Generalized Hodge Classes on the Moduli Space of Curves

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Abstract. On the moduli space of curves we consider the cohomology classes $\mu_j(s), s \in \mathbb{N}, s \geq 2$, which can be viewed as a generalization of the Hodge classes λ_i defined by Mumford in [6]. Following the methods used in this paper, we prove that the $\mu_j(s)$ belong to the tautological ring of the moduli space. MSC 2000: 14H10 (primary); 14C40, 19L10, 19L64 (secondary)

1. Introduction

Let g and n be non-negative integers such that n > 2 - 2g. We denote by $\overline{\mathcal{M}}_{g,n}$ the moduli space of stable *n*-pointed genus g curves and by $\mathcal{M}_{g,n}$ its subspace parametrizing smooth curves. More generally, if P is a set with n elements, we shall consider the space $\overline{\mathcal{M}}_{g,P}$ whose elements are stable genus g curves whose marked points are indexed by P. For any g and P, |P| = n, in the range above, the collection of all moduli spaces is naturally equipped with some relevant maps among them: these maps lead to the construction of the tautological ring $T_{g,P}^*$ ([1], [3], [5]). We briefly recall some basic definitions to set the essential notation we shall use in the sequel.

First of all, consider the universal curve

$$\pi: \overline{\mathcal{M}}_{g,P \bigcup \{q\}} \to \overline{\mathcal{M}}_{g,P}. \tag{1}$$

We denote by σ_p , $p \in P$, the canonical section of π and by D_p , $p \in P$, the corresponding divisor in $\overline{\mathcal{M}}_{g,P\cup\{q\}}$. The relative dualizing sheaf ω_{π} of the map in (1) yields to define the

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classes

$$\psi_p = c_1(\sigma_p^*(\omega_\pi)), \ p \in P.$$
(2)

Note that the push-forward in (2) is well defined since the Poincaré duality with rational coefficients holds for the smooth orbifold $\overline{\mathcal{M}}_{q,P}$.

Take, now, the cohomology class

$$K = c_1 \left(\omega_\pi \left(\sum_{p \in P} D_p \right) \right).$$

Following [2], the Mumford classes in $H^{2m}(\overline{\mathcal{M}}_{g,P};\mathbb{Q})$ are defined as

$$\kappa_m = \pi_*(K^{m+1}).$$

For $P = \emptyset$ their analogue was first introduced by Mumford in [6]. Another generalization of Mumford's κ_m 's to the case of *n*-pointed curves is given by the classes

$$\widetilde{\kappa}_m = \pi_*(c_1(\omega_\pi)^{m+1})$$

The set of ψ_p 's, κ_m 's, and $\tilde{\kappa}_m$'s is called the set of *Mumford-Morita-Miller classes*. As it is shown in [2], the following relation holds:

$$\kappa_m = \widetilde{\kappa}_m + \sum_{p \in P} \psi_p^m. \tag{3}$$

Another family of morphisms among the moduli spaces can be described through the collection of graphs whose properties are given in [1]. With the same notation adopted in the above paper, for any such graph G, choose an ordering of the l(v) half-edges of G emanating from each vertex v. Then consider the morphisms

$$\xi_G : \prod_{v \in V} \overline{\mathcal{M}}_{g(v), l(v)} \to \overline{\mathcal{M}}_{g, P}, \tag{4}$$

where g(v) are non-negative integers which label each vertex of G. A point in the domain of ξ_G is the datum of an l(v)-pointed curve C_v for each v; the image point is the P-labelled genus g curve that one obtains by identifying the marked points of C_v which correspond to those half-edges of G linked by an edge. By its definition, the map ξ_G does not depend on the ordering chosen for the half-edges issuing from each vertex.

These morphisms allow to define the tautological ring $T^\ast_{g,P}$ which is generated by the classes

$$\xi_{G,*}(\otimes_{v\in V_G} p_v),$$

where p_v is a monomial in the κ or ψ_p classes of $\overline{\mathcal{M}}_{g(v),l(v)}$.

In [6], Mumford also introduces the classes

$$\lambda_i = c_i(\omega_\pi) \in H^*(\overline{\mathcal{M}}_g; \mathbb{Q}),\tag{5}$$

which are called *Hodge classes*. He proves that they belong to the tautological ring by applying the Grothendieck-Riemann-Roch Theorem to the universal curve

$$\pi:\overline{\mathcal{M}}_{g,q}\to\overline{\mathcal{M}}_g$$

In the next section we consider a slight generalization of the Hodge classes and show that they can be expressed in terms of tautological ones.

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2. Generalized Hodge classes are tautological

Let us consider the relative dualizing sheaf ω_{π} , with π the morphism introduced in (1), and the vector bundles

$$\mathbb{E}_s = \pi_*(\omega_\pi^s), \ s \ge 1$$

Definition 2.1. The Chern classes of the vector bundle \mathbb{E}_s are called generalized Hodge classes and denoted by $\mu_j(s)$.

Obviously, the $\mu_j(1)$'s are exactly the classes introduced in (5). By Definition 2.1 we observe that the $\mu_j(s)$'s are zero up to genus 1 unless $\mu_1(1) = \lambda_1$. In fact,

$$\operatorname{rk}(\mathbb{E}_s) = \begin{cases} g & s = 1, \\ (2s-1)(g-1) & s \ge 2. \end{cases}$$

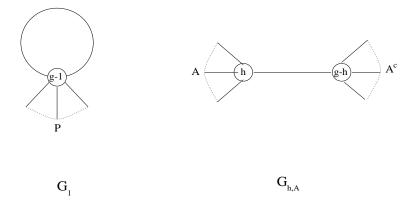
Let us introduce some additional notation to state the main result of this section. Denote by $ch(\mathbb{E}_s)$ the Chern character of \mathbb{E}_s . We shall also use Bernoulli numbers B_n and Bernoulli polynomials $B_n(u)$. Their definition is given via the following identities:

$$\frac{x}{e^x - 1} = \sum_{n \ge 0} B_n \frac{x^n}{n!},$$

$$e^{ux} \frac{x}{e^x - 1} = \sum_{n \ge 0} B_n(u) \frac{x^n}{n!},$$
(6)

where x and u are formal variables.

Consider, now, the graphs G_1 and $G_{h,A}$ $(h \ge 0, A \subset P, 2h-1+|A| > 0, 2(g-h)-1+|A^c| > 0)$



Next, as defined in (4), the morphisms associated with the graphs above will be denoted by

$$\xi_{G_1}: \overline{\mathcal{M}}_{g-1, P\cup\{q_1, q_2\}} \to \overline{\mathcal{M}}_{g, P}$$

and

$$\xi_{G_{h,A}}: \overline{\mathcal{M}}_{h,A\cup\{r_1\}} \times \overline{\mathcal{M}}_{g-h,A^c\cup\{r_2\}} \to \overline{\mathcal{M}}_{g,P}.$$

As recalled in the Introduction, the Hodge classes can be expressed in terms of tautological classes. This is proved via Mumford's result (see [6]), which is stated here for the moduli space of pointed curves.

Theorem 2.1. In $H^*(\overline{\mathcal{M}}_{g,P};\mathbb{Q})$

$$ch(\mathbb{E}_{1}) = g + \frac{1}{2} \sum_{m \ge 1} \frac{B_{2m}}{(2m)!} \Big\{ \widetilde{\kappa}_{2m-1} + \\ \xi_{G_{1,*}}(\psi_{q_{1}}^{2m-2} - \psi_{q_{1}}^{2m-3}\psi_{q_{2}} + \dots + \psi_{q_{2}}^{2m-2}) + \\ \sum_{h=0}^{g} \sum_{A \subset P} \xi_{G_{h,A,*}}(\psi_{r_{1}}^{2m-2} \otimes 1 - \psi_{r_{1}}^{2m-3} \otimes \psi_{r_{2}} + \dots + 1 \otimes \psi_{r_{2}}^{2m-2}) \Big\}.$$

Notice that the relations in Theorem 2.1 involve only Mumford classes $\tilde{\kappa}_m$ for m odd.

The same methods adopted by Mumford to prove Theorem 2.1 give analogous relations among the $\tilde{\kappa}$ and the $\mu_j(s)$. In particular, the following holds.

Theorem 2.2. The generalized Hodge classes $\mu_j(s), s \in \mathbb{N}, s \geq 2$, belong to the tautological ring $T_{g,P}^*$.

Proof. Let us apply the Grothendieck-Riemann-Roch Theorem to the morphism

$$\pi: \overline{\mathcal{M}}_{g,P\cup\{q\}} \to \overline{\mathcal{M}}_{g,P}$$

and to the vector bundle $\mathbb{E}_s, s \geq 2$. By the same arguments expounded in [6] and [4], we get

$$ch(\mathbb{E}_{s}) = \sum_{m \geq 1} \frac{B_{m}(s)}{m!} \widetilde{\kappa}_{m-1} + \frac{1}{2} \sum_{m \geq 1} \frac{B_{2m}}{(2m)!} \cdot \\ \cdot \Big\{ \xi_{G_{1},*}(\psi_{q_{1}}^{2m-2} - \psi_{q_{1}}^{2m-3}\psi_{q_{2}} + \ldots + \psi_{q_{2}}^{2m-2}) + \\ \sum_{h=0}^{g} \sum_{A \subset P} \xi_{G_{h,A},*}(\psi_{r_{1}}^{2m-2} \otimes 1 - \psi_{r_{1}}^{2m-2} \otimes \psi_{r_{2}} + \ldots + 1 \otimes \psi_{r_{2}}^{2m-2}) \Big\},$$

$$(7)$$

where $B_m(s)$ is the *m*-th Bernoulli polynomial evaluated at *s*. Since the generalized Hodge classes can be expressed in terms of Chern characters, the result follows.

From Theorem 2.2 we deduce relations for the $\tilde{\kappa}_m$ classes for m even, whereas in Theorem 2.1 no information was given for these classes. More explicitly, we have

Corollary 2.3. For each $s \geq 2$, the subring of $H^*(\mathcal{M}_{g,P}; \mathbb{Q})$ generated by the classes $\widetilde{\kappa}_i$ equals the subring generated by the generalized Hodge classes $\mu_j(s)$.

Proof. By Theorem 2.2, we get

$$ch_0(\mathbb{E}_s) = B_1(s) = (s - \frac{1}{2})(2g - 2) = (2s - 1)(g - 1),$$

and, for $t \ge 1$,

$$ch_t(\mathbb{E}_s) = \begin{cases} \frac{B_{t+1}(s)}{(t+1)!} \widetilde{\kappa}_t + \delta_t & t \equiv 1 \mod 2, \\ \frac{B_{t+1}(s)}{(t+1)!} \widetilde{\kappa}_t & \text{otherwise,} \end{cases}$$
(8)

where

$$\delta_t := (-1)^{t-1} \frac{B_{t+1}}{(t+1)!} \{ \frac{1}{2} \xi_{G_{0,*}} (\psi_{q_1}^{t-1} - \psi_{q_1}^{t-2} \psi_{q_2} + \ldots + \psi_{q_2}^{t-1}) \\ + \frac{1}{2} \sum_{h=0}^g \sum_{A \subset P} \xi_{G_{h,A},*} (\psi_{r_1}^{t-1} \otimes 1 - \psi_{r_1}^{t-2} \otimes \psi_{r_2} + \ldots + 1 \otimes \psi_{r_2}^{t-1}) \}.$$

Thus the claim follows from properties of Bernoulli polynomials. Indeed, since

$$\int_{y}^{y+1} e^{tx} \frac{x}{e^x - 1} dt = e^{yx},$$

we have

$$\int_{y}^{y+1} B_n(t)dt = y^n;$$

hence

$$B_n(s+1) - B_n(s) = ns^{n-1},$$

for each $n \ge 0$ and $s \ge 2$. Accordingly,

$$B_n(s) = n[(s-1)^{n-1} + \ldots + 1] + B_n.$$
(9)

Since Bernoulli numbers are not integers for $n \ge 1$, (9) shows that the Bernoulli polynomials are not zero for each integer $s, s \ge 2$. Therefore the relations in (8) can be inverted. \Box

2.1. Examples of relations in the tautological ring

For low m we give explicit relations involving the $\tilde{\kappa}_m$ classes and the generalized Hodge classes. If we set m = 1 in (7), for $s \ge 1$ we get

$$\mu_1(s) = ch_1(\mathbb{E}_s) = \frac{B_2(s)}{2}\widetilde{\kappa}_1 + \frac{1}{12}\delta,$$

where

$$\delta := \frac{1}{2} \xi_{G_{1,*}}(1) + \frac{1}{2} \sum_{0 \le h \le [g/2]} \sum_{A \subseteq P} \xi_{G_{h,A},*}(1), \tag{10}$$

and

$$\frac{B_2(s)}{2} = \frac{6s^2 - 6s + 1}{12}.$$

In other words,

$$\mu_1(s) = \frac{6s^2 - 6s + 1}{12} \{\kappa_1 + \sum_{p \in P} \psi_p\} + \frac{1}{12}\delta.$$

Note that for s = 1 we get

$$\lambda_1 = ch(\mathbb{E}_1) = \frac{1}{12}(\widetilde{\kappa}_1 + \delta),$$

which coincides with the relation given in [6]: here we have the classes $\tilde{\kappa}_1$, since we are dealing with pointed curves.

As remarked in Section 2, the classes $\mu_j(s)$ serve to express the classes $\tilde{\kappa}_m$, *m* even, in terms of other tautological classes. For instance, we have

$$\widetilde{\kappa}_2 = \frac{3}{B_3(s)} \left[\frac{B_2^2(s)}{4} \widetilde{\kappa}_1^2 + \frac{1}{144} \delta^2 + \frac{B_2(s)}{12} \widetilde{\kappa}_1 \delta - 2\mu_2(s) \right],$$

and

$$\begin{aligned} \widetilde{\kappa}_{4} &= \frac{1}{B_{5}(s)} \left[-20\mu_{4}(s) + 20B_{2}(s) \frac{B_{4}(s)}{4!} \widetilde{\kappa}_{1} \widetilde{\kappa}_{3} + 20B_{2}(s) \widetilde{\kappa}_{1} \delta_{3} \right. \\ &+ \left. - \frac{B_{2}^{2}(s)B_{3}(s)\widetilde{\kappa}_{2}\widetilde{\kappa}_{1}^{2}}{12} + \frac{5}{96}B_{2}^{4}(s)\widetilde{\kappa}_{1}^{4} + \frac{5}{36}B_{4}(s)\widetilde{\kappa}_{3}\delta \right. \\ &+ \left. \frac{10}{3}\delta_{3}\delta - \frac{5}{36}B_{2}(s)B_{3}(s)\delta\widetilde{\kappa}_{1}\widetilde{\kappa}_{2} + \frac{5}{144}B_{2}^{3}(s)\widetilde{\kappa}_{1}^{3}\delta - \frac{5}{432}B_{3}(s)\widetilde{\kappa}_{2}\delta^{2} \right. \\ &+ \left. \frac{5}{576}B_{2}^{2}(s)\widetilde{\kappa}_{1}\delta^{2} + \frac{5}{5184}B_{2}(s)\widetilde{\kappa}_{1}\delta^{3} + \frac{5}{124416}\delta^{4} + \frac{5}{18}B_{3}^{2}(s)\widetilde{\kappa}_{2}^{2} \right], \end{aligned}$$

where

$$B_2(s) = s^2 - s + 1/6,$$

$$B_3(s) = s^3 - (3/2)s^2 + (1/6)s,$$

$$B_4(s) = s^4 - 2s + s^2 - 1/30,$$

$$B_5(s) = s^5 - (5/2)s^4 + (5/3) - s/6,$$

and

$$\delta_{3} = -\frac{1}{1440} \{ \xi_{G_{1,*}} (\psi_{q_{1}}^{2} - \psi_{q_{1}}\psi_{q_{2}} + \psi_{q_{2}}^{2}) \\ + \sum_{h=0}^{g} \sum_{A \subset P} \xi_{G_{h,A},*} (\psi_{r_{1}}^{2} \otimes 1 - \psi_{r_{1}} \otimes \psi_{r_{2}} + 1 \otimes \psi_{r_{2}}^{2}) \}$$

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