

Linear spaces, transversal polymatroids and ASL domains

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Abstract We study a class of algebras associated with linear spaces and its relations with polymatroids and integral posets, i.e. posets supporting homogeneous ASL. We prove that the base ring of a transversal polymatroid is Koszul and describe a new class of integral posets. As a corollary we obtain that every Veronese subring of a polynomial ring is an ASL.

Keywords Families of linear spaces · Transversal polymatroids · Koszul algebras · ASL · Veronese rings · Gröbner bases

1. Introduction

Let K be an infinite field and $R = K[x_1, \dots, x_n]$ be a polynomial ring over K . Let $V = V_1, \dots, V_m$ be a collection of vector spaces of linear forms. Denote by $A(V)$ the K -subalgebra of R generated by the elements of the product $V_1 \dots V_m$. Our goal is to investigate the properties of the algebra $A(V)$ and its relationship with conjectures and questions of White, Herzog and Hibi on polymatroids and with the study of integral posets.

1.1. Polymatroids

A finite subset B of \mathbb{N}^n is a base set of a discrete polymatroid P if for every $v = (v_1, \dots, v_n), w = (w_1, \dots, w_n) \in B$ one has $v_1 + \dots + v_n = w_1 + \dots + w_n$ and for all i such that $v_i > w_i$ there exists a j with $v_j < w_j$ and $v + e_j - e_i \in B$. Here e_k denotes the k -th vector of the standard basis of \mathbb{N}^n . The notion of discrete polymatroid is a generalization of the classical notion of matroid, see [9, 11, 18, 25]. Associated

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with the base B of a discrete polymatroid P one has a K -algebra $K[B]$, called the base ring of P , defined to be the K -subalgebra of R generated by the monomials x^v with $v \in B$. The algebra $K[B]$ is known to be normal and hence Cohen-Macaulay [11]. White predicted in [26] the shape of the defining equations of $K[B]$ as a quotient of a polynomial ring: they should be the quadrics arising from the so-called symmetric exchange relations of the polymatroids. Herzog and Hibi [11] did not “escape from the temptation” to ask whether $K[B]$ is defined by a Gröbner basis of quadrics and whether $K[B]$ is a Koszul algebra. These two questions are closely related to White’s conjecture. This is because for any standard graded algebra A with defining ideal I , the existence of a Gröbner basis of quadrics for I implies the Koszul property of A which implies that I is defined by quadrics.

If C_1, \dots, C_m are non-empty subsets of $\{1, \dots, n\}$ then the set of vectors $\sum_{k=1}^m e_{j_k}$ with $j_k \in C_k$ is the base of a polymatroid. Polymatroids of this kind are called transversal. Therefore the base rings of transversal polymatroids are exactly the rings of type $A(V)$ where the spaces V_i are generated by variables. For transversal polymatroids we prove that the base ring $K[B]$ is Koszul and describe the defining equations, see Section 3. Indeed, $K[B]$ is defined as a quotient of a Segre product T^* of polynomial rings by a Gröbner basis of linear binomial forms of T^* .

1.2. ASL and integral posets

Algebras with straightening laws (ASL for short) on posets were introduced by De Concini, Eisenbud and Procesi [7, 10], see also [4]. The abstract definition of an ASL was inspired by earlier work of Hochster, Hodge, Laksov, Musili, Rota, and Seshadri among others. It was motivated by the existence of many families of classical algebras, such as coordinate rings of Grassmannians and their Schubert subvarieties and various kinds of determinantal rings, which could be treated within that framework. We recall in 5.4 the definition of homogeneous ASL and in 5.5 a well-known characterization of them in terms of revlex Gröbner bases.

A finite poset H is integral (with respect to a field K) if there exists a homogeneous ASL domain supported on H . A beautiful result, due to Hibi [14], says that any distributive lattice L is integral. Indeed, L supports a homogeneous ASL domain, denoted by H_L , in a very natural way. The ring H_L is called the Hibi ring of L and its defining equations are the so-called Hibi relations: $xy - (x \wedge y)(x \vee y)$. In a series of papers [15–17, 22, 23] Hibi and Watanabe classified various families of integral posets of low dimension. In this direction, we construct a new class of integral posets: the rank truncations of hypercubes. In details, given a sequence of positive integers $d = d_1, \dots, d_m$, let $H(d) = \prod_{i=1}^m \{1, \dots, d_i\}$ and, for $n \in \mathbb{N}$, $H_n(d) = \{\alpha \in H(d) : \text{rk } \alpha < n\}$. We show that $H_n(d)$ is an integral poset (over every infinite field K). This is done by proving that $A(V)$ is a homogeneous ASL on $H_n(d)$ if the V_i are generic linear spaces of dimension d_i of R , see Section 5. In particular, our construction shows that the Veronese subrings of polynomial rings are homogeneous ASL (obviously domains). Note however that they are not, in general, ASL with respect to their semigroup presentation.

Results from [6] show that for any collection $V = V_1, \dots, V_m$ the algebra $A(V)$ is normal. As said above, in the monomial case, i.e. when V_i are generated by variables, we show that $A(V)$ is Koszul and describe its defining equations. Our argument for

the monomial case is based on a certain elimination process and on a result, Theorem 3.1, proved independently by Sturmfels and Villarreal, describing the universal Gröbner basis of the ideal of 2-minors of a matrix of variables. This approach suggests also a possible strategy for proving that $A(V)$ is Koszul in the general case. The elimination process is still available and what one needs is a replacement of the Sturmfels-Villarreal’s theorem. This boils down to the following:

Conjecture 1.1. Let t_{ij} be distinct variables over a field K with $1 \leq i \leq m$ and $1 \leq j \leq n$. Let $L = (L_{ij})$ be an $m \times n$ matrix with $L_{ij} = \sum_{k=1}^n a_{ijk}t_{ik}$ and $a_{ijk} \in K$ for all i, j, k . Denote by $I_2(L)$ the ideal of the 2-minors of L . We conjecture that for every choice of a_{ijk} ’s, and for every term order $<$ on $K[t_{ij}]$ the initial ideal $\text{in}_<(I_2(L))$ is square-free in the \mathbb{Z}^m -graded sense, i.e. it is generated by elements of the form $t_{i_1j_1} \dots t_{i_kj_k}$ with $i_1 < i_2 < \dots < i_k$.

This conjecture can be rephrased in terms of universal comprehensive Gröbner bases [24]: the parametric ideal $I_2(L)$ (the parameters being the a_{ijk} ’s) has a comprehensive and universal Gröbner basis whose elements are multihomogeneous of degree bounded by $(1, 1, \dots, 1)$.

If $L = (t_{ij})$ then 1.1 holds; this is a consequence of Theorem 3.1. We prove in Theorem 5.1 that Conjecture 1.1 holds when a_{ijk} are generic. As a consequence, we are able to show that for generic spaces V_i algebra $A(V)$ is Cohen-Macaulay and Koszul, and describe the defining equations of $A(V)$. In particular, as mentioned above, in the generic case $A(V)$ turns out to be a homogeneous ASL on the poset $H_n(d)$ where $d = d_1, \dots, d_m$ and $d_i = \dim V_i$.

We thank C. Krattenthaler who provided a combinatorial argument for a statement which was used in an earlier version of the proof of Theorem 5.1. The results presented in this paper have been inspired, suggested and confirmed by computations performed by computer algebra system CoCoA [5].

2. Normality of $A(V)$

Let I_i be the ideal of R generated by V_i . In [6] it is proved that the product ideal $I_1 \dots I_m$ has always a linear resolution. One of the main steps in proving that result is the following [6, 3.2]:

Proposition 2.1. *For any subset $A \subseteq \{1, \dots, m\}$ set $I_A = \sum_{i \in A} I_i$ and denote by $\#A$ the cardinality of A . Then*

$$I_1 \dots I_m = \bigcap_A I_A^{\#A}$$

is a primary decomposition of I . Here the intersection is extended to all $A \neq \emptyset$.

Proposition 2.1 easily implies:

Theorem 2.2. *$A(V)$ is normal.*

Proof: Set $J = I_1 \dots I_m$. Note that I_A is a prime ideal generated by linear forms. Hence the powers of I_A are integrally closed. It follows that J is integrally closed. Since the powers of J are again products of ideals of linear forms, the same argument applies also to the powers of J . Hence we conclude that J is normal (i.e. all powers of J are integrally closed). This is equivalent to the fact that the Rees algebra $\mathcal{R}(J) = \bigoplus_{k \in \mathbb{N}} J^k$ is normal. Now $A(V)$, being a direct summand of $\mathcal{R}(J)$, is normal as well. \square

3. The monomial case

We now analyze the monomial case. Our goal is to show that $A(V)$ is Koszul if each V_i is monomial and to develop a strategy to attack the general case. So in this section we assume that each V_i is generated by a subset of the variables $\{x_1, \dots, x_n\}$. Say $V_i = \langle x_j : j \in C_i \rangle$ where C_i is a non-empty subset of $\{1, \dots, n\}$. Consider the auxiliary algebra

$$B(V) = K[V_1 y_1, \dots, V_m y_m] = K[y_i x_j : i \in 1, \dots, m, \text{ and } j \in C_i]$$

where y_1, \dots, y_m are new variables. The algebra $B(V)$ sits inside the Segre product

$$S = K[y_i x_j : 1 \leq i \leq m, 1 \leq j \leq n].$$

We consider variables t_{ij} with $i = 1, \dots, m$ and $j = 1, \dots, n$, and define

$$T = K[t_{ij} : 1 \leq i \leq m, 1 \leq j \leq n] \quad \text{and} \quad T(V) = K[t_{ij} : 1 \leq i \leq m, j \in C_i]$$

and the presentations:

$$\phi : T \rightarrow S \quad \text{and} \quad \phi' : T(V) \rightarrow B(V)$$

are defined by sending t_{ij} to $y_i x_j$.

It is well-known that $\text{Ker } \phi$ is the ideal $I_2(t)$ of 2-minors of the $m \times n$ matrix $t = (t_{ij})$. Then the algebra $B(V)$ is defined as a quotient of $T(V)$ by the ideal $I_2(t) \cap T(V)$. The algebras $B(V)$, $T(V)$, S and T can be given a \mathbb{Z}^m -graded structure by setting the degree of $y_i x_j$ and t_{ij} to be $e_i \in \mathbb{Z}^m$.

By work of Sturmfels [20, 4.11 and 8.11] and Villarreal [21, 8.1.10] one knows that a universal Gröbner basis of $I_2(t)$ is given by the cycles of the complete bipartite graph $K_{n,m}$. In details, a cycle of the complete bipartite graph is described by a pair (I, J) of sequences of integers, say

$$I = i_1, \dots, i_s, \quad J = j_1, \dots, j_s$$

with $2 \leq s \leq \min(n, m)$, $1 \leq i_k \leq m$, $1 \leq j_k \leq n$, and such that the i_k are distinct and the j_k are distinct. Associated with any such a pair we have polynomial

$$f_{I,J} = t_{i_1 j_1} \dots t_{i_s j_s} - t_{i_2 j_1} \dots t_{i_s j_{s-1}} t_{i_1 j_s}$$

which is in $I_2(t)$.

Theorem 3.1 (Sturmfels-Villarreal). *The set of the polynomials $f_{I,J}$ where (I, J) is a cycle of $K_{n,m}$ forms a universal Gröbner basis of $I_2(t)$.*

In particular we have:

Corollary 3.2. *The polynomials $f_{I,J}$ involving only variables of $T(V)$ form a universal Gröbner basis of $I_2(t) \cap T(V)$.*

Important for us is the following:

Corollary 3.3. *The ideal $I_2(t) \cap T(V)$ has a universal Gröbner basis whose elements have \mathbb{Z}^m -degree bounded above by $(1, 1, \dots, 1) \in \mathbb{Z}^m$.*

For a \mathbb{Z}^m -graded algebra E we denote by E_Δ the direct sum of the graded components of E of degree $(v, v, \dots, v) \in \mathbb{Z}^m$ as v varies in \mathbb{Z} . Similarly, for a \mathbb{Z}^m -graded E -module M we denote by M_Δ the direct sum of the graded components of M of degree $(v, v, \dots, v) \in \mathbb{Z}^m$ as v varies in \mathbb{Z} . Clearly E_Δ is a \mathbb{Z} -graded algebra and M_Δ is a \mathbb{Z} -graded E_Δ -module. Furthermore $-\Delta$ is exact as a functor on the category of \mathbb{Z}^m -graded E -modules with maps of degree 0.

Now $B(V)_\Delta$ is the K -algebra generated by the elements in $y_1V_1 \dots y_mV_m$. Therefore $A(V)$ is (isomorphic to) the algebra $B(V)_\Delta$.

Hence we obtain a presentation

$$0 \rightarrow Q \rightarrow T^* \rightarrow A(V) \rightarrow 0$$

where $Q = (I_2(t) \cap T(V))_\Delta$ and $T^* = T(V)_\Delta$ is the K -algebra generated by the monomials $t_{1j_1} \dots t_{mj_m}$ with $j_k \in C_k$, that is, T^* is the Segre product of the polynomial rings

$$T_i = K[t_{ij} : j \in C_i].$$

From Corollary 3.3 we get:

Corollary 3.4. *The ideal Q is generated by elements of degree $(1, 1, \dots, 1)$ which form a Gröbner basis with respect to any term order on the variables t_{ij} .*

Proof: Let $g \in Q$ be a homogeneous element of degree, say, (a, a, \dots, a) . Then there exists $h \in I_2(t) \cap T(V)$ of multidegree $\leq (1, 1, \dots, 1)$ such that $\text{in}(h) | \text{in}(g)$. Then there exists a monomial v of multidegree $(1, 1, \dots, 1) - \text{deg } h$ such that $\text{in}(h)v | \text{in}(g)$. It follows that $hv \in Q$ has degree $(1, 1, \dots, 1)$ and its initial term divides $\text{in}(g)$. \square

In 3.4 (and later on) we consider Gröbner bases and initial ideals of ideals in K -subalgebras of polynomial rings. For the details on this “relative” Gröbner basis theory the reader can consult, for instance, [2, Section 3] or [20, Chapter 11]. We may now conclude:

Theorem 3.5. *If V_i are generated by variables then $A(V)$ is a Koszul algebra. Moreover $A(V)$ is the quotient of the Segre product T^* by an ideal generated by linear (binomial) forms which are a Gröbner basis.*

Proof: From 3.4 we know that the initial ideal $\text{in}(Q)$ (with respect to any term order) is an ideal of T^* generated by a subset of the monomials generating T^* as a K -algebra. By work of Herzog, Hibi and Restuccia [12, 2.3] we know that Segre products of polynomial rings are strongly Koszul semigroup rings. Strongly Koszul semigroup rings remain strongly Koszul after moding out by semigroup generators [12, 2.1]. So $T^*/\text{in}(Q)$ is strongly Koszul and in particular Koszul. But then the standard deformation argument shows that T^*/Q is Koszul, see [2, 3.16] for details. Therefore we can conclude that $A(V)$ is a Koszul algebra. □

Remark 3.6. In the proof above we have shown that a Segre product of polynomial rings modulo a certain ideal of linear forms is Koszul. One might ask whether the linear sections of the Segre product of polynomial rings are always Koszul. It is not the case. The ideal of 2-minors of the matrix

$$\begin{pmatrix} 0 & x & y & z \\ x & y & 0 & t \end{pmatrix}$$

defines an algebra which is a linear section of the Segre product of polynomial rings of dimension 2 and 4 and it is not Koszul. This is the algebra number 69 in Roos’ list [19], a well-known gold-mine of examples.

Keeping track of the various steps of the construction above one can describe the defining equations of $A(V)$. In details, we set $C = C_1 \times C_2 \times \dots \times C_m$. Consider variables s_α with $\alpha \in C$ and the polynomial ring $K[C] = K[s_\alpha : \alpha \in C]$. Then we get presentations of the Segre product T^* and of $A(V)$ as quotients of $K[C]$ by sending $s_{(j_1, \dots, j_m)}$ to $t_{1j_1} \dots t_{mj_m}$ and to $x_{j_1} \dots x_{j_m}$ respectively.

The ring T^* is the Hibi ring of the distributive lattice C so it is defined by the Hibi relations, namely

$$s_\alpha s_\beta - s_{\alpha \vee \beta} s_{\alpha \wedge \beta}$$

where

$$\alpha \vee \beta = (\max(\alpha_1, \beta_1), \dots, \max(\alpha_m, \beta_m))$$

and

$$\alpha \wedge \beta = (\min(\alpha_1, \beta_1), \dots, \min(\alpha_m, \beta_m)).$$

We have:

Proposition 3.7. *The defining ideal of $A(V)$ as the quotient of the polynomial ring $K[C]$ is generated by the Hibi relations $s_\alpha s_\beta - s_{\alpha \vee \beta} s_{\alpha \wedge \beta}$ and by the relations*

$$s_\alpha - s_\beta$$

where $\alpha, \beta \in C$ and one is obtained from the other by the other with a non-trivial permutation.

For instance:

Example 3.8. Let $n = 3$ and $V_1 = \langle x_2, x_3 \rangle, V_2 = \langle x_1, x_3 \rangle, V_3 = \langle x_1, x_2 \rangle$. Then $B(V)$ is the quotient of $K[t_{12}, t_{13}, t_{21}, t_{23}, t_{31}, t_{32}]$ by the polynomial $t_{12}t_{23}t_{31} - t_{13}t_{21}t_{32}$ and then $A(V)$ is the quotient of $K[s_{ijk} : (i, j, k) \in \{2, 3\} \times \{1, 3\} \times \{1, 2\}]$ by the Hibi-relations

$$\begin{aligned} s_{312}s_{331} - s_{311}s_{332}, & \quad s_{212}s_{311} - s_{211}s_{312}, \\ s_{212}s_{231} - s_{211}s_{232}, & \quad s_{212}s_{331} - s_{211}s_{332}, \\ s_{231}s_{311} - s_{211}s_{331}, & \quad s_{231}s_{312} - s_{211}s_{332}, \\ s_{232}s_{311} - s_{211}s_{332}, & \quad s_{232}s_{312} - s_{212}s_{332}, \\ s_{232}s_{331} - s_{231}s_{332} & \end{aligned}$$

and by the linear relation

$$s_{231} - s_{312}$$

Remark 3.9. It is not clear whether the defining ideal of $A(V)$ as the quotient of $K[C]$ has a Gröbner basis of quadrics. The Hibi relations form a Gröbner basis with respect to any revlex linear extension of the partial order on C . There are examples where the Hibi relations together with the linear relations defining $A(V)$ are not a Gröbner basis with respect to such revlex linear extensions.

Remark 3.10. In the following special case it turns out that both $B(V)$ and $A(V)$ are defined by Gröbner bases of quadrics as quotients of polynomial rings. For a nested chain of vector spaces of linear forms $V_1 \supseteq V_2 \supseteq \dots \supseteq V_m$, we can fix a basis x_1, x_2, \dots, x_n of R_1 such that V_i is generated by x_1, \dots, x_{d_i} . Here $d_1 \geq d_2 \geq \dots \geq d_m$. It follows that $B(V)$ corresponds to a one-sided ladder determinantal ring, the ladder being the set of points (i, j) with $1 \leq i \leq m$ and $1 \leq j \leq d_i$. Furthermore, $A(V)$ coincides with the algebra associated with the principal Borel subset generated by the monomial $\prod_i x_{d_i}$. A Gröbner basis of quadrics for $B(V)$ is described in [13] and a Gröbner basis of quadrics for $A(V)$ is described in [8].

In general, however, the algebra $B(V)$ is not defined by quadrics as Example 3.8 shows. White’s conjecture [26] predicts the structure of the defining equations of the base ring of a (poly)matroid: they should be quadrics representing the basic symmetric

exchange relations of the polymatroid. Our result above Proposition 3.7 does not prove White’s conjecture in this precise form.

4. Conjectures

The constructions and arguments of the previous section suggest a general strategy to investigate the Koszul property of $A(V)$ for general (i.e. non-monomial) V_i . We outline in this section the strategy which leads us to Conjecture 1.1. Let $V = V_1, \dots, V_m$ be a collection of subspaces of R_1 and let y_1, \dots, y_m be new variables. Set $d_i = \dim V_i$, and set

$$S = K[y_i x_j : i = 1, \dots, m, j = 1, \dots, n]$$

$$B(V) = K[y_1 V_1, \dots, y_m V_m].$$

and

$$T = K[t_{ij} : i = 1, \dots, m, j = 1, \dots, n].$$

Again $B(V)$ is a K -subalgebra of S . We give degree $e_i \in \mathbf{Z}^m$ to $y_i x_j$ and to t_{ij} so that S, T and $B(V)$ are \mathbf{Z}^m -graded. We present S as a quotient of T by sending t_{ij} to $y_i x_j$. The kernel of such presentation is the ideal $I_2(t)$ generated by the 2-minors of the $m \times n$ matrix $t = (t_{ij})$. As we have seen in the previous section $A(V)$ is the diagonal algebra $B(V)_\Delta$.

We want to get the presentations of $B(V)$ and $A(V)$ by elimination from that of S . To that end we do the following: Let $f_{ij}, j = 1, \dots, d_i$, be a basis of V_i and complete it to a basis of R_1 with elements $f_{ij}, j = d_i + 1, \dots, n$. Denote by f_i the row vector (f_{ij}) and by x the row vector of the x_j ’s. Let A_i be the $n \times n$ matrix with entries in K with $x = f_i A_i$. Then $S = K[y_i f_{ij} : i = 1, \dots, m, j = 1, \dots, n]$ and $B(V) = K[y_i f_{ij} : i = 1, \dots, m, j = 1, \dots, d_i]$. Set $T(V) = K[t_{ij} : 1 \leq i \leq m, 1 \leq j \leq d_i]$. We have presentations:

$$\begin{aligned} \phi : T &\rightarrow S && \text{with } t_{ij} \rightarrow y_i f_{ij} && \text{for all } i, j \\ \phi' : T(V) &\rightarrow B(V) && \text{with } t_{ij} \rightarrow y_i f_{ij} && \text{for all } i \text{ and } 1 \leq j \leq d_i \end{aligned}$$

By construction, the kernel of ϕ is the ideal of 2-minors $I_2(L)$ of the matrix $L = (L_{ij})$ where the row vector $(L_{ij} : j = 1, \dots, n)$ is given by $(t_{i1}, \dots, t_{in})A_i$. Clearly, $\text{Ker } \phi' = I_2(L) \cap T(V)$. As explained in the previous section, by applying the diagonal functor we obtain a presentation:

$$A(V) \simeq T^* / Q$$

where T^* is the Segre product of the T_i ’s, $T_i = K[t_{ij} : j = 1, \dots, d_i]$, and $Q = (I_2(L) \cap T(V))_\Delta$.

Remark 4.1. One can easily check that the arguments of Section 3, in particular those of 3.4 and 3.5, work and can be used to show that $A(V)$ is Koszul provided one knows that $I_2(L) \cap T(V)$ has an initial ideal generated in degree $\leq (1, 1, \dots, 1) \in \mathbb{Z}^m$. On the other hand, $I_2(L) \cap T(V)$ has the desired initial ideal provided $I_2(L)$ has an initial ideal generated in degree $\leq (1, 1, \dots, 1) \in \mathbb{Z}^m$ with respect to the appropriate elimination order.

We are led by Remark 4.1 to analyze the initial ideals of ideals of 2-minors of matrices such as L . To our great surprise, the experiments support Conjecture 1.1. What we really need is a weak form of Conjecture 1.1, namely:

Conjecture 4.2. Let $L = (L_{ij})$ be an $n \times m$ matrix with $L_{ij} = \sum_{k=1}^n a_{ijk}t_{ik}$ and $a_{ijk} \in K$ for all i, j, k . Assume that for every i the forms L_{i1}, \dots, L_{in} are linearly independent. Then any lexicographic initial ideal of $I_2(L)$ is generated in degree $\leq (1, 1, \dots, 1)$.

If conjecture 4.2 holds then from the discussion above it follows that for every V_1, \dots, V_m the algebra $A(V)$ is Koszul and defined by a Gröbner basis of linear forms as the quotient of the Segre product T^* .

The next section is devoted to proving Conjecture 1.1 in the generic case.

5. The generic case

We consider now the case of generic spaces V_1, \dots, V_m . What we prove is the following:

Theorem 5.1. *If the matrix L is generic, that is, every entry $L_{ij} = \sum_{k=1}^n a_{ijk}t_{ik}$ is a generic linear combination of t_{i1}, \dots, t_{in} , then Conjecture 1.1 holds.*

The key lemma is:

Lemma 5.2. *Let V_1, \dots, V_m be subspaces of R_1 . If $\sum_{i=1}^m \dim V_i \geq n + m$ then $\dim \prod_{i=1}^m V_i < \prod_{i=1}^m \dim V_i$, i.e. there is a non-trivial linear relation among the generators of the product $\prod_{i=1}^m V_i$ obtained by multiplying K -bases of the V_i .*

Proof: By induction on n and m . If one of the V_i is principal then we can simply skip it. The case $m = 2$ is easy: the assumption is equivalent to $\dim(V_1 \cap V_2) \geq 2$ and for $f, g \in V_1 \cap V_2$ we get the non-trivial relation $fg - gf = 0$. For $m > 2$, if $\dim(V_i \cap V_j) \geq 2$ for some $i \neq j$ then the non-trivial relation above gives a non-trivial relation also for $V_1 \dots V_m$. Therefore we may assume that $\dim(V_i \cap V_j) < 2$, and, since none of the V_i is principal, also none of the V_i is R_1 . The case $n = 2$ follows and to prove the assertion in the general case we may assume that $1 < d_i < n$ for all i . Further we may assume also that the V_i are generic, since the dimension of $V_1 \dots V_m$ for special V_i can be only smaller. By genericity of the V_i we may find K -bases f_{ij} of V_i so that any set of n elements in the set $\{f_{ij} : i = 1, \dots, m, \text{ and } j = 1, \dots, d_i\}$ is a basis of R_1 . Now let x be a general linear form (it suffices that x is not contained

in any sum of the V_i which is a proper subspace of R_1). Since $x \notin V_i$ we have that $\dim V_i + (x)/(x) = d_i$, so by induction on n we may find a non-trivial relation among the generators of $V_1 \dots V_m$ modulo x . In other words there exists a relation of the form

$$\sum \lambda_\alpha f_{1\alpha_1} \dots f_{m\alpha_m} = xh$$

where $\lambda_\alpha \in K$, the sum is extended over all α in $\prod_{i=1}^m \{1, \dots, d_i\}$ and at least one of the λ_α is non-zero. We may assume $\lambda_\alpha \neq 0$ for $\alpha = (1, 1, \dots, 1)$. By the above relation we have that $xh \in \prod_{i=1}^m V_i$ and hence $xh \in \prod_{i \neq j} V_i$ for all j . But from Proposition 2.1 we see immediately that x acts as a non-zero divisor in degree $m - 1$ and higher on the ideal generated by $\prod_{i \neq j} V_i$. It follows that $h \in \prod_{i \neq j} V_i$ for all j . By the choice of the f_{ij} and since $\sum_{i=1}^m d_i \geq n + m$ we may write x as a linear combination of the f_{ij} with $i = 1, \dots, m$, and $1 < j \leq d_i$. It follows that xh can be written as a linear combination of the $f_{1\alpha_1} \dots f_{m\alpha_m}$ with $\alpha \neq (1, 1, \dots, 1)$. Hence we obtain a relation

$$\sum \lambda'_\alpha f_{1\alpha_1} \dots f_{m\alpha_m} = 0$$

with $\lambda'_\alpha = \lambda_\alpha \neq 0$ for $\alpha = (1, 1, \dots, 1)$. □

Now we are ready to prove:

Proof of Theorem 5.1: Set $I = I_2(L)$. Let $<$ be a term order on the t_{ij} . After a name change of the variables in the i -th row of L if needed, we may assume that $t_{ij+1} > t_{ij}$ for all $j = 1, \dots, n - 1$ and for all $i = 1, \dots, m$. Let J be the ideal generated by the monomials

$$t_{i_1 j_1} \dots t_{i_k j_k}$$

satisfying conditions:

$$(*) \begin{cases} 1 \leq i_1 < \dots < i_k \leq m, \\ 1 \leq j_1, \dots, j_k \leq n, \\ j_1 + \dots + j_k \geq n + k. \end{cases}$$

We will show that the initial ideal of I with respect to $<$ is equal to J . From this the assertion follows immediately. It is a simple exercise on primary decompositions that the equality $J = \text{in}(I)$ follows from three facts:

- (1) $J \subseteq \text{in}(I)$,
- (2) J and I have the same codimension and degree,
- (3) J is unmixed.

For (1) we have to show that for each pair of sequences of integers satisfying conditions (*) the monomial $t_{i_1 j_1} \dots t_{i_k j_k}$ is in $\text{in}(I)$. As L is generic, the initial ideal $\text{in}(I)$ is the multigraded generic initial ideal of I with respect to $>$. Hence $\text{in}(I)$ is Borel fixed in the multigraded sense, see [1]. In characteristic 0 this means that if a monomial M is in $\text{in}(I)$ and $t_{ij} \mid M$ then $t_{ik} M / t_{ij}$ is in $\text{in}(I)$ as well for all the $k > j$.

In arbitrary characteristic the same assertion is also true as long as M is square-free. It follows that (no matter what the characteristic is) it suffices to show that there exists an f in I such that $\text{in}(f) = t_{i_1 p_1} \dots t_{i_k p_k}$ and $p_1 \leq j_1, \dots, p_k \leq j_k$. To this end, consider the linear forms f_{ij} defined (implicitly) by the relation $x_j = \sum_{k=1}^n f_{ij} a_{ikj}$ for all j . By the construction of Section 4 we see that I is the kernel of the map ϕ . Now for $s = 1, \dots, k$ consider the subspace W_{i_s} generated by the $f_{i_s j}$ with $j \leq j_s$. Since, by assumption $\sum_{s=1}^k \dim W_{i_s} = \sum_{s=1}^k j_s \geq n + k$, by Lemma 5.2 we have that there exists a non-trivial relation among the generators of the product $W_{i_1} \dots W_{i_k}$. This implies that I contains a non-zero polynomial f supported on the set of monomials $t_{i_1 p_1} \dots t_{i_k p_k}$ where $p_1 \leq j_1, \dots, p_k \leq j_k$. Take $\text{in}(f)$ to get what we want.

As for the steps (2) and (3), the ideal I is a generic determinantal ideal and its numerical invariants are well-known: its codimension is $(m - 1)(n - 1)$ and its degree is $\binom{m+n-2}{m-1}$. Knowing the generators of J we can describe the facets of the associated simplicial complex $\Delta(J)$. Then we can read from the descriptions of the facets the codimension of J and check that it is unmixed. The facets of $\Delta(J)$ have the following description: for each $p = (p_1, \dots, p_m) \in \{1, \dots, n\}^m$ with $p_1 + \dots + p_m = n + m - 1$ we let

$$F_p = \{t_{ij} : i = 1, \dots, m \text{ and } 1 \leq j \leq p_i\}$$

It is easy to check that any such F_p is a facet of $\Delta(J)$. On the other hand if F is a face of $\Delta(J)$ let $a(F) = \{i : \exists j \text{ with } t_{ij} \in F\}$ and $j_i = \max\{j : t_{ij} \in F\}$ if $i \in a(F)$. Then set $q = (q_1, \dots, q_m)$ with $q_i = j_i$ if $i \in a(F)$ and $q_i = 1$ otherwise. Note that

$$q_1 + \dots + q_m = \sum_{i \in a(F)} j_i + m - |a(F)|$$

and that

$$\sum_{i \in a(F)} j_i < n + |a(F)|$$

since $\{t_{ij} : i \in a(F)\} \subset F \in \Delta(J)$. It follows that $q_1 + \dots + q_m < n + m$. So, increasing the q_i 's if needed, we may take $p = (p_1, \dots, p_m) \in \{1, \dots, n\}^m$ with $p_1 + \dots + p_m = n + m - 1$ and $q_i \leq p_i$. It follows that $F \subseteq F_p$.

From the description above we see that the cardinality of each F_p is $n + m - 1$. It follows that J is unmixed of codimension $(m - 1)(n - 1)$. The degree of J is the number of facets of $\Delta(J)$, that is the number of $p = (p_1, \dots, p_m) \in \{1, \dots, n\}^m$ with $p_1 + \dots + p_m = n + m - 1$. Setting $q_i = p_i - 1$, we see that the number of facets of $\Delta(J)$ is the number of $q = (q_1, \dots, q_m) \in \{0, \dots, n - 1\}^m$ with $q_1 + \dots + q_m = n - 1$, that is, the number of monomials of degree $n - 1$ in m variables. This number is $\binom{m+n-2}{m-1}$. We have checked that (2) and (3) hold. The proof of the theorem is now complete. □

Let us single out the following corollary of the proof of Theorem 5.1:

Corollary 5.3. *With the notation of the proof of Theorem 5.1 we have:*

- (a) If $i_1 < \dots < i_k$ then a monomial $t_{i_1 j_1} \dots t_{i_k j_k}$ is in J iff $j_1 + \dots + j_k \geq n + k$.
- (b) For every monomial $M = t_{i_1 j_1} \dots t_{i_k j_k} \in J$ with $i_1 < \dots < i_k$ there exists a polynomial $f_M \in I$ of the form

$$f_M = M + \sum_v \lambda_v t_{i_1 v_1} \dots t_{i_k v_k}$$

where $\lambda_v \in K$, $v \in \prod_{h=1}^k \{1, 2, \dots, j_h\}$, and $t_{i_1 v_1} \dots t_{i_k v_k} \notin J$.

- (c) The set of the polynomials f_M is a Gröbner basis of I with respect to any term order $<$ on $K[t_{ij}]$ satisfying $t_{ij+1} > t_{ij}$ for all $j = 1, \dots, n - 1$ and all $i = 1, \dots, m$.

Proof: (a) follows from the definition of J . For (b) we argue as follows. Let $<$ be a term order on $K[t_{ij}]$ satisfying $t_{ij+1} > t_{ij}$ for all $j = 1, \dots, n - 1$ and for all $i = 1, \dots, m$. We have seen in the proof of Theorem 5.1 that $J = \text{in}_{<}(I)$. Considering the reduced expression, we have that for every monomial $M = t_{i_1 j_1} \dots t_{i_k j_k} \in J$ there exists a polynomial f_M in I with initial term M and all the others terms not in J . Suppose that one of the non-leading terms of f_M , say $N = t_{i_1 v_1} \dots t_{i_k v_k}$, does not satisfy the condition $v_h \leq j_h$ for some $h = 1, \dots, k$. So there exists an h in $\{1, 2, \dots, k\}$, say h_1 , such that $v_{h_1} > j_{h_1}$. We claim that there exists a term order $<_1$ such that $t_{ij+1} >_1 t_{ij}$ for all i, j and such that $N >_1 M$. Then it follows that the initial term of f_M with respect to $<_1$ is not M and hence it must be a monomial not in J . This contradicts the fact, proved in 5.1 that $\text{in}_{<_1}(I) = J$. It remains to prove the existence of a term order $<_1$ as above. To this end it suffices to find weights $w_{ij} \in \mathbb{N}$ such that $w_{ij} < w_{ij+1}$ for all i, j and $w(M) < w(N)$, that is

$$w_{i_1, j_1} + \dots + w_{i_k, j_k} < w_{i_1, v_1} + \dots + w_{i_k, v_k}.$$

Just take $w_{ij} = j$ if $i \neq i_{h_1}$ or $i = i_{h_1}$ and $j < v_{h_1}$; otherwise take $w_{ij} = a + j$ with a large enough. Finally (c) is a direct consequence of (b). □

As explained in Section 4 it follows from Theorem 5.1 that $A(V)$ is Koszul for generic V . To get more precise information about the structure of $A(V)$ we analyze in detail the defining equations of $B(V)$ and $A(V)$. To this end we recall the definition of homogeneous ASL on posets.

Let $(H, >)$ be a finite poset and denote by $K[H]$ the polynomial ring whose variables are the elements of H . Let J_H be the monomial ideal of $K[H]$ generated by xy with $x, y \in H$ such that x and y are incomparable in H .

Definition 5.4. Let $A = K[H]/I$ where I is a homogeneous ideal (with respect to the usual grading). One says that A is a homogeneous ASL on H if

- (ASL1) The (residue classes of the) monomials not in J_H are linearly independent in A .
- (ASL2) For every $x, y \in H$ such that x and y are incomparable the ideal I contains a polynomial of the form

$$xy - \sum \lambda zt$$

with $\lambda \in K$, $z, t \in H$, $z \leq t$, $z < x$ and $z < y$.

A linear extension of the poset $(H, <)$ is a total order $<_1$ on H such that $x <_1 y$ if $x < y$. A revlex term order τ on $K[H]$ is said to be a revlex linear extension of $<$ if τ induces on H a linear extension of $<$. For obvious reasons, if $A = K[H]/I$ is a homogeneous ASL on H and τ is a revlex linear extension of $<$ then the polynomials in (ASL2) form a Gröbner basis of I and $\text{in}_\tau(I) = J_H$. In a sense the converse is also true:

Lemma 5.5. *Let $A = K[H]/I$ where I is a homogeneous ideal. Assume that for every revlex linear extension τ of $<$ one has $\text{in}_\tau(I) = J_H$. Then A is an ASL on H .*

Proof: Let τ be a revlex linear extension of $<$. Since $\text{in}_\tau(I) = J_H$ the monomials not in J_H form a K -basis of A , hence (ASL1) is satisfied. Let $x, y \in H$ be incomparable elements. Then $xy \in \text{in}_\tau(I)$ and hence there exists $F \in I$ with $\text{in}_\tau(F) = xy$. We can take F reduced in the sense that xy is the only term in F belonging to J_H . It follows that F has the form

$$xy - \sum \lambda zt$$

with $\lambda \in K, z, t \in H$ and $z \leq t$. Assume, by contradiction that this polynomial does not satisfy the conditions required in (ASL2). Then there exist a non-leading term z_1t_1 appearing in F such that $z_1 \not\leq x$ or $z_1 \not\leq y$. Say $z_1 \not\leq x$. It is easy to see that one can find a linear extension $<_1$ of $<$ such that $x <_1 z_1$. Denote by σ the revlex term order associated with $<_1$. Then xy is smaller than z_1t_1 with respect to σ and hence $\text{in}_\sigma(F)$ is a term not in J_H , contradicting the assumption. □

For a given sequence of positive integers $d = d_1, \dots, d_m$ we set

$$H(d) = \{1, \dots, d_1\} \times \dots \times \{1, \dots, d_m\}$$

and note that $H(d)$ is a sublattice of \mathbb{N}^m with respect to the natural partial order $\alpha \leq \beta$ iff $\alpha_i \leq \beta_i$ for all i . The rank $\text{rk } \alpha$ of an element $\alpha = (\alpha_i) \in H(d)$ is $\alpha_1 + \dots + \alpha_m - m$. Set

$$H_n(d) = \{\alpha \in H(d) : \text{rk } \alpha < n\}$$

With the notation of Section 4 we have a presentation $\phi' : T(V) \rightarrow B(V)$ where $T(V) = K[t_{ij} : i = 1, \dots, m, j = 1, \dots, d_i]$. As a corollary of Theorem 5.1, by elimination we obtain the following description of $\text{Ker } \phi'$:

Corollary 5.6. *Let V_1, \dots, V_m be generic spaces of dimension d_1, \dots, d_m and let f_{ij} with $j = 1, \dots, d_i$ be generic generators of V_i . Let $<$ be a term order such that $t_{ij} < t_{i,j+1}$. Then the ideal $\text{Ker } \phi'$ has a Gröbner basis whose elements are the polynomials f_M of Corollary 5.3 where $M = t_{i_1j_1} \dots t_{i_kj_k}$ with $i_1 < \dots < i_k, 1 \leq j_h \leq d_{i_h}$ and $j_1 + \dots + j_k \geq n + k$.*

Set $T_i = K[t_{ij} : 1 \leq j \leq d_i]$ and denote by T^* the Segre product $T_1 * \dots * T_m$. Consider variables s_α with $\alpha \in H(d)$ and the polynomial ring $K[s_\alpha : \alpha \in H(d)]$. For each $\alpha \in H(d)$ set $t_\alpha = t_{1\alpha_1} \dots t_{m\alpha_m}$.

We get a presentation $K[s_\alpha : \alpha \in H(d)] \rightarrow T^*$ by sending s_α to t_α whose kernel is generated by the Hibi relations:

$$s_\alpha s_\beta - s_{\alpha \vee \beta} s_{\alpha \wedge \beta}.$$

Adopting the notation of Section 4 we get a presentation $A(V) = T^*/Q$. To describe the generators of Q we do the following. For every $\alpha \in H(d) \setminus H_n(d)$ consider the polynomial f_M of Corollary 5.3 associated with the monomial $M = t_\alpha$. Set $L_\alpha = f_M$. So for all $\alpha \in H(d) \setminus H_n(d)$ we have

$$L_\alpha = t_\alpha - \sum_{\beta < \alpha} \lambda_{\alpha\beta} t_\beta \quad \text{with } \lambda_{\alpha\beta} \in K$$

and the arguments of Corollary 3.4 show that the L_α 's form a Gröbner basis of Q for any term order such that $t_{ij} > t_{i,j-1}$ for all i, j . It follows that

$$\text{in}(Q) = (t_\alpha : \alpha \in H(d) \setminus H_n(d))$$

for any term order such that $t_{ij} > t_{i,j-1}$ for all i, j . Then $T^*/\text{in}(Q)$ is defined as the quotient of $K[s_\alpha : \alpha \in H(d)]$ by:

- (1) the Hibi relations $s_\alpha s_\beta - s_{\alpha \vee \beta} s_{\alpha \wedge \beta}$ with $\alpha, \beta \in H(d)$ incomparable.
- (2) s_α with $\alpha \in H(d) \setminus H_n(d)$.

It is easy to see that the elements of type (1) and (2) form a Gröbner basis for any revlex linear extension of the partial order on $H(d)$. Hence a K -basis of $T^*/\text{in}(Q)$ is given by the monomials not in $J_{H_n(d)} + (H(d) \setminus H_n(d))$. This in turn implies that the Hibi relations and the relation L_α form a Gröbner basis of the defining ideal of $A(V)$ (as a quotient of $K[s_\alpha : \alpha \in H(d)]$ by the map sending s_α to $f_{1\alpha_1} \dots f_{m\alpha_m}$) with respect to any revlex linear extension of the partial order on $H(d)$. Summing up, we have:

Theorem 5.7. *Let V_1, \dots, V_m be generic spaces of dimension d_1, \dots, d_m and take generic generators f_{ij} of V_i . Then:*

- (1) *We have a surjective K -algebra homomorphism $F : K[s_\alpha : \alpha \in H_n(d)] \rightarrow A(V)$ sending the variable s_α to $f_{1\alpha_1} \dots f_{m\alpha_m}$.*
- (2) *Ker F is generated by two types of polynomials:*
 - (a)

$$s_\alpha s_\beta - s_{\alpha \vee \beta} s_{\alpha \wedge \beta}$$

- (b) *if $\alpha, \beta \in H_n(d)$ are incomparable and $\alpha \vee \beta \in H_n(d)$.*

$$s_\alpha s_\beta - \sum \lambda_\gamma s_\gamma s_{\alpha \wedge \beta}$$

if $\alpha, \beta \in H_n(d)$ are incomparable $\alpha \vee \beta \notin H_n(d)$ the sum is extended to the $\gamma \in H_n(d)$ with $\gamma \leq \alpha \vee \beta$ and $\lambda_\gamma \in K$ (and depends also on α and β).

- (3) The polynomials of type (a) and (b) form a Gröbner basis of $\text{Ker } F$ with respect to any revlex linear extension of the partial order of $H_n(d)$.
- (4) $A(V)$ is a homogeneous ASL on the poset $H_n(d)$.
- (5) $A(V)$ is normal, Cohen-Macaulay and Koszul.
- (6) $A(V)$ is defined, as the quotient of the Segre product T^* , by a Gröbner basis of linear forms.
- (7) The Krull dimension of $A(V)$ is $\min\{n, \dim T^* = 1 - m + \sum_{i=1}^m d_i\}$ and its degree is the number of maximal chains in $H_n(d)$.

Proof: (1), (2), (3) and (6) follow immediately from the discussion above and (4) follows from Lemma 5.5 and (3). As for (5), normality is proved in Theorem 2.2, Koszulness follows from the general argument of Section 4 and also from (3). The Cohen-Macaulay property and (7) follow from (4) by applying [4, Chap. 5] since $H_n(d)$ is a wonderful poset. □

As a corollary we obtain:

Corollary 5.8. For every m and n , the Veronese subring $R^{(m)}$ of $R = K[x_1, \dots, x_n]$ is an ASL on the poset $H_n(d)$ where $d = n, n, \dots, n$ (m -times).

Remark 5.9. The realization of the m -th Veronese subring of a polynomial ring in n variables as a homogeneous ASL has been done before for $n = 2$ and any m in [22], for $n = m = 3$ in [15] and in two different ways, and for $n = m = 4$ in [23].

An interesting consequence of Corollary 5.6 is:

Corollary 5.10. Let V_1, \dots, V_m be subspaces of R_1 of dimension d_1, d_2, \dots, d_m then:

- (a) $\dim \Pi_{i=1}^m V_i \leq |H_n(d)|$.
- (b) if the V_i are generic then $\dim \Pi_{i=1}^m V_i = |H_n(d)|$.
- (c) if the V_i are generic and if f_{ij} with $j = 1, \dots, d_i$ are generic generators of V_i then the set $\{f_{1j_1} \dots f_{mj_m} : (j_1, \dots, j_m) \in H_n(d)\}$ is a K -basis of $\Pi_{i=1}^m V_i$.
- (d) if the V_i are generic then: $\dim \Pi_{i=1}^m V_i = \Pi_{i=1}^m \dim V_i$ iff $\sum \dim V_i < m + n$.

Proof: Obviously (b) implies (a) and also (c) implies (b) and (d). So we only have to prove (c). By definition, the product $\Pi_{i=1}^m V_i$ is the component of degree $(1, 1, \dots, 1)$ of the algebra $B(V)$. Then the conclusion follows from 5.6. □

Example 5.11. Take $n = 3, d_1 = d_2 = d_3 = 2$ and generic spaces V_i of dimension d_i . Note that, up to a choice of coordinates, we are in the situation of Example 3.8 and so the structure of $A(V)$ has been already identified. But to describe the ASL structure of $A(V)$ we have to take generic coordinates for V_i , say $V_i = \langle f_{i1}, f_{i2} \rangle$. In this case

$H_n(d)$ is the cube $\{1, 2\}^3$ without the point $(2, 2, 2)$. We have a relation

$$f_{12}f_{22}f_{32} = \sum_{\alpha \in H_n(d)} \lambda_{\alpha} f_{1\alpha_1} f_{2\alpha_2} f_{3\alpha_3}.$$

Set $L = \sum_{\alpha \in H_n(d)} \lambda_{\alpha} s_{\alpha}$. Then the defining equations of $A(V)$ as the quotient of $K[s_{\alpha} : \alpha \in H_n(d)]$ are:

$$\begin{aligned} s_{112}s_{221} - s_{111}L, & \quad s_{121}s_{212} - s_{111}L, & \quad s_{211}s_{122} - s_{111}L, \\ s_{121}s_{211} - s_{111}s_{221}, & \quad s_{112}s_{211} - s_{111}s_{212}, & \quad s_{112}s_{121} - s_{111}s_{122}, \\ s_{212}s_{221} - s_{211}L, & \quad s_{122}s_{221} - s_{121}L, & \quad s_{122}s_{212} - s_{112}L \end{aligned}$$

Remark 5.12. With an argument similar to that of 2.2 one can prove that the algebra $B(V)$ is normal for any $V = V_1, \dots, V_m$. Furthermore, in the monomial and in the generic case one can prove that $B(V)$ is Cohen-Macaulay. In the monomial case the Cohen-Macaulayness is a consequence of the normality. In generic case it follows from the fact that, by 5.1, we can describe an initial ideal of its defining ideal and such an initial ideal turns out to be associated with a shellable simplicial complex.

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