# ALGEBRAIC K-THEORY AND SUMS-OF-SQUARES FORMULAS

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ABSTRACT. We prove a result about the existence of certain 'sums-ofsquares' formulas over a field F. A classical theorem uses topological K-theory to show that if such a formula exists over  $\mathbb{R}$ , then certain powers of 2 must divide certain binomial coefficients. In this paper we use algebraic K-theory to extend the result to all fields not of characteristic 2.

## 1. INTRODUCTION

Let F be a field. A classical problem asks for which values of r, s, and n does there exist an identity of the form

 $(x_1^2 + \dots + x_r^2) (y_1^2 + \dots + y_s^2) = z_1^2 + \dots + z_n^2$ 

in the polynomial ring  $F[x_1, \ldots, x_r, y_1, \ldots, y_s]$ , where the  $z_i$ 's are bilinear expressions in the x's and y's. Such an identity is called a SUMS-OF-SQUARES FORMULA OF TYPE [r, s, n]. For the history of this problem, see the expository papers [L, Sh].

The main theorem of this paper is the following:

THEOREM 1.1. Assume F is not of characteristic 2. If a sums-of-squares formula of type [r, s, n] exists over F, then  $2^{\lfloor \frac{s-1}{2} \rfloor - i + 1}$  divides  $\binom{n}{i}$  for  $n - r < i \leq \lfloor \frac{s-1}{2} \rfloor$ .

As one specific application, the theorem shows that a formula of type [13, 13, 16] cannot exist over any field of characteristic not equal to 2. Previously this had only been known in characteristic zero. (Note that the case char(F) = 2, which is not covered by the theorem, is trivial: formulas of type [r, s, 1] always exist). In the case  $F = \mathbb{R}$ , the above theorem was essentially proven by Atiyah [At] as an early application of complex K-theory; the relevance of Atiyah's paper to the sums-of-squares problem was only later pointed out by Yuzvinsky [Y]. The result for characteristic zero fields can be deduced from the case  $F = \mathbb{R}$  by an algebraic argument due to K. Y. Lam and T. Y. Lam (see [Sh]). Thus, our

contribution is the extension to fields of non-zero characteristic. In this sense the present paper is a natural sequel to [DI], which extended another classical condition about sums-of-squares. We note that sums-of-squares formulas in characteristic p were first seriously investigated in [Ad1, Ad2].

Our proof of Theorem 1.1, given in Section 2, is a modification of Atiyah's original argument. The existence of a sums-of-squares formula allows one to make conclusions about the geometric dimension of certain algebraic vector bundles. A computation of algebraic K-theory (in fact just algebraic  $K^0$ ), given in Section 3, determines restrictions on what that geometric dimension can be—and this yields the theorem.

Atiyah's result for  $F = \mathbb{R}$  is actually slightly better than our Theorem 1.1. The use of topological KO-theory rather than complex K-theory yields an extra power of 2 dividing some of the binomial coefficients. It seems likely that this stronger result holds in non-zero characteristic as well and that it could be proved with Hermitian algebraic K-theory.

1.2. RESTATEMENT OF THE MAIN THEOREM. The condition on binomial coefficients from Theorem 1.1 can be reformulated in a slightly different way. This second formulation surfaces often, and it's what arises naturally in our proof. We record it here for the reader's convenience. Each of the following observations is a consequence of the previous one:

- By repeated use of Pascal's identity <sup>(c)</sup><sub>d</sub> = <sup>(c-1)</sup><sub>d-1</sub> + <sup>(c-1)</sup><sub>d</sub>, the number <sup>(n+i-1)</sup><sub>k+i</sub> is a Z-linear combination of the numbers <sup>(n)</sup><sub>k+1</sub>, <sup>(n)</sup><sub>k+2</sub>, ..., <sup>(n)</sup><sub>k+i</sub>. Similarly, <sup>(n)</sup><sub>k+i</sub> is a Z-linear combination of <sup>(n)</sup><sub>k+1</sub>, <sup>(n+1)</sup><sub>k+2</sub>, ..., <sup>(n+i-1)</sup><sub>k+i</sub>.
  An integer b is a common divisor of <sup>(n)</sup><sub>k+1</sub>, <sup>(n)</sup><sub>k+2</sub>, ..., <sup>(n)</sup><sub>k+i</sub> if and only if it is a common divisor of <sup>(n)</sup><sub>k+1</sub>, <sup>(n+1)</sup><sub>k+2</sub>, ..., <sup>(n+i-1)</sup><sub>k+i</sub>.
  The series of statements
- The series of statements

$$2^{N} \left| \begin{pmatrix} n \\ k+1 \end{pmatrix}, 2^{N-1} \right| \begin{pmatrix} n \\ k+2 \end{pmatrix}, \dots, 2^{N-i+1} \left| \begin{pmatrix} n \\ k+i \end{pmatrix} \right|$$

is equivalent to the series of statements

$$2^{N} \left| \begin{pmatrix} n \\ k+1 \end{pmatrix}, 2^{N-1} \right| \begin{pmatrix} n+1 \\ k+2 \end{pmatrix}, \dots, 2^{N-i+1} \left| \begin{pmatrix} n+i-1 \\ k+i \end{pmatrix} \right|.$$

• If N is a fixed integer, then  $2^{N-i+1}$  divides  $\binom{n}{i}$  for  $n-r < i \le N$  if and only if  $2^{N-i+1}$  divides  $\binom{r+i-1}{i}$  for  $n-r < i \le N$ .

The last observation shows that Theorem 1.1 is equivalent to the theorem below. This is the form in which we'll actually prove the result.

THEOREM 1.3. Suppose that F is not of characteristic 2. If a sums-of-squares formula of type [r, s, n] exists over F, then  $2^{\lfloor \frac{s-1}{2} \rfloor - i+1}$  divides the binomial coefficient  $\binom{r+i-1}{i}$  for  $n-r < i \leq \lfloor \frac{s-1}{2} \rfloor$ .

1.4. NOTATION. Throughout this paper  $K^0(X)$  denotes the Grothendieck group of locally free coherent sheaves on the scheme X. This group is usually denoted  $K_0(X)$  in the literature.

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#### 2. The main proof

In this section we fix a field F not of characteristic 2. Let  $q_k$  be the quadratic form on  $\mathbb{A}^k$  defined by  $q_k(x) = \sum_{i=1}^k x_i^2$ . A sums-of-squares formula of type [r, s, n] gives a bilinear map  $\phi \colon \mathbb{A}^r \times \mathbb{A}^s \to \mathbb{A}^n$  such that  $q_r(x)q_s(y) = q_n(\phi(x, y))$ . We begin with a simple lemma:

LEMMA 2.1. Let  $F \hookrightarrow E$  be a field extension, and let  $y \in E^s$  be such that  $q_s(y) \neq 0$ . Then for  $x \in E^r$  one has  $\phi(x, y) = 0$  if and only if x = 0.

*Proof.* Let  $\langle -, - \rangle$  denote the inner product on  $E^k$  corresponding to the quadratic form  $q_k$ . Note that the sums-of-squares identity implies that

$$\langle \phi(x,y), \phi(x',y) \rangle = q_s(y) \langle x, x' \rangle$$

for any x and x' in  $E^r$ . If one had  $\phi(x, y) = 0$  then the above formula would imply that  $q_s(y)\langle x, x'\rangle = 0$  for every x'; but since  $q_s(y) \neq 0$ , this can only happen when x = 0.

Let  $V_q$  be the subvariety of  $\mathbb{P}^{s-1}$  defined by  $q_s(y) = 0$ . Let  $\xi$  denote the restriction to  $V_q$  of the tautological line bundle  $\mathcal{O}(-1)$  of  $\mathbb{P}^{s-1}$ .

PROPOSITION 2.2. If a sums-of-squares formula of type [r, s, n] exists over F, then there is an algebraic vector bundle  $\zeta$  on  $\mathbb{P}^{s-1} - V_q$  of rank n - r such that

$$r[\xi] + [\zeta] = r$$

as elements of the Grothendieck group  $K^0(\mathbb{P}^{s-1} - V_q)$  of locally free coherent sheaves on  $\mathbb{P}^{s-1} - V_q$ .

Proof. We'll write  $q = q_s$  in this proof, for simplicity. Let  $S = F[y_1, \ldots, y_s]$  be the homogeneous coordinate ring of  $\mathbb{P}^{s-1}$ . By [H, Prop. II.2.5(b)] one has  $\mathbb{P}^{s-1} - V_q = \operatorname{Spec} R$ , where R is the subring of the localization  $S_q$  that consists of degree 0 homogeneous elements. The group  $K^0(\mathbb{P}^{s-1} - V_q)$  is naturally isomorphic to the Grothendieck group of finitely-generated projective R-modules. Let P denote the subset of  $S_q$  consisting of homogeneous elements of degree -1, regarded as a module over R. Then P is projective and is the module of sections of the vector bundle  $\xi$ . To see explicitly that P is projective of rank 1, observe that there is a split-exact sequence  $0 \to R^{s-1} \to R^s \xrightarrow{\pi} P \to 0$  where  $\pi(p_1, \ldots, p_s) = \sum p_i \cdot \frac{y_i}{q}$  and the splitting  $\chi: P \to R^s$  is  $\chi(f) = (y_1 f, y_2 f, \ldots, y_s f)$ .

From our bilinear map  $\phi \colon \mathbb{A}^r \times \mathbb{A}^s \to \mathbb{A}^n$  we get linear forms  $\phi(e_i, y) \in S^n$  for  $1 \leq i \leq r$ . Here  $e_i$  denotes the standard basis for  $F^r$ , and  $y = (y_1, \ldots, y_s)$  is the vector of indeterminates from S. If f belongs to P, then each component of  $f \cdot \phi(e_i, y)$  is homogeneous of degree 0—hence lies in R. Define a map  $\alpha \colon P^r \to R^n$  by

 $(f_1, \ldots, f_r) \mapsto f_1 \phi(e_1, y) + f_2 \phi(e_2, y) + \cdots + f_r \phi(e_r, y).$ 

We can write  $\alpha(f_1, \ldots, f_r) = \phi((f_1, \ldots, f_r), y)$ , where the expression on the right means to formally substitute each  $f_i$  for  $x_i$  in the defining formula for  $\phi$ . If  $R \to E$  is any map of rings where E is a field, we claim that  $\alpha \otimes_R E$  is an

injective map  $E^r \to E^n$ . To see this, note that  $R \to E$  may be extended to a map  $u: S_q \to E$  (any map Spec  $E \to \mathbb{P}^{s-1} - V_q$  lifts to the affine variety  $q \neq 0$ , as the projection map from the latter to the former is a Zariski locally trivial bundle). One obtains an isomorphism  $P \otimes_R E \to E$  by sending  $f \otimes 1$  to u(f). Using this,  $\alpha \otimes_R E$  may be readily identified with the map  $x \mapsto \phi(x, u(y))$ . Now apply Lemma 2.1.

Since R is a domain, we may take E to be the quotient field of R. It follows that  $\alpha$  is an inclusion. Let M denote its cokernel. The module M will play the role of  $\zeta$  in the statement of the proposition, so to conclude the proof we only need show that M is projective. An inclusion of finitely-generated projectives  $P_1 \hookrightarrow P_2$  has projective cokernel if and only if  $P_1 \otimes_R E \to P_2 \otimes_R E$  is injective for every map  $R \to E$  where E is a field (that is to say, the map has constant rank on the fibers)—this follows at once using [E, Ex. 6.2(iii),(v)]. As we have already verified this property for  $\alpha$ , we are done.

REMARK 2.3. The above algebraic proof hides some of the geometric intuition behind Proposition 2.2. We outline a different approach more in the spirit of [At].

Let  $Gr_r(\mathbb{A}^n)$  denote the Grassmannian variety of r-planes in affine space  $\mathbb{A}^n$ . We claim that  $\phi$  induces a map  $f \colon \mathbb{P}^{s-1} - V_q \to Gr_r(\mathbb{A}^n)$  with the following behavior on points. Let [y] be a point of  $\mathbb{P}^{s-1}$  represented by a point y of  $\mathbb{A}^s$  such that  $q_s(y) \neq 0$ . Then the map  $\phi_y : x \mapsto \phi(x, y)$  is a linear inclusion by Lemma 2.1. Let f([y]) be the r-plane that is the image of  $\phi_y$ . Since  $\phi$  is bilinear, we get that  $\phi_{\lambda y} = \lambda \cdot \phi_y$  for any scalar y. This shows that f([y]) is well-defined. We leave it as an exercise for the reader to carefully construct fas a map of schemes.

The map f has a special property related to bundles. If  $\eta_r$  denotes the tautological r-plane bundle over the Grassmannian, we claim that  $\phi$  induces a map of bundles  $\tilde{f}: r\xi \to \eta_r$  covering the map f. To see this, note that the points of  $r\xi$  (defined over some field E) correspond to equivalence classes of pairs  $(y, a) \in \mathbb{A}^s \times \mathbb{A}^r$  with  $q(y) \neq 0$ , where  $(\lambda y, a) \sim (y, \lambda a)$  for any  $\lambda$  in the field. The pair (y, a) gives us a line  $\langle y \rangle \subseteq \mathbb{A}^s$  together with r points  $a_1y, a_2y, \ldots, a_ry$ on the line.

One defines  $\tilde{f}$  so that it sends (y, a) to the element of  $\eta_r$  represented by the vector  $\phi(a, y)$  lying on the *r*-plane spanned by  $\phi(e_1, y), \ldots, \phi(e_r, y)$ . This respects the equivalence relation, as  $\phi(\lambda a, y) = \phi(a, \lambda y)$ . So we have described our map  $\tilde{f}: r\xi \to \eta_r$ . We again leave it to the reader to construct f as a map of schemes.

One readily checks that  $\tilde{f}$  is a linear isomorphism on geometric fibers, using Lemma 2.1. So  $\tilde{f}$  gives an isomorphism  $r\xi \cong f^*\eta_r$  of bundles on  $\mathbb{P}^{s-1} - V_q$ .

The bundle  $\eta_r$  is a subbundle of the rank n trivial bundle, which we denote by n. Consider the quotient  $n/\eta_r$ , and set  $\zeta = f^*(n/\eta_r)$ . Since  $n = [\eta_r] + [n/\eta_r]$  in  $K^0(Gr_r(\mathbb{A}^n))$ , application of  $f^*$  gives  $n = [f^*\eta_r] + [\zeta]$  in  $K^0(\mathbb{P}^{s-1} - V_q)$ . Now recall that  $f^*\eta_r \cong r\xi$ . This gives the desired formula in Proposition 2.2.

The next task is to compute the Grothendieck group  $K^0(\mathbb{P}^{s-1} - V_q)$ . This becomes significantly easier if we assume that F contains a square root of -1. The reason for this is made clear in the next section.

PROPOSITION 2.4. Suppose that F contains a square root of -1 and is not of characteristic 2. Let  $c = \lfloor \frac{s-1}{2} \rfloor$ . Then  $K^0(\mathbb{P}^{s-1} - V_q)$  is isomorphic to  $\mathbb{Z}[\nu]/(2^c\nu, \nu^2 = -2\nu)$ , where  $\nu = [\xi] - 1$  generates the reduced Grothendieck group  $\tilde{K}^0(\mathbb{P}^{s-1} - V_q) \cong \mathbb{Z}/2^c$ .

The proof of the above result will be deferred until the next section. Note that  $K^0(\mathbb{P}^{s-1} - V_q)$  has the same form as the complex K-theory of real projective space  $\mathbb{R}P^{s-1}$  [A, Thm. 7.3]. To complete the analogy, we point out that when  $F = \mathbb{C}$  the space  $\mathbb{C}P^{s-1} - V_q(\mathbb{C})$  is actually homotopy equivalent to  $\mathbb{R}P^{s-1}$  [Lw, 6.3]. We also mention that for the special case where F is contained in  $\mathbb{C}$ , the above proposition was proved in [GR, Theorem, p. 303].

By accepting the above proposition for the moment, we can finish the

Proof of Theorem 1.3. Recall that one has operations  $\gamma^i$  on  $\tilde{K}^0(X)$  for any scheme X [SGA6, Exp. V] (see also [AT] for a very clear explanation). If  $\gamma_t = 1 + \gamma^1 t + \gamma^2 t^2 + \cdots$  denotes the generating function, then their basic properties are:

- (i)  $\gamma_t(a+b) = \gamma_t(a)\gamma_t(b)$ .
- (ii) For a line bundle L on X one has  $\gamma_t([L] 1) = 1 + t([L] 1)$ .
- (iii) If E is an algebraic vector bundle on X of rank k then  $\gamma^i([E] k) = 0$  for i > k.

The third property follows from the preceding two via the splitting principle. If a sums-of-squares identity of type [r, s, n] exists over a field F, then it also exists over any field containing F. So we may assume F contains a square root of -1. If we write  $X = \mathbb{P}^{s-1} - V_q$ , then by Proposition 2.2 there is a rank n-r bundle  $\zeta$  on X such that  $r[\xi] + [\zeta] = n$  in  $K^0(X)$ . This may also be written as  $r([\xi] - 1) + ([\zeta] - (n-r)) = 0$  in  $\tilde{K}^0(X)$ . Setting  $\nu = [\xi] - 1$  and applying the operation  $\gamma_t$  we have

$$\gamma_t(\nu)^r \cdot \gamma_t([\zeta] - (n-r)) = 1$$

or

$$\gamma_t([\zeta] - (n-r)) = \gamma_t(\nu)^{-r} = (1+t\nu)^{-r}.$$

The coefficient of  $t^i$  on the right-hand-side is  $(-1)^i \binom{r+i-1}{i} \nu^i$ , which is the same as  $-2^{i-1} \binom{r+i-1}{i} \nu$  using the relation  $\nu^2 = -2\nu$ . Finally, since  $\zeta$  has rank n-r we know that  $\gamma^i([\zeta] - (n-r)) = 0$  for i > n-r. In light of Proposition 2.4, this means that  $2^c$  divides  $2^{i-1} \binom{r+i-1}{i}$  for i > n-r, where  $c = \lfloor \frac{s-1}{2} \rfloor$ . When i-1 < c, we can rearrange the powers of 2 to conclude that  $2^{c-i+1}$  divides  $\binom{r+i-1}{i}$  for  $n-r < i \leq c$ .

# 3. K-THEORY OF DELETED QUADRICS

The rest of the paper deals with the K-theoretic computation stated in Proposition 2.4. This computation is entirely straightforward, and could have been done in the 1970's. We do not know of a reference, however.

Let 
$$Q_{n-1} \hookrightarrow \mathbb{P}^n$$
 be the split quadric defined by one of the equations

$$a_1b_1 + \dots + a_kb_k = 0$$
  $(n = 2k - 1)$  or  $a_1b_1 + \dots + a_kb_k + c^2 = 0$   $(n = 2k)$ .

Beware that in general  $Q_{n-1}$  is not the same as the variety  $V_q$  of the previous section. However, if F contains a square root i of -1 then one can write  $x^2 + y^2 = (x + iy)(x - iy)$ . After a change of variables the quadric  $V_q$  becomes isomorphic to  $Q_{n-1}$ . These 'split' quadrics  $Q_{n-1}$  are simpler to compute with, and we can analyze the K-theory of these varieties even if F does not contain a square root of -1.

Write  $DQ_n = \mathbb{P}^n - Q_{n-1}$ , and let  $\xi$  be the restriction to  $DQ_n$  of the tautological line bundle  $\mathcal{O}(-1)$  of  $\mathbb{P}^n$ . In this section we calculate  $K^0(DQ_n)$  over any ground field F not of characteristic 2. Proposition 2.4 is an immediate corollary of this more general result:

THEOREM 3.1. Let F be a field of characteristic not 2. The ring  $K^0(DQ_n)$  is isomorphic to  $\mathbb{Z}[\nu]/(2^c\nu,\nu^2 = -2\nu)$ , where  $\nu = [\xi] - 1$  generates the reduced group  $\tilde{K}^0(DQ_n) \cong \mathbb{Z}/2^c$  and  $c = \lfloor \frac{n}{2} \rfloor$ .

REMARK 3.2. We remark again that we are writing  $K^0(X)$  for what is usually denoted  $K_0(X)$  in the algebraic K-theory literature. We prefer this notation partly because it helps accentuate the relationship with topological K-theory.

3.3. BASIC FACTS ABOUT K-THEORY. Let X be a scheme. As usual  $K^0(X)$  denotes the Grothendieck group of locally free coherent sheaves, and  $G_0(X)$  (also called  $K'_0(X)$ ) is the Grothendieck group of coherent sheaves [Q, Section 7]. Topologically speaking,  $K^0(-)$  is the analog of the usual complex K-theory functor  $KU^0(-)$  whereas  $G_0$  is something like a Borel-Moore version of KU-homology.

Note that there is an obvious map  $\alpha: K^0(X) \to G_0(X)$  coming from the inclusion of locally free coherent sheaves into all coherent sheaves. When X is nonsingular,  $\alpha$  is an isomorphism whose inverse  $\beta: G_0(X) \to K^0(X)$  is constructed in the following way [H, Exercise III.6.9]. If  $\mathcal{F}$  is a coherent sheaf on X, there exists a resolution

$$0 \to \mathcal{E}_n \to \cdots \to \mathcal{E}_0 \to \mathcal{F} \to 0$$

in which the  $\mathcal{E}_i$ 's are locally free and coherent. One defines  $\beta(\mathcal{F}) = \sum_i (-1)^i [\mathcal{E}_i]$ . This does not depend on the choice of resolution, and now  $\alpha\beta$  and  $\beta\alpha$  are obviously the identities. This is 'Poincare duality' for K-theory.

Since we will only be dealing with smooth schemes, we are now going to blur the distinction between  $G_0$  and  $K^0$ . If  $\mathcal{F}$  is a coherent sheaf on X, we will write  $[\mathcal{F}]$  for the class that it represents in  $K^0(X)$ , although we more literally mean  $\beta([\mathcal{F}])$ . As an easy exercise, check that if  $i: U \hookrightarrow X$  is an open immersion then

the image of  $[\mathfrak{F}]$  under  $i^* \colon K^0(X) \to K^0(U)$  is the same as  $[\mathfrak{F}|_U]$ . We will use this fact often.

If  $j: Z \hookrightarrow X$  is a smooth embedding and  $i: X - Z \hookrightarrow X$  is the complement, there is a Gysin sequence [Q, Prop. 7.3.2]

$$\cdots \to K^{-1}(X-Z) \longrightarrow K^0(Z) \xrightarrow{j_!} K^0(X) \xrightarrow{i^*} K^0(X-Z) \longrightarrow 0.$$

(Here  $K^{-1}(X - Z)$  denotes the group usually called  $K_1(X - Z)$ , and  $i^*$  is surjective because X is regular). The map  $j_!$  is known as the Gysin map. If  $\mathcal{F}$ is a coherent sheaf, then  $j_!([\mathcal{F}])$  equals the class of its pushforward  $j_*(\mathcal{F})$  (also known as extension by zero). Note that the pushforward of coherent sheaves is exact for closed immersions.

3.4. BASIC FACTS ABOUT  $\mathbb{P}^n$ . If Z is a degree d hypersurface in  $\mathbb{P}^n$ , then the structure sheaf  $\mathcal{O}_Z$  can be pushed forward to  $\mathbb{P}^n$  along the inclusion  $Z \to \mathbb{P}^n$ ; we will still write this pushforward as  $\mathcal{O}_Z$ . It has a very simple resolution of the form  $0 \to \mathcal{O}(-d) \to \mathcal{O} \to \mathcal{O}_Z \to 0$ , where  $\mathcal{O}$  is the trivial rank 1 bundle on  $\mathbb{P}^n$  and  $\mathcal{O}(-d)$  is the d-fold tensor power of the tautological line bundle  $\mathcal{O}(-1)$  on  $\mathbb{P}^n$ . So  $[\mathcal{O}_Z]$  equals  $[\mathcal{O}] - [\mathcal{O}(-d)]$  in  $K^0(\mathbb{P}^n)$ . From now on we'll write  $[\mathcal{O}] = 1$ . Now suppose that  $Z \hookrightarrow \mathbb{P}^n$  is a complete intersection, defined by the regular sequence of homogeneous equations  $f_1, \ldots, f_r \in k[x_0, \ldots, x_n]$ . Let  $f_i$  have degree  $d_i$ . The module  $k[x_0, \ldots, x_n]/(f_1, \ldots, f_r)$  is resolved by the Koszul complex, which gives a locally free resolution of  $\mathcal{O}_Z$ . It follows that

(3.4) 
$$[\mathfrak{O}_Z] = (1 - [\mathfrak{O}(-d_1)]) (1 - [\mathfrak{O}(-d_2)]) \cdots (1 - [\mathfrak{O}(-d_r)])$$

in  $K^0(\mathbb{P}^n)$ . In particular, note that for a linear subspace  $\mathbb{P}^i \hookrightarrow \mathbb{P}^n$  one has

$$[\mathcal{O}_{\mathbb{P}^i}] = \left(1 - [\mathcal{O}(-1)]\right)^{n-i}$$

because  $\mathbb{P}^i$  is defined by n-i linear equations.

One can compute that  $K^0(\mathbb{P}^n) \cong \mathbb{Z}^{n+1}$ , with generators  $[\mathcal{O}_{\mathbb{P}^0}], [\mathcal{O}_{\mathbb{P}^1}], \ldots, [\mathcal{O}_{\mathbb{P}^n}]$ (see [Q, Th. 8.2.1], as one source). If  $t = 1 - [\mathcal{O}(-1)]$ , then the previous paragraph tells us that  $K^0(\mathbb{P}^n) \cong \mathbb{Z}[t]/(t^n)$  as rings. Here  $t^k$  corresponds to  $[\mathcal{O}_{\mathbb{P}^{n-k}}]$ .

3.5. COMPUTATIONS. Let n = 2k. Recall that  $Q_{2k-1}$  denotes the quadric in  $\mathbb{P}^{2k}$  defined by  $a_1b_1 + \cdots + a_kb_k + c^2 = 0$ . The Chow ring CH<sup>\*</sup>( $Q_{2k-1}$ ) consists of a copy of  $\mathbb{Z}$  in every dimension (see [DI, Appendix A] or [HP, XIII.4–5], for example). The generators in dimensions k through 2k - 1 are represented by subvarieties of  $Q_{2k-1}$  which correspond to linear subvarieties  $\mathbb{P}^{k-1}, \mathbb{P}^{k-2}, \ldots, \mathbb{P}^0$  under the embedding  $Q_{2k-1} \hookrightarrow \mathbb{P}^{2k}$ . In terms of equations,  $\mathbb{P}^{k-i}$  is defined by  $c = b_1 = \cdots = b_k = 0$  together with  $0 = a_k = a_{k-1} = \cdots = a_{k-i+2}$ . The generators of the Chow ring in degrees 0 through k - 1 are represented by subvarieties  $Z_i \hookrightarrow \mathbb{P}^{2k}$  ( $k \leq i \leq 2k - 1$ ), where  $Z_i$  is defined by the equations

$$0 = b_1 = b_2 = \dots = b_{2k-1-i}, \qquad a_1b_1 + \dots + a_kb_k + c^2 = 0.$$

Note that  $Z_{2k-1} = Q_{2k-1}$ .

The following result is proven in [R, pp. 128-129] (see especially the first paragraph on page 129):

PROPOSITION 3.6. The group  $K^0(Q_{2k-1})$  is isomorphic to  $\mathbb{Z}^{2k}$ , with generators  $[\mathcal{O}_{\mathbb{P}^0}], \ldots, [\mathcal{O}_{\mathbb{P}^{k-1}}]$  and  $[\mathcal{O}_{Z_k}], \ldots, [\mathcal{O}_{Z_{2k-1}}]$ .

It is worth noting that to prove Theorem 3.1 we don't actually need to know that  $K^0(Q_{2k-1})$  is free—all we need is the list of generators.

Proof of Theorem 3.1 when n is even. Set n = 2k. To calculate  $K^0(DQ_{2k})$  we must analyze the localization sequence

$$\cdots \to K^0(Q_{2k-1}) \xrightarrow{j_1} K^0(\mathbb{P}^{2k}) \to K^0(DQ_{2k}) \to 0.$$

The image of  $j_1: K^0(Q_{2k-1}) \to K^0(\mathbb{P}^{2k})$  is precisely the subgroup generated by  $[\mathcal{O}_{\mathbb{P}^0}], \ldots, [\mathcal{O}_{\mathbb{P}^{k-1}}]$  and  $[\mathcal{O}_{Z_k}], \ldots, [\mathcal{O}_{Z_{2k-1}}]$ . Since  $\mathbb{P}^i$  is a complete intersection defined by 2k - i linear equations, formula (3.4) tells us that  $[\mathcal{O}_{\mathbb{P}^i}] = t^{2k-i}$  for  $0 \le i \le k-1$ .

Now,  $Z_{2k-1}$  is a degree 2 hypersurface in  $\mathbb{P}^{2k}$ , and so  $[\mathcal{O}_{Z_{2k-1}}]$  equals  $1-[\mathcal{O}(-2)]$ . Note that

$$1 - [\mathcal{O}(-2)] = 2(1 - [\mathcal{O}(-1)]) - (1 - [\mathcal{O}(-1)])^2 = 2t - t^2.$$

In a similar way one notes that  $Z_i$  is a complete intersection defined by 2k-1-i linear equations and one degree 2 equation, so formula (3.4) tells us that

$$[\mathcal{O}_{Z_i}] = (1 - [\mathcal{O}(-1)])^{2k-1-i} \cdot (1 - [\mathcal{O}(-2)]) = t^{2k-1-i}(2t - t^2).$$

The calculations in the previous two paragraphs imply that the kernel of the map  $K^0(\mathbb{P}^{2k}) \to K^0(DQ_{2k})$  is the ideal generated by  $2t-t^2$  and  $t^{k+1}$ . This ideal is equal to the ideal generated by  $2t - t^2$  and  $2^k t$ , so  $K^0(DQ_{2k})$  is isomorphic to  $\mathbb{Z}[t]/(2^k t, 2t - t^2)$ . If we substitute  $\nu = [\xi] - 1 = -t$ , we find  $\nu^2 = -2\nu$ . To find  $\tilde{K}^0(DQ_{2k})$ , we just have to take the additive quotient of  $K^0(DQ_{2k})$  by the subgroup generated by 1. This quotient is isomorphic to  $\mathbb{Z}/2^k$  and is

generated by  $\nu$ .  $\Box$ This completes the proof of Theorem 3.1 in the case where *n* is even. The computation when *n* is odd is very similar:

Proof of Theorem 3.1 when n is odd. In this case  $Q_{n-1}$  is defined by the equation  $a_1b_1 + \cdots + a_kb_k = 0$  with  $k = \frac{n+1}{2}$ . The Chow ring  $CH^*(Q_{n-1})$  consists of  $\mathbb{Z}$  in every dimension except for k-1, which is  $\mathbb{Z} \oplus \mathbb{Z}$ . The generators are the  $Z_i$ 's  $(k-1 \leq i \leq 2k-2)$  defined analogously to before, together with the linear subvarieties  $\mathbb{P}^0, \mathbb{P}^1, \ldots, \mathbb{P}^{k-1}$ . By [R, pp. 128–129], the group  $K^0(Q_{n-1})$  is again free of rank 2k on the generators  $[\mathcal{O}_{Z_i}]$  and  $[\mathcal{O}_{\mathbb{P}^i}]$ . One finds that  $K^0(DQ_n)$  is isomorphic to  $\mathbb{Z}[t]/(2t-t^2,t^k) = \mathbb{Z}[t]/(2t-t^2,2^{k-1}t)$ . Everything else is as before.

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