

# The Uniformly 3-Homogeneous Subsets of $PGL(2, q)$

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**Abstract.** We use the character-table of  $PGL(2, q)$  to determine the subsets of that group acting uniformly 3-homogeneously on the projective line.

**Keywords:** authentication, secrecy, permutation, group, character-table, perpendicular array

## 1 Introduction

A set  $S$  of permutations on  $n$  letters is  $\mu$ -uniformly  $t$ -homogeneous if for every pair  $A, B$  of unordered  $t$ -subsets, the same number  $\mu \neq 0$  of permutations in  $S$  carry  $A$  into  $B$ . If the parameter  $\mu \neq 0$  is not specified, we speak of a uniformly  $t$ -homogeneous set of permutations. The set  $S$  is also called an  $APA_\mu(t, n, n)$ , where “APA” stands for “authentication perpendicular array.” This stems from an application in the cryptographical theory of unconditional secrecy and authentication (see [1, 2, 8]). In this paper we determine completely the subsets of  $PGL(2, q)$ , which are uniformly 3-homogeneous on the projective line.

**Theorem 1** *The  $S$  be a uniformly 3-homogeneous proper subset of the group  $PGL(2, q)$ ,  $q \geq 4$ . Then one of the following holds:*

- (i)  $S = PSL(2, q)$  or  $S = PGL(2, q) - PSL(2, q)$ ,  $q \equiv 3 \pmod{4}$ .
- (ii)  $q \in \{5, 7, 8\}$ ,  $S$  is 3-uniformly 3-homogeneous.

The proof is based on properties of the characters of  $PGL(2, q)$  and will be given in Section 2. It is essentially a corollary of the following:

**Theorem 2** *Let  $\rho$  be the permutation character of  $PGL(2, q)$  on unordered 3-subsets of the projective line, where  $q > 8$ . Then the following holds:*

*If  $q \not\equiv 3 \pmod{4}$ , then every irreducible character of  $PGL(2, q)$  is a constituent of  $\rho$ .  
If  $q \equiv 3 \pmod{4}$ , then  $\text{sgn}$  (where  $\text{sgn}(g) = 1$  if  $g \in PSL(2, q)$ ,  $\text{sgn}(g) = -1$  otherwise) is the only irreducible character which is not a constituent of  $\rho$ .*

It is well-known and easily checked that  $PSL(2, q)$  is a uniformly 3-homogeneous proper subgroup of  $PGL(2, q)$  if and only if  $q \equiv 3 \pmod{4}$ . This explains Theorem 1, (i). It also shows that the case  $q = 7$  of Theorem 1 is not very interesting. The exceptional cases  $q = 5$  and  $q = 9$  deserve attention: In [1] a 3-uniformly 3-homogeneous subset of  $PGL(2, 8)$  has been constructed. It was shown that this leads to the construction of authentication perpendicular arrays

$$APA_3(3, 9, 8^f + 1), f \geq 1,$$

and to cryptocodes achieving perfect 3-fold secrecy, which are also 2-fold secure against spoofing. The situation in case  $q = 5$  is quite interesting:

**Theorem 3**

- (i) Let  $F$  be a subgroup of order 5 of  $PSL(2, 5)$ . Then  $PSL(2, 5)$  contains a 2-uniformly 2-homogeneous subset  $S_0$  (an  $APA_2(2, 6, 6)$ ), which is the union of two double cosets of  $F$  (see [1, Theorem 12]).
- (ii) Let  $g \in PGL(2, 5) - PSL(2, 5)$ . Then  $S = S_0 \cup S_0g$  is 3-uniformly 3-homogeneous (an  $APA_3(3, 6, 6)$ ).

**Proof:** (i) was proved in [1]. The group  $PGL(2, 5)$  is transitive on the 3-subsets of the projective line, but  $PSL(2, 5)$  has two orbits, each of length 10. It is easily checked, that the number of permutations from  $S_0$  mapping the 3-set  $A$  onto the 3-set  $B$  is exactly 3 if  $A$  and  $B$  are in the same  $PSL(2, 5)$ -orbit (the number is of course 0 otherwise). As  $g$  maps the two  $PSL(2, 5)$ -orbits on 3-sets onto each other, (ii) follows.  $\square$

An  $APA_3(3, 6, 6)$  has already been constructed in [6]. The author wants to thank G. Hiß for a number of helpful discussions.

**2 Proof of Theorems 1 and 2**

Let  $G = PGL(2, q)$ ,  $D^{(3)}$  the complex permutation representation of  $G$  on unordered 3-subsets of the projective line, and  $V$  the complex vector-space of elements  $f = \sum_{g \in G} a_g g \in [G]$  satisfying

$$(*) \quad D(f) = 0 \text{ for every irreducible non-principal constituent } D \text{ of } D^{(3)}.$$

Let  $S \subset G$  and  $\bar{S} = \sum_{g \in G} g$  the corresponding element in  $\mathbb{Z}[G]$ . It has been shown in [1] that  $S$  is uniformly 3-homogeneous if and only if  $\bar{S} \in V$ . It follows from the Schur relations ([5, p. 32]) that

$$\dim(V) = |G| - \sum \deg(D)^2,$$

where  $D$  runs through the similarity classes of non-principal irreducible constituents of  $D^{(3)}$ .

Let  $q > 8$  and assume Theorem 2 is proved. As the sign-character is linear, we get

$$\dim(V) = \begin{cases} 1 & \text{if } q \not\equiv 3 \pmod{4}, q > 8, \\ 2 & \text{if } q \equiv 3 \pmod{4}, q > 8. \end{cases}$$

If  $\dim(V) = 1$ , then  $G$  is the only subset  $S$  of  $G$  satisfying  $\bar{S} \in V$ . In case  $q \equiv 3 \pmod{4}$ ,  $q > 8$  a basis of  $V$  is given by  $\overline{PSL(2, q)}$  and  $\overline{G - PSL(2, q)}$ . Thus Theorem 2 implies Theorem 1 if  $q > 8$ . We turn to the proof of Theorem 2. For the convenience of the reader, we reproduce the character-table of  $PGL(2, q)$ . Let  $\alpha$  and  $\beta$  be primitive  $(q - 1)^{\text{st}}$  and  $(q + 1)^{\text{st}}$  roots of unity,  $a$  and  $b$  elements of orders  $(q - 1)$  and  $(q + 1)$ , respectively. In case  $q = 2^f$ ,  $G$  has  $q + 1$  conjugacy-classes with representatives  $1, z, a^r, b^s (r = 1, 2, \dots, (q - 2)/2, s = 1, 2, \dots, q/2)$ , where  $z$  is an involution.

Table 1. The character table of  $SL(2, 2^f)$ .

|            | 1       | $z$ | $a^r$                        | $b^s$                         |
|------------|---------|-----|------------------------------|-------------------------------|
| 1          | 1       | 1   | 1                            | 1                             |
| $St$       | $q$     | 0   | 1                            | -1                            |
| $\chi_i$   | $q + 1$ | 1   | $\alpha^{ir} + \alpha^{-ir}$ | 0                             |
| $\Theta_j$ | $q - 1$ | -1  | 0                            | $-(\beta^{js} + \beta^{-js})$ |

Here  $i = 1, 2, \dots, (q - 2)/2$ ;  $j = 1, 2, \dots, q/2$ .

This can be found in [4].

For  $q$  odd, the character table of  $PGL(2, q)$  is not as easy to be found in the literature. Steinberg's paper [7] is not correct. The easiest way is to use Deligne-Lusztig theory, even in this smallest of all cases.

$PGL(2, q)$ ,  $q$  odd, has  $q + 2$  conjugacy classes with representatives  $1, u, a^r, b^s, z_-, z_+$  ( $r = 1, 2, \dots, (q - 3)/2$ ,  $s = 1, 2, \dots, (q - 1)/2$ ), where  $u$  is unipotent of order  $p$ ,  $z_- = a^{(q-1)/2}$ ,  $z_+ = b^{(q+1)/2}$  are involutions. We have

$$|C_G(u)| = q, |C_G(a^r)| = q - 1, |C_G(b^s)| = q + 1, \\ |C_G(z_-)| = 2(q - 1), |C_G(z_+)| = 2(q + 1).$$

Table 2. The character table of  $PGL(2, q)$ ,  $q$  odd.

|                       | 1       | $u$ | $a^r$                        | $z_-$            | $b^s$                         | $z_+$            |
|-----------------------|---------|-----|------------------------------|------------------|-------------------------------|------------------|
| 1                     | 1       | 1   | 1                            | 1                | 1                             | 1                |
| sgn                   | 1       | 1   | $(-1)^r$                     | $(-1)^{(q-1)/2}$ | $(-1)^s$                      | $(-1)^{(q+1)/2}$ |
| $St$                  | $q$     | 0   | 1                            | 1                | -1                            | -1               |
| $\text{sgn} \cdot St$ | $q$     | 0   | $(-1)^r$                     | $(-1)^{(q-1)/2}$ | $(-1)^{s+1}$                  | $(-1)^{(q-1)/2}$ |
| $\chi_i$              | $q + 1$ | 1   | $\alpha^{ir} + \alpha^{-ir}$ | $2(-1)^i$        | 0                             | 0                |
| $\Theta_j$            | $q - 1$ | -1  | 0                            | 0                | $-(\beta^{js} + \beta^{-js})$ | $2(-1)^{j+1}$    |

Here  $r, i = 1, 2, \dots, (q - 3)/2$ ;  $s, j = 1, 2, \dots, (q - 1)/2$ . Thus  $\chi_i$  are the characters  $R_{T, \Theta}$ , where  $T$  is the maximal split torus and  $\Theta$  is in general position,  $\Theta_j = -R_{T, \Theta}$ , where  $T$  is the unique maximal non-split torus and  $\Theta$  is in general position (see [3]). Let  $\rho$  be the character of  $D^{(3)}$ ,  $H \cong S_3$ , and  $\chi$  an irreducible character of  $G$ . It is clear, by Frobenius reciprocity, that  $\chi$  is a constituent of  $\rho$  if and only

$$\sum_{h \in H} \chi(h) \neq 0.$$

It is now a trivial task to check that Theorem 2, and with it Theorem 1 for  $q > 8$ , are true. The exceptional cases  $q = 5$  and  $q = 8$  have been dealt with in the introduction. Only the case  $PGL(2, 7)$  remains to be considered. We know that  $PSL(2, 7)$  is 3-uniformly 3-homogeneous. Consider the character table of  $PGL(2, 7)$ . It follows from case 6 above that sgn and  $\chi_1$  are the only irreducible characters of  $PGL(2, 7)$  which are not constituents of  $\rho$ . Let  $S$  be a  $\mu$ -uniformly 3-homogeneous subset of  $PGL(2, 7)$ . We want to show  $\mu \geq 3$ .

We can and will assume  $1 \in S$ . Let  $a_7, a_6, a_3, a_{2-}, a_{8A}, a_4, a_{8B}, a_{2+}$  be the numbers of elements in  $S$  which belong to the conjugacy-classes of  $u, a, a^2, z_-, b, b^2, b^3, z_+$ , respectively. Property (\*) implies in particular

$$\sum_{g \in G} \chi(g) = 0,$$

where  $\chi$  is the character of an irreducible constituent  $D \neq 1$  of  $D^{(3)}$ . Thus each non-principal constituent of  $D^{(3)}$  yields a linear equation for the above parameters:

$$a_7 = 6 + 2a_4 - 2a_{2+} \quad (\Theta_2)$$

$$a_{8A} = a_{8B}, \quad a_7 = 6 + 2a_{2+} \quad (\Theta_3)$$

It follows  $a_4 = 2a_{2+}$ .

$$a_3 = 3a_{2+} - 7 \quad (St) + (\text{sgn} \cdot St)$$

In conjunction with  $(\chi_2)$  this shows

$$a_6 = 21 - a_{2+} + 2a_{2-}$$

$(St) - (\text{sgn} \cdot St)$  yields then

$$a_8 = 21 - a_{2+} + 2a_{2-}.$$

Thus all the parameters are expressed in terms of  $a_{2+}$  and  $a_{2-}$ . Summing up we get

$$\begin{aligned} |S| &= \mu \binom{8}{3} = 56\mu = 1 + a_7 + a_6 + a_3 + a_{2-} + a_{8A} + a_4 + a_{8B} + a_{2+} \\ &= 42 + 6a_{2+} + 6a_{2-}. \end{aligned}$$

It follows  $\mu \equiv 0 \pmod{3}$  and we are done.

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