ON IMBALANCE SEQUENCES OF ORIENTED GRAPHS

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ABSTRACT. A necessary and sufficient condition for a sequence of integers to be an irreducible imbalance sequence is obtained. We found bounds for imbalance b_i of a vertex v_i of oriented graphs. Some properties of imbalance sequence of oriented graphs, arranged in lexicographic order, are investigated. In the last we report a result on an imbalance sequence for a self-converse tournament and conjecture that it is true for oriented graphs.

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1. INTRODUCTION

An oriented graph is a digraph with no symmetric pair of directed arcs with no loops. The imbalance $b(v_i)$ (or simply b_i) of a vertex v_i in a digraph is defined as $d_i^+ - d_i^-$, where d_i^+ and d_i^- are out-degree and in-degree of vertex v_i respectively.

An oriented graph D is reducible if it is possible to partition its vertices into two nonempty sets V_1 and V_2 in such a way that every vertex of V_2 is adjacent to all vertices of V_1 . Let D_1 and D_2 be induced digraphs having vertex sets V_1 and V_2 respectively. Then D consists of all the arcs of D_1, D_2 and every vertex of D_2 is adjacent to all vertices of D_1 . We write $D = [D_1, D_2]$. If this is not possible, then the oriented graph D is irreducible. Let D_1, D_2, \ldots, D_k be irreducible oriented graphs with disjoint vertex sets. $D = [D_1, D_2, \ldots, D_k]$ denotes the oriented graph having all arcs of D_m , $1 \le m \le k$, and every vertex of D_j is adjacent to all vertices of D_i with $1 \le i < j \le k$. D_1, D_2, \ldots, D_k are called irreducible components of D. Such decomposition is known as irreducible component decomposition of D and is unique.

An imbalance sequence $B = (b_1, b_2, ..., b_n)$ with $b_1 \le b_2 \le ... \le b_n$ is said to be irreducible if all the oriented graphs with the imbalance sequence B are irreducible.

2. Necessary and sufficient condition

A sequence of integers $A = (a_1, a_2, ..., a_n)$ with $a_1 \ge a_2 \ge ... a_n$ is feasible if it has sum zero and satisfies

$$\sum_{i=1}^{k} a_i \le k(n-k) \text{ for } 1 \le k < n.$$

The following result gives a condition for a sequence of integers to be the imbalance sequence of a simple directed graph.

Theorem 1. [10] A sequence is realizable as an imbalance sequence if and only if it is feasible.

The above result is equivalent to saying that a sequence of integers $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \ge b_2 \ge \ldots \ge b_n$ imbalance sequence of a simple directed graph if and only if

$$\sum_{i=1}^{k} b_i \le k(n-k) \text{ for } 1 \le k < n \tag{1}$$

with equality when k = n.

On arranging the imbalance sequence in nondecreasing order, we obtain the following Corollary 2.

Corollary 2. A sequence of integers $B = (b_1, b_2, ..., b_n)$ with $b_1 \leq b_2 \leq ... \leq b_n$ is an imbalance sequence of a simple directed graph (without repeated arcs) if and only if

$$\sum_{i=1}^{k} b_i \ge k(k-n), \text{ for } 1 \le k < n$$
(2)

with equality when k = n.

Proof. Let $\bar{b}_i = b_{n-i+1}$. Then the sequence $\bar{B} = (\bar{b}_1, \bar{b}_2, \dots, \bar{b}_n)$ satisfies condition

(1). We have

$$\sum_{i=1}^{k} b_{i} = \sum_{i=1}^{k} \bar{b}_{n-i+1}$$

$$= \sum_{i=1}^{n} \bar{b}_{n-i+1} - \sum_{i=k+1}^{n} \bar{b}_{n-i+1}$$

$$= 0 - (\bar{b}_{n-k} + \bar{b}_{n-k+1} + \dots + \bar{b}_{1})$$

$$= -\sum_{j=1}^{n-k} \bar{b}_{j}$$

$$\geq -(n-k)\{n-(n-k)\} \text{ (from Condition 1)}$$

$$= k(k-n),$$

where $1 \le k \le n-1$ and equality holds when k = n.

3. Construction of an oriented graph with a given imbalance sequence

A sequence of integers is graphic if it is a degree sequence of a simple undirected graph. For characterization of graphic sequences we refer to [2, 3, 6]. Klietman and Wang [7] observed that Havel and Hakimi [3, 6] argument works with the deletion of the any element d_k of the degree sequence (d_1, d_2, \ldots, d_n) with $d_1 \leq d_2 \leq \ldots \leq d_n$, subtracting 1 from the d_k largest other elements.

The analogous statement about imbalance sequence is false. Dhruv et al. [10] considered the imbalance sequence (3, 1, -1, -3) of a transitive tournament. Deleting the element 1 and adding 1 to the smallest imbalance gives (3, -1, -2), which has no realization by a simple digraph.

Theorem 1 provides us an algorithm to construct an oriented graph from a given imbalance sequence. At each stage we form $\hat{B} = (\hat{b}_2, \ldots, \hat{b}_n)$ from $B = (b_1, b_2, \ldots, b_n)$ by deleting the largest imbalance b_1 and adding 1 to b_1 smallest elements of B. Arcs of an oriented graph are defined by $v_1 \to v$ if and only if $\hat{b}_v \neq b_v$. If this procedure applied recursively, then

- (i) it tests whether B is an imbalance sequence and if B is an imbalance sequence, then
- (ii) an oriented graph D_B with imbalance sequence B is constructed.

Example of algorithm, n = 5, B = (2, 0, 0, 0, -2).

Stage	В	Arcs of D_B
1.	(2,0,0,0, -2)	
2.	(-,1,0,0, -1)	$v_1 \rightarrow v_2, v_5$
3.	(-,-,0,0,0)	$v_2 \rightarrow v_5$

4. IRREDUCIBLE IMBALANCE SEQUENCES OF ORIENTED GRAPHS

In case of tournaments, the score sequence $S = (s_1, s_2, \ldots, s_n)$ with $s_1 \leq s_2 \leq \ldots \leq s_n$ used to decide whether a tournament T having the score sequence S is strong or not [4]. This is not true in case of oriented graphs. For example oriented graphs D_1 and D_2 both have imbalance sequence (0, 0, 0), but D_1 is strong and D_2 is not.



The following Theorem characterizes irreducible imbalance sequences.

Theorem 3. Let $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ be an imbalance sequence of oriented graph. Then B is irreducible if and only if

$$\sum_{i=1}^{k} b_i > k(k-n), \text{ for } 1 \le k \le n-1$$
(3)

and
$$\sum_{i=1}^{n} b_i = 0.$$

$$\tag{4}$$

Proof. Suppose D is an oriented graph with vertex set V, having imbalance sequence $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$. Equality condition (4) is obvious. To prove inequalities (3.4), let U be the set of k vertices with the smallest imbalances, the arcs within U contribute nothing to $\sum_{i=1}^{k} b_i$, and the ordered pairs $(V \setminus U) \times U$ contributes at most -1 to each $v \in U$, so

$$\sum_{i=1}^{k} b_i \geq -k(n-k) \\ = k(k-n), \text{ for } 1 \leq k \leq n-1.$$
 (5)

Since D is irreducible, there must exist at least one arc from a vertex of U to a vertex of $V \setminus U$.

So condition (5) becomes,

$$\sum_{i=1}^{k} b_i = k(k-n) + 2$$

= $k(k-n)$, for $1 \le k \le n-1$.

For the converse, suppose that conditions (3) and (4) hold. Hence from Corollary 2 there exist an oriented graph D having imbalance sequence $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$.

Suppose that such an oriented graph is reducible. Then there exist a vertex set W with k vertices (k < n), such that every vertex of $V \setminus W$ is adjacent to all the vertices of W. Hence

$$\sum_{i=1}^{k} b_i = k(k-n),$$

a contradiction, proving the converse part.

Corollary 4. Let D be an oriented graph having imbalance sequence $B = (\tilde{b}_1, \tilde{b}_2, \dots, \tilde{b}_n)$ with $\tilde{b}_1 \geq \tilde{b}_2 \geq \dots \geq \tilde{b}_n$. Then D is irreducible if and only if

$$\sum_{i=1}^{k} \tilde{b}_i < k(n-k) \text{ for } 1 \le k \le n$$

and
$$\sum_{i=1}^{n} \tilde{b}_i = 0$$

The next result is an extension of Theorem 3.

Theorem 5. Let D be an oriented graph having imbalance sequence $B = (b_1, b_2, ..., b_n)$ with $b_1 \leq b_2 \leq ... \leq b_n$. Suppose that

$$\sum_{i=1}^{p} b_{i} = p(p-n),$$

$$\sum_{i=1}^{q} b_{i} = q(q-n)$$
and
$$\sum_{i=1}^{k} b_{i} > k(k-n), \text{ for } p+1 \le k \le q-1, \text{ where } 0 \le p < q \le n.$$

Then subdigraph induced by the vertices $\{v_{p+1}, v_{p+2}, \ldots, v_q\}$ is an irreducible component of D with imbalance sequence

$$(b_{p+1} + n - p - q, b_{p+2} + n - p - q, \dots, b_q + n - p - q).$$

Proof. Suppose imbalance of vertex v_i in oriented graph D is $b_i, 1 \le i \le n$. Since $\sum_{i=1}^{q} b_i = q(q-n)$, so clearly each vertex of $W = \{v_{q+1}, v_{q+2}, \ldots, v_n\}$ dominates all vertices of $\{v_1, v_2, \ldots, v_q\}$. Thus the vertices within W contributes -(n-q) to imbalance of every vertex of $\{v_1, v_2, \ldots, v_q\}$. Also $\sum_{i=1}^{p} b_i = p(p-n)$, so each vertex of $V = \{v_{p+1}, v_{p+2}, \ldots, v_q\}$ dominates all vertices of $U = \{v_1, v_2, \ldots, v_p\}$. So vertices within U contribute p to imbalance of every vertex of V. Hence the imbalance sequence of subdigraph induced by vertices $\{v_{p+1}, v_{p+2}, \ldots, v_q\}$ is

$$(b_{p+1} + n - p - q, b_{p+2} + n - p - q, \dots, b_q + n - p - q)$$

i.e.,
$$(b_{p+1} + n - p - q, b_{p+2} + n - p - q, \dots, b_q + n - p - q).$$

Now we have to show that above imbalance sequence is irreducible. We have

$$\sum_{i=1}^{k} b_i > k(k-n)$$

$$\Rightarrow \sum_{i=1}^{p} b_i + \sum_{i=p+1}^{k} b_i > k(k-n)$$

$$\Rightarrow p(p-n) + \sum_{i=p+1}^{k} b_i + (k-p)(n-p-q) > k(k-n) + (k-p)(n-p-q)$$

$$\Rightarrow \sum_{i=p+1}^{k} (b_i + n - p - q) > k(k-n) + (k-p)(n-p-q) - p(p-n)$$
$$= k^2 - kp - kq + pq$$
$$= (k-p)(k-q).$$

Thus $\sum_{i=p+1}^{k} (b_i + n - p - q) > (k - p)[(k - p) - (q - p)]$, and

$$\sum_{i=p+1}^{q} (b_i + n - p - q) = \sum_{i=p+1}^{q} b_i + (q - p)(n - p - q)$$
$$= \sum_{i=1}^{q} b_i - \sum_{i=p+1}^{k} b_i + (q - p)(n - p - q)$$
$$= q(q - n) - p(p - n) + (q - p)(n - p - q)$$
$$= 0.$$

Hence by Theorem 3 the imbalance sequence is irreducible.

Theorem 5 shows that the irreducible components of B are determined by the successive values of k for which

$$\sum_{i=1}^{k} b_i = k(k-n) \text{ for } 1 \le k \le n.$$
(6)

Taking B = (-6, -5, -4, 1, 1, 1, 6, 6), equation (6) is satisfied for k = 3, 6 and 8. So the irreducible components of B are (-1, 0, 1), (0, 0, 0) and (0, 0)

5. The bounds of imbalances

The converse of an oriented graph D is an oriented graph D', obtained by reversing orientation of all arcs of D. Let $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ be imbalance sequence of an oriented graph D. Then

$$B' = (-b_n, -b_{n-1}, \dots, b_1).$$

Next result gives lower and upper bounds for the imbalance b_i of a vertex v_i of an oriented graph D.

Theorem 6. If $B = (b_1, b_2, ..., b_n)$ with $b_1 \le b_2 \le ... \le b_n$ is an imbalance sequence of an oriented graph D, then for each i,

$$i-n \le b_i \le i-1.$$

Proof. First, we prove that

$$b_i \ge i - n.$$

Suppose that $b_i < i - n$ then, for every k < i

$$b_k \le b_i < i - n.$$

So that,

$$\begin{split} &\sum_{k=1}^i b_k &< \sum_{k=1}^i (i-n) \\ \Rightarrow &\sum_{k=1}^i b_k &< i(i-n). \end{split}$$

As $B = (b_1, b_2, \dots, b_n)$ is an imbalance sequence so, by Corollary 2,

$$\sum_{k=1}^{i} b_k \ge i(i-n).$$

This is a contradiction. Hence

$$(i-n) \le b_i. \tag{7}$$

The second inequality is dual to the first. In the converse oriented graph D' with imbalance sequence $B' = (b'_1, b'_2, \ldots, b'_n)$. We have

$$b'_{n-i+1} \ge (n-i+1) - n = 1 - i \quad \text{(using condition 7)}$$

$$b_i = -b'_{n-i+1} \text{ so},$$

$$b_i \le -(1-i) = i - 1.$$

Proving the result.

but

6. LEXICOGRAPHIC ENUMERATION OF IMBALANCE SEQUENCES

Let $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ and $C = (c_1, c_2, \ldots, c_n)$ with $c_1 \leq c_2 \leq \ldots \leq c_n$ be sequences of integers of order n. Then B precedes C if there exist a positive integer $k \leq n$ such that $b_i = c_i$ for each $1 \leq i \leq k - 1$ and $b_k < c_k$ $(B = C \text{ if } b_i = c_i \text{ for } 1 \leq i \leq n)$.

We write $B \leq C$ if B precedes C, and we say that C is a successor of B. If $B \leq C$ and $C \leq D$, then $B \leq D$, where $D = (d_1, d_2, \ldots, d_n)$ with $d_1 \leq d_2 \leq \ldots \leq d_n$. We say that C is an immediate successor of B if there is no D such that $B \leq D \leq C$. An enumeration of all sequences of a given order with the property that the immediate successor of any sequence follows it in the list is called a lexicographic enumeration.

Let $B = (b_1, b_2, \ldots, b_m)$ with $b_1 \leq b_2 \leq \ldots \leq b_m$ and $C = (c_1, c_2, \ldots, c_n)$ with $c_1 \leq c_2 \leq \ldots \leq c_n$ are two imbalance sequences of order m and n respectively. Then we define

$$B + C = (b_1 - n, b_2 - n, \dots, b_m - n, c_1 + m, c_2 + m, \dots, c_n + m).$$

The plus operation defined above is not commutative but it is associative.

Now we establish some results dealing with imbalance sequences that are tournament analogue to Merajuddin [9].

Theorem 7. Let $B_1 = (b_1, b_2, ..., b_n)$ with $b_1 \leq b_2 \leq ... \leq b_n$ and $B_2 = (-n, b_1 + 1, b_2 + 1, ..., b_n + 1)$. Then B_1 is m^{th} imbalance sequence of order n if and only if B_2 is the m^{th} imbalance sequence of order (n + 1).

Proof. Suppose D_1 be a realization of B_1 . Then $D_2 = [K, D_1]$, where K is an oriented graph of order 1, is a realization of B_2 . This shows that B_2 is an imbalance sequence when B_1 is an imbalance sequence. For converse, suppose D be a realization of B_2 . We can write D = [U, W], where U is an oriented graph of order 1, Clearly W is a realization of B_1 . This shows that B_1 is an imbalance sequence when B_2 is an imbalance sequence. The unique correspondence shows that both are occupying the same position.

Let $b_k(n)$ denotes the number of imbalance sequences of order n, in nondecreasing order, having imbalance k at least once, for $1 - n \le k \le n - 1$. Then we have the following results.

Theorem 8.

(i)
$$b_k(n) = b_{-k}(n)$$

(ii) $b_{1-n}(n) = b(n-1)$
(iii) $b_{n-1} = b(n-1).$

Proof. (i) This is equivalent to proving that whenever $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ is an imbalance sequence, then $B' = (-b_n, -b_{n-1}, \ldots, b_1)$ is also an imbalance sequence. This always happens, since B is an imbalance sequence of an oriented graph D if and only if B' is an imbalance sequence of oriented graph D', the converse of D.

(*ii*) Let $B_1 = (b_1, b_2, \ldots, b_{n-1})$ be the last imbalance, i.e., $b(n-1)^{th}$ imbalance sequence of order n-1. By Theorem 7 $B_2 = (-(n-1), b_1+1, b_2+1, \ldots, b_{n-1}+1)$ is the $b(n-1)^{th}$ imbalance sequence of order n. Now we show that there does not exist any imbalance sequence $B_3 = (t_1, t_2, \ldots, t_n), B_3 \neq B_2$ such that $t_1 = -(n-1)$ and $B_2 \leq B_3$.

Suppose that there exists one such B_3 . Then by Theorem 7, $B_4 = (t_2 - 1, \ldots, t_n - 1)$ is an imbalance sequence of order n - 1 and $B_1 \leq B_4$, a contradiction as B_1 is the last imbalance sequence of order (n - 1). Thus B_2 is the last imbalance sequence of order n in which the first entry is -(n - 1).

Hence $b_{1-n}(n) = b(n-1)$.

(*iii*) Putting k = n - 1 in Theorem 8(*i*), we get $b_{n-1} = b_{1-n}$ and from Theorem 8(*ii*), $b_{1-n} = b(n-1)$ Hence $b_{n-1} = b(n-1)$.

7. Self-converse imbalance sequences

A score sequence $S = (s_1, s_2, \ldots, s_n)$ is said to be self-converse if all the tournaments T, having the score sequence S are self-converse, *i.e.*, $T \cong T'$. If $S = (s_1, s_2, \ldots, s_n)$ is a score sequence of a tournament T, then S' score sequence of T', is given by

$$S' = (n - 1 - s_1, n - 1 - s_2, \dots, n - 1 - s_n).$$

In 1979, Eplett[1] characterized the self-converse score sequences.

Theorem 9. [1] A score sequence $S = (s_1, s_2, \ldots, s_n)$ is self-converse if and only if

$$s_i + s_{n+1-i} = n - 1, \text{ for } 1 \le i \le n.$$
 (8)

Let $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ be an imbalance sequence of an oriented graph D. Then the imbalance sequence B' of the oriented graph D', the converse of D, is given by $(-b_n, -b_{n-1}, \ldots, -b_1)$. An oriented graph D is said to be self converse if $D \cong D'$. An imbalance sequence $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ is self-converse if all the oriented graph having imbalance sequence B are self-converse.

Next result characterizes self-converse imbalance sequences of tournaments.

Theorem 10. A sequence of integers $B = (b_1, b_2, ..., b_n)$ with $b_1 \le b_2 \le ... \le b_n$ is self-converse if and only if

$$b_i + b_{n-i+1} = 0$$
, for $1 \le i \le n$.

Proof. Consider a tournament T having $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ and $S = (s_1, s_2, \ldots, s_n)$ with $s_1 \leq s_2 \leq \ldots \leq s_n$ as its imbalance and score sequences. As tournament T is self-converse so by Theorem 9[1], we have

$$s_{i} + s_{n-i+1} = n - 1$$

$$\Rightarrow \quad d_{i}^{+} + d_{n-i+1}^{+} = n - 1$$

$$\Rightarrow \quad 2d_{i}^{+} + 2d_{n-i+1}^{+} = 2(n - 1)$$

$$\Rightarrow \quad d_{i}^{+} - (n - 1 - d_{i}^{+}) + d_{n-i+1}^{+} - (n - 1 - d_{n-i+1}^{+}) = 0$$

$$\Rightarrow \quad (d_{i}^{+} - d_{i}^{-}) + (d_{n-i+1}^{+} - d_{n-i+1}^{-}) = 0$$

$$\Rightarrow \quad b_{i} + b_{n-i+1} = 0.$$

This proves the necessity of Theorem. Converse also follows from Theorem 9.

Now we state a conjecture:

Conjecture. The above result is also true for oriented graphs.

Below we obtain following results on self-converse imbalance sequences of tournaments.

Theorem 11. If $B = (b_1, b_2, ..., b_n)$ with $b_1 \leq b_2 \leq ... \leq b_n$ is an imbalance sequence of a tournament, then B + B' is a self-converse imbalance sequence.

Proof. Here $B = (b_1, b_2, \ldots, b_n)$ with $b_1 \leq b_2 \leq \ldots \leq b_n$ is an imbalance sequence. By the definition of converse of imbalance sequence,

$$B' = (-b_n, -b_{n-1}, \dots, -b_1).$$

So, by the definition, we have

$$B + B' = (b_1 - n, b_2 - n, \dots, b_n - n, -b_n + n, \dots, -b_1 + n)$$

= $(t_1, t_2, \dots, t_{2n})$, say

where

$$t_i = \begin{cases} b_i - n, & \text{for } 1 \le i \le n; \\ -b_{2n-i+1} + n, & \text{for } n+1 \le i \le 2n. \end{cases}$$

Clearly $t_i + t_{2n-i+1} = 0$, for $1 \le i \le 2n$. Hence B + B' is self-converse.

Theorem 12. Let $B = (b_1, b_2, ..., b_n)$ with $b_1 \leq b_2 \leq ... \leq b_n$ be a self-converse imbalance sequence and C be any other imbalance sequence in nondecreasing order. Then C + B + C' is a self-converse imbalance sequence.

Proof. Suppose that $C = (c_1, c_2, \ldots, c_m)$ with $c_1 \leq c_2 \leq \ldots \leq c_m$ is an imbalance sequence of order m. Then by definition of converse

$$C' = (-c_m, -c_{m-1}, \dots, -c_1)$$

and by definition

$$C + B + C' = (c_1 - m - n, c_2 - m - n, \dots, c_m - m - n, b_1, b_2, \dots, b_n, -c_m + m + n, -c_{m-1} + m + n, \dots, -c_1 + m + n) = (r_1, r_2, \dots, r_{2m+n}), \text{ say}$$

where

$$r_i = \begin{cases} c_i - m - n, & 1 \le i \le m; \\ b_i - m, & m + 1 \le i \le m + n; \\ -c_{2m+m-i+1} + m + n, & m + n + 1 \le i \le 2m + n. \end{cases}$$

Case (i). For $1 \le j \le m$,

$$r_j + r_{2m+n-j+1} = c_j - m - n - c_j + m + n$$

{ when $1 \le j \le m$ then $m + n + 1 \le 2m + n - j + 1 \le 2m + 1$ } $\Rightarrow r_j + r_{2m+n-j+1} = 0.$ Case (ii). For $m + 1 \le j \le m + n$,

$$r_j + r_{2m+n-j+1} = b_{j-m} + b_{m+n-j+1}$$

= $b_k + b_{n-k+1}$ for $k = j - m$ and $1 \le k \le n$
= 0.

As $B = (b_1, b_2, \ldots, b_n)$ is a self-converse imbalance sequence, so $b_i + b_{n-i+1} = 0$, for $1 \le i \le n$. From above we have, $r_j + r_{2m+n-j+1} = 0$, for $1 \le j \le 2m + n$. Hence C + B + C' is a self-converse imbalance sequence.

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