb{Z}}$  is the CAR algebra, but  $A$  is not an AF  $C^*$ -algebra.

Let  $\alpha$  be the symmetry on the CAR algebra  $\mathcal{U}$  constructed by Blackadar [1] such that  $\mathcal{U}^{\mathbb{Z}/2\mathbb{Z}}$  is not an AF  $C^*$ -algebra. Then  $\alpha$  does not have the Rokhlin property. Indeed, this actually has the tracial Rokhlin property. (See the definition in [18].) However, its dual action  $\hat{\alpha}$  has the Rokhlin property by [19, Proposition 3.5]. Set  $P = \mathcal{U}$  and  $A = \mathcal{U} \rtimes_{\alpha} \mathbb{Z}/2\mathbb{Z}$ . Then  $A$  is not an AF  $C^*$ -algebra, because  $A$  and  $\mathcal{U}^{\mathbb{Z}/2\mathbb{Z}}$  are stably isomorphic. Since  $P = A^{\hat{\alpha}}$  and  $\hat{\alpha}$  has the Rokhlin property, the canonical conditional expectation  $E: A \rightarrow P$  is of index finite type and has the Rokhlin property by [12].

Let  $\mathcal{C}$  be the set of all finite-dimensional  $C^*$ -algebras. Then since  $P$  is an AF  $C^*$ -algebra, we know that  $P$  is a local  $\mathcal{C}$ -algebra. However, obviously  $A$  is not a local  $\mathcal{C}$ -algebra.

**2.3. Rokhlin property for an inclusion of unital  $C^*$ -algebras.** For a  $C^*$ -algebra  $A$ , we set

$$\begin{aligned} c_0(A) &= \{(a_n) \in l^\infty(\mathbb{N}, A) : \lim_{n \rightarrow \infty} \|a_n\| = 0\}, \\ A^\infty &= l^\infty(\mathbb{N}, A)/c_0(A). \end{aligned}$$

We identify  $A$  with the  $C^*$ -subalgebra of  $A^\infty$  consisting of the equivalence classes of constant sequences and set

$$A_\infty = A^\infty \cap A'.$$

For an automorphism  $\alpha \in \text{Aut}(A)$ , we denote by  $\alpha^\infty$  and  $\alpha_\infty$  the automorphisms of  $A^\infty$  and  $A_\infty$  induced by  $\alpha$ , respectively.

Izumi defined the Rokhlin property for a finite group action in [7, Definition 3.1] as follows:

**Definition 2.9.** Let  $\alpha$  be an action of a finite group  $G$  on a unital  $C^*$ -algebra  $A$ .  $\alpha$  is said to have the *Rokhlin property* if there exists a partition of unity  $\{e_g\}_{g \in G} \subset A_\infty$  consisting of projections satisfying

$$(\alpha_g)_\infty(e_h) = e_{gh} \quad \text{for } g, h \in G.$$

We call  $\{e_g\}_{g \in G}$  Rokhlin projections.

Let  $A \supset P$  be an inclusion of unital  $C^*$ -algebras. For a conditional expectation  $E$  from  $A$  onto  $P$ , we denote by  $E^\infty$  the natural conditional expectation from  $A^\infty$  onto  $P^\infty$  induced by  $E$ . If  $E$  has a finite index with a quasi-basis  $\{(u_i, v_i)\}_{i=1}^n$ , then  $E^\infty$  also has a finite index with a quasi-basis  $\{(u_i, v_i)\}_{i=1}^n$  and  $\text{Index}(E^\infty) = \text{Index}E$ .

Motivated by Definition 2.9, Kodaka, Osaka, and Teruya introduced the Rokhlin property for an inclusion of unital  $C^*$ -algebras with a finite index [12].

**Definition 2.10.** A conditional expectation  $E$  of a unital  $C^*$ -algebra  $A$  with a finite index is said to have the *Rokhlin property* if there exists a projection  $e \in A_\infty$  satisfying

$$E^\infty(e) = (\text{Index}E)^{-1} \cdot 1$$

and a map  $A \ni x \mapsto xe$  is injective. We call  $e$  a Rokhlin projection.

The following result states that the Rokhlin property of an action in the sense of Izumi implies that the canonical conditional expectation from a given simple  $C^*$ -algebra to its fixed-point algebra has the Rokhlin property in the sense of Definition 2.10.

**Proposition 2.11.** [12] *Let  $\alpha$  be an action of a finite group  $G$  on a simple unital  $C^*$ -algebra  $A$  and  $E$  be the canonical conditional expectation from  $A$  onto the fixed-point algebra  $P = A^\alpha$  defined by*

$$E(x) = \frac{1}{\#G} \sum_{g \in G} \alpha_g(x) \quad \text{for } x \in A,$$

*where  $\#G$  is the order of  $G$ . Then  $\alpha$  has the Rokhlin property if and only if there is a projection  $e \in A_\infty$  such that  $E^\infty(e) = \frac{1}{\#G} \cdot 1$ , where  $E^\infty$  is the conditional expectation from  $A^\infty$  onto  $P^\infty$  induced by  $E$ .*

*Remark 2.12.* In Proposition 2.11 we need the simplicity of a given  $C^*$ -algebra  $A$  so that the canonical condition expectation  $E: A \rightarrow A^\alpha$  has the Rokhlin property, because we do not know whether a map  $A \ni a \mapsto ae \in A^\infty$  is injective. When  $A$  is simple, there is no difference between the Rokhlin property of an action  $\alpha$  and that of a conditional expectation  $E$ .

3. PERMANENCE PROPERTIES FOR DECOMPOSITION RANK AND NUCLEAR DIMENSION

In this section, we give a partial answer to Problem 9.4 in [31]. More generally, we give formulas for decomposable rank and nuclear dimension for an inclusion of unital  $C^*$ -algebras of finite index under the assumption of the Rokhlin property for the inclusion.

The following should be well known.

**Theorem 3.1.** *Let  $n \in \mathbb{N} \cup \{0\}$  and  $\mathcal{C}_n$  be the set of separable unital  $C^*$ -algebras  $D$  with  $\text{dr}D \leq n$  and let  $\mathcal{C}_{\text{nuc}_n}$  be the set of separable unital  $C^*$ -algebras  $D$  with  $\dim_{\text{nuc}} D \leq n$ .*

- (1) *If  $A$  is a separable, unital, local  $\mathcal{C}_n$ ,  $C^*$ -algebra, then  $A$  belongs to  $\mathcal{C}_n$ , i.e.,  $\text{dr}A \leq n$ .*
- (2) *If  $A$  is a separable, unital, local  $\mathcal{C}_{\text{nuc}_n}$ ,  $C^*$ -algebra, then  $A$  belongs to  $\mathcal{C}_{\text{nuc}_n}$ , i.e.,  $\dim_{\text{nuc}} A \leq n$ .*

Corollary 3.2(2) is a partial answer to Problem 9.4 in [31].

**Corollary 3.2.** *For  $n \in \mathbb{N} \cup \{0\}$ , let  $\mathcal{C}_n$  (respectively,  $\mathcal{C}_{\text{nuc}_n}$  or  $\mathcal{C}_{\text{lnuc}} = \cup_{n \in \mathbb{N}} \mathcal{C}_{\text{nuc}_n}$ ) be the set of separable unital  $C^*$ -algebras  $D$  with  $\text{dr}D \leq n$  (respectively,  $\dim_{\text{nuc}} D \leq n$ , or locally finite nuclear dimension). Let  $A$  be a separable unital  $C^*$ -algebra and  $\alpha$  be an action of a finite group  $G$  on  $A$ . Suppose that  $\alpha$  has the Rokhlin property. Then we have the following:*

- (1) *If  $A$  is a local  $\mathcal{C}_n$ , then  $\text{dr}(A^\alpha) \leq n$  and  $\text{dr}(A \rtimes_\alpha G) \leq n$ .*
- (2) *If  $A$  is a local  $\mathcal{C}_{\text{nuc}_n}$ , then  $\dim_{\text{nuc}}(A^\alpha) \leq n$  and  $\dim_{\text{nuc}}(A \rtimes_\alpha G) \leq n$ .*
- (3) *If  $A$  has locally finite nuclear dimension, then  $A^\alpha$  and  $A \rtimes_\alpha G$  have locally finite nuclear dimension.*

*Proof.* We will show that, if  $A$  is a local  $\mathcal{C}_n$ ,  $C^*$ -algebra,  $A \rtimes_\alpha G$  is a local  $\mathcal{C}_n$ -algebra.

Since  $\alpha$  has the Rokhlin property, for any finite set  $\mathcal{S} \subset A \rtimes_\alpha G$  and  $\varepsilon > 0$ , there are  $n$ , projection  $f \in A$ , and a unital  $*$ -homomorphism  $\varphi: M_n \otimes fAf \rightarrow A \rtimes_\alpha G$  such that  $\text{dist}(a, \varphi(M_n \otimes fAf)) < \varepsilon$  by [18, Theorem 2.2]. Since  $A \in \mathcal{C}_n$  by Theorem 3.1,  $M_n \otimes fAf \in \mathcal{C}_n$ . Hence,  $A \rtimes_\alpha G$  is a local  $\mathcal{C}_n$ -algebra. Again from Theorem 3.1,  $\text{dr}(A \rtimes_\alpha G) \leq n$ . Since  $A^\alpha$  is isomorphic to a corner  $C^*$ -subalgebra  $q(A \rtimes_\alpha G)q$  for some projection  $q \in A \rtimes_\alpha G$ , we have  $\text{dr}(A^\alpha) \leq n$  by Proposition 2.4.

Similarly, if  $A$  is a local  $\mathcal{C}_{\text{nuc}_n}$  (respectively, a local  $\mathcal{C}_{\text{lnuc}}$ ), we have  $\dim_{\text{nuc}}(A^\alpha) \leq n$  and  $\dim_{\text{nuc}}(A \rtimes_\alpha G) \leq n$  (respectively,  $A^\alpha$  and  $A \rtimes_\alpha G$  are local  $\mathcal{C}_{\text{lnuc}}$ , that is,  $A^\alpha$  and  $A \rtimes_\alpha G$  have locally finite nuclear dimension).  $\square$

*Remark 3.3.* When  $\alpha$  does not have the Rokhlin property, generally the estimate in Corollary 3.2 is not correct. Indeed, let  $\alpha$  be the symmetry action on the CAR algebra  $\mathcal{U}$  constructed by Blackadar in [1] such that  $\mathcal{U}^{\mathbb{Z}/2\mathbb{Z}}$  is not an AF  $C^*$ -algebra. Then  $\alpha$  does not have the Rokhlin property by [19, Proposition 3.5], and  $\dim_{\text{nuc}}(\mathcal{U}^{\mathbb{Z}/2\mathbb{Z}}) \neq 0$ , but  $\dim_{\text{nuc}}(\mathcal{U}) = 0$ .

In Corollary 3.2, since  $\alpha$  is outer by [18, Lemm 1.5],  $A \subset A \rtimes_{\alpha} G$  is of finite index in the sense of Watatani by [28, Proposition 2.8.6]. Therefore, we shall extend Corollary 3.2 for a pair of unital  $C^*$ -algebras  $P \subset A$  of index finite type.

**Theorem 3.4.** *For  $n \in \mathbb{N} \cup \{0\}$ , let  $\mathcal{C}_n$  be the set of separable unital  $C^*$ -algebras  $D$  with  $\text{dr}D \leq n$  and let  $\mathcal{C}_{\text{nuc}_n}$  be the set of separable unital  $C^*$ -algebras  $D$  with  $\dim_{\text{nuc}} D \leq n$ . Further, let  $P \subset A$  be an inclusion of unital  $C^*$ -algebras and  $E: A \rightarrow P$  be a faithful conditional expectation of index finite type. Suppose that  $E$  has the Rokhlin property.*

(1) *If  $A$  is a unital, local  $\mathcal{C}_n$ ,  $C^*$ -algebra, then*

$$\text{dr}P \leq n.$$

(2) *If  $A$  is a unital, local  $\mathcal{C}_{\text{nuc}_n}$ ,  $C^*$ -algebra, then*

$$\dim_{\text{nuc}} P \leq n.$$

*Proof.* (1) For any finite set  $\mathcal{F} = \{a_1, a_2, \dots, a_l\} \subset P$  and  $\varepsilon > 0$ , since  $\text{dr}A \leq n$ , there are  $B \in \mathcal{C}_n$ , a  $*$ -homomorphism  $\rho: B \rightarrow A$ , a finite set  $\{b_1, b_2, \dots, b_l\}$  in  $\rho(B)$ , and a completely positive approximation  $(F, \psi, \varphi)$  such that

- (1)  $\psi$  and  $\varphi$  are completely positive contractive,
- (2) there are  $n$ -central projections  $q^{(m)}$  of  $F$  such that  $F = \bigoplus q^{(m)} F q^{(m)}$  and  $\varphi|_{q^{(m)} F q^{(m)}}$  is order zero,
- (3)  $\mathcal{F} \subset_{\varepsilon} \rho(B)$ , i.e.,  $\|a_i - b_i\| < \varepsilon$  for  $1 \leq i \leq l$ , and
- (4)  $\|\varphi \circ \psi(b_i) - b_i\| < \varepsilon$  for  $1 \leq i \leq l$ .

For  $x \in \rho(B)$ , we have

$$\begin{aligned} \varphi \circ \psi(x) &= \varphi\left(\sum_m q^{(m)} \psi(x) q^{(m)}\right) \\ &= \sum_m (\varphi|_{q^{(m)} F q^{(m)}} \circ q^{(m)} \psi q^{(m)})(x). \end{aligned}$$

Then each  $\varphi|_{q^{(m)} F q^{(m)}}$  is an order-zero map.

From applying the same argument to each  $q^{(m)} \psi q^{(m)}: \rho(B) \rightarrow q^{(m)} F q^{(m)}$  and  $\varphi|_{q^{(m)} F q^{(m)}}: q^{(m)} F q^{(m)} \rightarrow C^*(\varphi|_{q^{(m)} F q^{(m)}}(q^{(m)} F q^{(m)}))$ , we have completely positive contractions  $\psi_m: A \rightarrow q^{(m)} F q^{(m)}$  and  $\varphi_m: C^*(\varphi|_{q^{(m)} F q^{(m)}}(q^{(m)} F q^{(m)})) \rightarrow P$  such that

- (1)  $(\psi_m)|_{\rho(B)} = q^{(m)} \psi q^{(m)}$  and
- (2)  $\|\sum_m (\varphi_m \circ \psi_m)(b_i) - a_i\| < 2n\varepsilon$  for  $1 \leq i \leq l$ .

Set  $\hat{\varphi} = \sum_m \varphi_m$  and  $\hat{\psi} = \sum_m \psi_m$ . Then  $\hat{\varphi}$  is  $n$ -decomposable. We can show that  $(F, \hat{\varphi}, \hat{\psi})$  is the completely positive approximation for  $a_1, a_2, \dots, a_l$  within

$(2n + 1)\varepsilon$ . Indeed,

$$\begin{aligned} \|(\hat{\varphi} \circ \hat{\psi})(a_i) - a_i\| &\leq \|(\hat{\varphi} \circ \hat{\psi})(a_i - b_i)\| + \|(\hat{\varphi} \circ \hat{\psi})(b_i) - a_i\| \\ &\leq \|a_i - b_i\| + \left\| \sum_m (\varphi_m \circ \psi_m)(b_i) - a_i \right\| \\ &\leq \varepsilon + 2n\varepsilon \\ &= (2n + 1)\varepsilon \end{aligned}$$

for  $1 \leq i \leq l$ . Therefore, we conclude that  $\text{dr}P \leq n$ .

(2) By a similar argument to that for (1), we can conclude that  $\dim_{\text{nuc}} P \leq n$ .  $\square$

#### 4. PURENESS FOR $C^*$ -ALGEBRAS

In this section, we consider the pureness for a pair  $P \subset A$  of unital  $C^*$ -algebras, which is defined in [30], and show that, if the inclusion  $P \subset A$  has the Rokhlin property and  $A$  is pure, then  $P$  is pure.

**Definition 4.1.** [10, 20] Let  $M_\infty(A)$  denote the algebraic limit of the direct system  $(M_n(A), \phi_n)$ , where  $\phi_n: M_n(A) \rightarrow M_{n+1}(A)$  is given by

$$a \mapsto \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}.$$

Let  $M_\infty(A)_+$  (respectively,  $M_n(A)_+$ ) denote the positive elements in  $M_\infty(A)$  (respectively,  $M_n(A)_+$ ). Given  $a, b \in M_\infty(A)_+$ , we say that  $a$  is *Cuntz subequivalent* to  $b$  (written  $a \preceq b$ ) if there is a sequence  $(v_n)_{n=1}^\infty$  of elements in some  $M_k(A)$  such that

$$\|v_n b v_n^* - a\| \rightarrow 0 \quad (n \rightarrow \infty).$$

We say that  $a$  and  $b$  are *Cuntz equivalent* if  $a \preceq b$  and  $b \preceq a$ . This relation is an equivalence relation, and we write  $\langle a \rangle$  for the equivalence class of  $a$ . The set  $W(A) := M_\infty(A)_+ / \sim$  becomes a positive ordered Abelian semigroup when equipped with the operation

$$\langle a \rangle + \langle b \rangle = \langle a \oplus b \rangle$$

and the partial order

$$\langle a \rangle \leq \langle b \rangle \iff a \preceq b.$$

Let  $T(A)$  and  $QT(A)$  denote the tracial state space and the space of the normalized 2-quasitraces on  $A$  [2, Definition II. 1. 1], respectively. Note that  $T(A) \subset QT(A)$  and equality holds when  $A$  is exact [6]. Let  $S(W(A))$  denote the set of additive and order-preserving maps  $d$  from  $W(A)$  to  $\mathbb{R}^+$  having the property  $d(\langle 1_A \rangle) = 1$ . Such maps are called states. When  $d: M_\infty(A)_+ \rightarrow \mathbb{R}_+$  is a dimension function, that is,  $d(a \oplus b) = d(a) + d(b)$ , and  $d(a) \leq d(b)$  if  $a \preceq b$  for all  $a, b \in M_\infty(A)_+$ , this gives an additive order-preserving map  $\tilde{d}: W(A) \rightarrow \mathbb{R}^+$  given by  $\tilde{d}(\langle a \rangle) = d(a)$  for all  $a \in M_\infty(A)_+$ .

Given  $\tau$  in  $QT(A)$ , one may define a map  $d_\tau: M_\infty(A)_+ \rightarrow \mathbb{R}^+$  by

$$d_\tau(a) = \lim_{n \rightarrow \infty} \tau(a^{\frac{1}{n}}).$$

This map is lower semicontinuous and depends only on the Cuntz equivalence class of  $a$ . Then  $d_\tau \in S(W(A))$ . Such states are called *lower semicontinuous dimension functions* and the set of all such functions is denoted by  $LDF(A)$ . It was proved in [2, Theorem II. 4. 4] that  $QT(A)$  is a simplex and the map from  $QT(A)$  to  $LDF(A)$  by  $\tau \mapsto d_\tau$  in the above is bijective and affine by [2, Theorem II. 2. 2].

**Definition 4.2.** A  $C^*$ -algebra  $A$  is said to have *strict comparison of positive elements* or simply *strict comparison* if, for all  $a, b \in M_\infty(A)_+$ ,  $A$  has the property that  $a \preceq b$  whenever  $s(a) < s(b)$  for every  $s \in LDF(A)$ .

*Remark 4.3.* When  $A$  is a simple, unital,  $C^*$ -algebra,  $A$  has strict comparison if and only if  $W(A)$  is *almost unperforated* by [22, Corollary 4.6]. Recall that  $W(A)$  is almost unperforated if, for  $x, y \in W(A)$  and for all natural numbers  $n$ ,  $(n+1)x \leq ny$  implies that  $x \leq y$ .

The following should be well known, so we omit its proof.

**Lemma 4.4.** *Let  $A$  be a unital  $C^*$ -algebra and suppose that  $W(A)$  has strict comparison. Then we have the following:*

- (1) *For  $n \in \mathbb{N}$ ,  $M_n(A)$  has strict comparison.*
- (2) *For a nonzero hereditary  $C^*$ -subalgebra  $B$  of  $A$ ,  $B$  has strict comparison.*

**Theorem 4.5.** *Let  $A$  be a unital exact  $C^*$ -algebra that has strict comparison. Let  $E: A \rightarrow P$  be of index finite type. Suppose that  $E$  has the Rokhlin property. Then we have the following:*

- (1)  *$P$  has strict comparison.*
- (2) *The basic construction  $C^*\langle A, e_P \rangle$  has strict comparison.*

*Proof.* Note that  $P$  is also exact [27, 9]. Hence, we know that  $QT(A) = T(A)$  and  $QT(P) = T(P)$  by [6].

Since  $E \otimes id: A \otimes M_n \rightarrow P \otimes M_n$  is of index finite type and has the Rokhlin property, it suffices to verify the condition that, whenever  $a, b \in P$  are positive elements such that  $d_\tau(a) < d_\tau(b)$  for all  $\tau \in T(P)$ , then  $a \preceq b$ .

Let  $a, b \in P$  be projections such that  $d_\tau(a) < d_\tau(b)$  for all  $\tau \in T(P)$ . Since the restriction of a tracial state on  $A$  is a tracial state on  $P$ ,  $d_\tau(a) < d_\tau(b)$  for all  $\tau \in T(A)$ . Since  $A$  has strict comparison,  $a \preceq b$  in  $A$ .

Since  $E: A \rightarrow P$  has the Rokhlin property, there is an injective  $*$ -homomorphism  $\beta: A \rightarrow P^\infty$  such that  $\beta(x) = x$  for  $x \in P$  by [12] [16, Lemma 2.5]. Then  $\beta(a) \preceq \beta(b)$  in  $P^\infty$ , that is,  $a \preceq b$  in  $P^\infty$ . Hence,  $a \preceq b$  in  $P$ . Therefore,  $P$  has strict comparison.

Since  $C^*\langle A, e_P \rangle$  is isomorphic to the corner  $C^*$ -algebra  $qM_n(P)q$  for some  $n \in \mathbb{N}$  and projection  $q \in M_n(P)$ , from Lemma 4.4 we conclude that  $C^*\langle A, e_P \rangle$  has strict comparison.  $\square$

**Definition 4.6.** We say that the order on projections over a unital  $C^*$ -algebra  $A$  is determined by traces if, whenever  $p, q \in M_\infty(A)$  are projections such that  $\tau(p) < \tau(q)$  for all  $\tau \in T(A)$ , then  $p$  is the Murray–von Neumann equivalent to a subprojection of  $q$ .

**Theorem 4.7.** *Let  $A$  be a unital  $C^*$ -algebra such that the order on projections over  $A$  is determined by traces. Let  $E: A \rightarrow P$  be of index finite type. Suppose that  $E$  has the Rokhlin property. Then the order on projections over  $P$  is determined by traces.*

*Proof.* Note that when  $p$  and  $q$  are projections,  $p \preceq q$  is equivalent to  $p$  being the Murray–von Neumann equivalent to a subprojection of  $q$

As in the proof of Theorem 4.5 it suffices to verify the condition that, whenever  $p, q \in P$  are projections such that  $d_\tau(p) < d_\tau(q)$  for  $\tau \in T(P)$ , then  $p \preceq q$  in  $P$ .

As in the proof of Theorem 4.5, there is an injective  $*$ -homomorphism  $\beta: A \rightarrow P^\infty$  such that  $\beta(x) = x$  for  $x \in P$ . Then  $\beta(p) \preceq \beta(q)$  in  $P^\infty$ , that is,  $p \preceq q$  in  $P^\infty$ . Hence  $p \preceq q$  in  $P$ . Therefore, we get the conclusion.  $\square$

**Definition 4.8.** Let  $A$  be a unital  $C^*$ -algebra.  $A$  is said to have an *almost divisible* Cuntz semigroup if, for any positive contraction  $a \in M_\infty(A)$  and  $0 \neq k \in \mathbb{N}$ , there is  $x \in W(A)$  such that

$$k \cdot x \leq \langle a \rangle \leq (k + 1) \cdot x.$$

**Proposition 4.9.** *Let  $A$  be a unital  $C^*$ -algebra of stable rank one that has an almost divisible Cuntz semigroup  $W(A)$ . Let  $E: A \rightarrow P$  be of index finite type. Suppose that  $E$  has the Rokhlin property. Then we have the following:*

- (1)  $P$  has an almost divisible Cuntz semigroup  $W(P)$ .
- (2) The basic construction  $C^*\langle A, e_P \rangle$  has an almost divisible Cuntz semigroup  $W(C^*\langle A, e_P \rangle)$ .

*Proof.* (1) Let  $a \in M_\infty(P)$  be a positive contraction and  $0 \neq k \in \mathbb{N}$ . Since  $A$  has an almost divisible Cuntz semigroup, there is  $x \in W(A)$  such that

$$k \cdot x \leq \langle a \rangle \leq (k + 1) \cdot x.$$

It follows from [23, Proposition 5.1] that it is equivalent to there being a unital  $*$ -homomorphism from the  $C^*$ -algebra  $Z_{n,n+1}$  into  $A$ , where  $Z_{k,k+1} = \{f \in C([0, 1], M_k \otimes M_{k+1}) \mid f(0) \in M_k \otimes \mathbb{C}, f(1) \in \mathbb{C} \otimes M_{k+1}\}$ .

Since the inclusion  $P \subset A$  has the Rokhlin property, there is an injective  $*$ -homomorphism  $\beta: A \rightarrow P^\infty$  such that  $\beta(a) = a$  for all  $a \in P$ . Hence, there is a unital  $*$ -homomorphism  $h$  from  $Z_{k,k+1}$  into  $P^\infty$ . Since  $Z_{k,k+1}$  is weakly semiprojective by [8], there are an  $m \in \mathbb{N}$  and unital  $*$ -homomorphism  $\tilde{h}$  from  $Z_{k,k+1}$  into  $\Pi_{n=m}^\infty P$  [13]. Therefore, there is a unital  $*$ -homomorphism from  $Z_{k,k+1}$  into  $P$ .

Again from [23, Proposition 5.1], there is  $y \in W(P)$  such that

$$k \cdot y \leq \langle a \rangle \leq (k + 1) \cdot y.$$

(2) Since  $W(A)$  is almost divisible, for any  $k \in \mathbb{N}$ , there exists a unital  $*$ -homomorphism  $h: Z_{k,k+1} \rightarrow A$ . Hence, there is a unital  $*$ -homomorphism  $\iota \circ h: Z \rightarrow C^*\langle A, e_P \rangle$ . Then we conclude that  $W(C^*\langle A, e_P \rangle)$  is almost divisible by [22, Lemma 4.2].  $\square$

**Definition 4.10.** [30] Let  $A$  be a separable unital  $C^*$ -algebra. We say that  $A$  is pure if  $W(A)$  has strict comparison and is almost divisible.

We note that any separable simple unital Jiang-Su absorbing  $C^*$ -algebra is pure. It is not yet known whether the converse is true.

**Theorem 4.11.** *Let  $A$  be a separable, unital, exact, pure  $C^*$ -algebra of stable rank one; that is,  $A$  has strict comparison and  $W(A)$  is an almost divisible Cuntz semigroup. Let  $E: A \rightarrow P$  be of index finite type. Suppose that  $E$  has the Rokhlin property. Then we have the following:*

- (1)  $P$  is pure.
- (2) The basic construction  $C^*\langle A, e_p \rangle$  is pure.

*Proof.* These results follow from Theorem 4.5 and Proposition 4.9.  $\square$

**Corollary 4.12.** *Let  $A$  be a separable, simple, unital, exact, pure  $C^*$ -algebra of stable rank one and let  $\alpha$  be an action of a finite group  $G$  on  $A$ . Suppose that  $\alpha$  has the Rokhlin property. Then  $A^G$  and  $A \rtimes_{\alpha} G$  are pure.*

*Proof.* Since  $\alpha$  has the Rokhlin property, the canonical conditional expectation  $E: A \rightarrow A^{\alpha}$  has the Rokhlin projection  $e$  by Proposition 2.11. Since  $A$  is simple, a map  $A \ni x \mapsto xe$  is injective. This means that  $E$  has the Rokhlin property. Hence, the conclusion follows from Theorem 4.11.  $\square$

*Remark 4.13.* From [30, Corollary 6.2], if  $A$  is a separable, simple, unital, pure  $C^*$ -algebra with locally finite nuclear dimension, then  $A$  is  $\mathcal{Z}$ -absorbing. Hence, it seems that the  $\mathcal{Z}$ -absorbing property is stable under the condition that a pair of unital  $C^*$ -algebras is of index finite type and has the Rokhlin property. Indeed, under this assumption, if  $A$  is  $\mathcal{D}$ -absorbing (i.e.,  $A \otimes \mathcal{D} \cong A$ ) for a strongly self-absorbing  $C^*$ -algebra  $\mathcal{D}$ , then  $P$  is also  $\mathcal{D}$ -absorbing [16].

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