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### NORM INEQUALITIES IN STAR ALGEBRAS

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## Abstract

A norm inequality is proved for elements of a star algebra so that the algebra is noncommutative. In particular, a relation between maximal and minimal extensions of regular norm on a  $C^*$ -algebra is established.

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Let H be a Hilbert space and B(H) be the algebra of all bounded linear operators on H. A subset of B(H) is a  $W^*$ -algebra on H if X is a  $C^*$ -algebra which is closed in the weak operator topology, see [1]. Also, a  $W^*$ -algebra is a  $C^*$ -subalgebra of B(H) which is weakly closed. In particular, a  $W^*$ -algebra is an algebra of operators. We note that a  $C^*$ -algebra acting on H is commutative if and only if zero is the only nilpotent element of the algebra.

Let X be a W\*-algebra and  $X_{SA}$  be the set of self-adjoint elements of X, that is if  $T \in S(X) \Longrightarrow T = T^*$ , where  $T^*$  is the adjoint of T. Here we prove the following theorem.

**Theorem 1.** A unital  $W^*$ -algebra X of operators is noncommutative if  $\forall A, B \in X_{SA}$ ,

$$||A|| = 1 = ||B|| \Longrightarrow ||A + B|| > 1 + ||AB||.$$

*Proof.* Since X is noncommutative, there exists an operator T in X such that  $T^2 = 0$ . Suppose  $X_1$  is the range of T and  $X_2$  is the orthogonal complement of  $X_1$ . Then  $H = X_1 \oplus X_2$ . Let S be an operator with ||S|| = 1. Then

$$T = \left(\begin{array}{cc} 0 & S \\ 0 & 0 \end{array}\right) \quad \text{and} \quad T^* = \left(\begin{array}{cc} 0 & 0 \\ S^* & 0 \end{array}\right)$$

We use these representations for T and  $T^*$  to define the operators A and B as follows: For  $\sigma_1 > 0$ ,  $\sigma_2 > 0$  and  $\sigma_1 + \sigma_2 = 1$ , we have

$$A = \left(\begin{array}{cc} SS^* & 0\\ 0 & 0 \end{array}\right) = TT^*,$$



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$$B = \begin{pmatrix} \sigma_1 S S^* & \sigma_2 S \\ \sigma_2 S^* & \sigma_1 S^* S \end{pmatrix} = \sigma_1 \left( T T^* + T^* T \right) + \sigma_2 \left( T + T^* \right).$$

Clearly,  $A = A^*$ ,  $B = B^*$  and  $A, B \in X$ . Next, we consider the following two cases.

Case 1. Let  $\sigma_1 = \sigma_2 = \frac{1}{2}$  and  $B = \frac{1}{2} \begin{pmatrix} SS^* & S \\ S^* & S^*S \end{pmatrix} = \frac{1}{2} (TT^* + T^*T + T + T^*).$ 

It is not difficult to see that ||A|| = 1 and  $||B|| \le 1$ . To obtain  $||B|| \ge 1$ , let ||S|| = 1 then  $\exists a_n \in X \ni : ||a_n|| = 1$ . Also,

$$||SS^*a_n - a_n||^2 = ||SS^*a_n||^2 - 2 ||S^*a_n||^2 + ||a_n||^2$$
  
$$\leq 2 (||a_n||^2 - ||S^*a_n||^2)$$

and if  $||S^*a_n|| \to 1$  then  $SS^*a_n - a_n \to 0$ . Further,  $(Bb - b) \to 0$ , where  $b = (a_n + S^*a_n)$  and hence,  $||B|| \ge 1$ , which concludes that ||B|| = 1. Let

$$AB = \frac{1}{2} \begin{pmatrix} SS^* & S \\ S^* & S^*S \end{pmatrix} \text{ and} A + B = \begin{pmatrix} SS^* + \frac{SS^*}{2} & \frac{S}{2} \\ \frac{S^*}{2} & \frac{S^*S}{2} \end{pmatrix} = \frac{1}{2} (TT^* + T^*T + T + T^*).$$

*Choose*  $a_n$  *as above and*  $b_n = \frac{S^* a_n}{(2\mu-1)}$ *, where*  $\mu > 1$ *. Let* 

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$$\mu_1 = \sigma_1 + \frac{1}{2} + \left(\sigma_2 + \frac{1}{4}\right)^{\frac{1}{2}}$$



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so that it satisfies the equation

$$(\mu_1 - \sigma_1 - 1) (\mu_1 - \sigma_1) = \sigma_2^2.$$

Then

$$\left[ (A+B) \left( a_n + b_n \right) - \mu \left( a_n + b_n \right) \right] \to 0$$

and  $||A + B|| \ge \mu > 1$ . If we choose  $\sigma_1$  and  $\sigma_2$  so that

$$\sigma_1 + \frac{1}{2} + \left(\sigma_2 + \frac{1}{4}\right)^{\frac{1}{2}} > 1 + \left(\sigma_1^2 + \sigma_2^2\right)^{\frac{1}{2}},$$

then we have

$$||A+B|| > 1 + ||AB||.$$

For example, it is sufficient to take  $\sigma_1 = \frac{2}{3}$  and  $\sigma_2 = \frac{1}{3}$ . We note that  $\sigma_1 > \sigma_2$ . If  $\sigma_1 < \sigma_2$  then the above inequality fails. Since  $\mu > 1 + \sqrt{2}\sigma_1$  the proof in this case is complete.

**Case 2.** Let  $\sigma_1 \neq \sigma_2$ . Then  $||AB|| \leq a_0$ , (by mimicking the proof of Case 1), where

$$a_0 = \sup \left\{ \sigma_1 \|a\| + \sigma_2 \|b\| : \|a\|^2 + \|b\|^2 = 1 \right\} = \sqrt{\sigma_1^2 + \sigma_2^2}.$$

Let  $b_n (\mu_1 - \sigma_1) = \sigma_2 S^* a_n$ , where  $\mu_1$  depends on  $\sigma_1$  and  $\sigma_2$ . Then  $||A + B|| \ge \mu_1$  and one can have the following form of  $\mu_1$ , that is,  $2\mu_1 = (2\sigma_1 + 1) + \sqrt{1 + \sigma_1^2}$ . Hence,  $\mu_1 > 1 + a_0$  and this concludes the proof of the theorem.



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**Remark 1.** If X is commutative then for  $A, B \in X_{SA}$  with ||A|| = 1 = ||B||, we have  $0 \le I - B - A + AB$ , where I is the identity operator. Thus  $||A + B|| \le 1 + ||AB||$ .

Let 0 < p, q, r be real numbers such that  $q(2r + 1) \ge (2r + p)$  and  $q \ge 1$ . If two bounded linear operators  $A, B \in B(H)$  on a Hilbert space H satisfy  $0 \le B \le A$  then  $(B^r A^p B^r)^{\frac{1}{q}} \ge B^{\frac{p}{q}} B^{\frac{2r}{q}}$ . This inequality is called the Furuta inequality and can be found in [3]. Recently, Kotaro and others in [6] have extended this inequality in a unital hermitian Banach \*-algebras with continuous involution. We give a slightly different version of these inequalities in the following corollary.

**Corollary 2.** Suppose that  $X_{C^*}$  is a  $C^*$ -algebra acting on H. Let  $\lambda$ ,  $\mu$  and  $\sigma$  be three real numbers with  $\sigma > 0$ ,  $\lambda > 0$ . Then there exists operators  $T_1, T_2$  and  $T_3$  in  $X \ni : \lambda T_1 + \mu T_2 + \sigma T_3 \ge 0 \iff \lambda \sigma \ge \mu^2$ .

*Proof.* We recall that an operator  $O \in B(H)$  is positive if  $\langle Oh, h \rangle \geq 0$  for every vector h. Using the techniques of Theorem 1, the following operators belong to  $X_{C^*}$ . That is,

$$T_{1} = \begin{pmatrix} SS^{*} & 0 \\ 0 & 0 \end{pmatrix}, \quad T_{2} = \begin{pmatrix} 0 & \sqrt{SS^{*}S} \\ S^{*}\sqrt{SS^{*}} & 0 \end{pmatrix}, \text{ and}$$
$$T_{3} = \begin{pmatrix} 0 & 0 \\ 0 & S^{*}S \end{pmatrix}$$

are in  $X_{C^*}$ . In this case we have

$$\lambda T_1 + \mu T_2 + \sigma T_3 = \begin{pmatrix} \lambda SS^* & \mu \sqrt{SS^*S} \\ \mu S^* \sqrt{SS^*} & \sigma S^*S \end{pmatrix}.$$



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Let  $\lambda T_1 + \mu T_2 + \sigma T_3 = \Lambda$ . Then we observe that the determinant of  $\Lambda$  is zero if  $\lambda \sigma = \mu^2$ . If  $\varepsilon < 0$  and  $h \in H$ , then we have

$$\|(\Lambda - \varepsilon) h\|^{2} = \|\Lambda h\|^{2} - 2\varepsilon \langle \Lambda h, h \rangle + \varepsilon^{2} \|h\|^{2}$$
  

$$\geq -2\varepsilon \langle \Lambda h, h \rangle + \varepsilon^{2} \|h\|^{2}$$
  

$$\geq +\varepsilon^{2} \|h\|^{2}.$$

Thus  $\varepsilon \notin SP_{ap}(\Lambda)$ , the approximate point spectrum of  $\Lambda$ . This means that  $(\Lambda - \varepsilon)$  is left invertible. Since  $(\Lambda - \varepsilon)$  is hermitian, it must also be right invertible. That is,  $\varepsilon \notin SP(\Lambda)$  and so  $\Lambda \ge 0 \iff \lambda \sigma \ge \mu^2$ .

Alternatively, for  $a \in X_1$  and  $b \in X_2$ , we have

$$\left\langle \Lambda \left( a+b \right), \left( a+b \right) \right\rangle = \left\| \sqrt{\sigma}Sb + \mu\sqrt{\frac{SS^*}{\sigma}}a \right\|^2 + \left( \lambda - \frac{\mu^2}{\sigma} \right) \left\| S^*a \right\|^2$$

Since  $a \in X_1$ , therefore

$$\exists b_n \in X_2 \ni : \sqrt{\sigma} \left( Sb_n \right) + \mu \sqrt{\frac{SS^*}{\sigma}} a \to 0 \Longrightarrow \Lambda \ge 0 \Longleftrightarrow \lambda - \frac{\mu^2}{\sigma} \ge 0.$$

Hence the proof of the corollary is complete.

**Remark 2.** By reducing the matrix  $\Lambda$  into a product of three matrices the above corollary can also be proved. That is,  $\Lambda = L^*DL$ , where

$$L = \begin{pmatrix} I & W \\ 0 & I \end{pmatrix} \quad and \quad W = \frac{\mu}{\sigma} \sqrt{SS^*} S \sqrt{S^*S}.$$



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By the partial commutation relation, we have

$$\sqrt{SS^*}S = S\sqrt{S^*S}$$

and hence

$$D = \begin{pmatrix} \left(\lambda - \frac{\mu^2}{\sigma}\right)SS^* & 0\\ 0 & \sigma S^*S \end{pmatrix}.$$

Under the above assumption about  $\sigma$  and  $S^*S$ , the Sylvester type test applies. That is,  $\Lambda$  is positive (semi definite) if and only if  $\sigma > 0$  and  $\lambda - \frac{\mu^2}{\sigma} \ge 0$ .

Let A, B > 0 be invertible operators on H. In this case a Furuta type inequality is obtainable by replacing 1 with 0 in the original Furuta inequality in Remark 1. In fact, we have

$$A^{2r} \ge (A^r B^p A^r)^{\frac{2r}{(2r+p)}}$$

Also, if  $A \ge B \ge 0$ ,  $\ni$ : A > 0, then for each  $\alpha \in [0, 1]$  and  $p \ge 1$  we have

$$\left\{A^{\frac{r}{2}}\left(A^{\frac{-\alpha}{2}}B^{\alpha}A^{\frac{-\alpha}{2}}\right)^{s}A^{\frac{r}{2}}\right\}^{\frac{(1+r-\alpha)}{\lceil (p-\alpha)s+r\rceil}} \leq A^{(1+r-\alpha)} \text{ for } s \geq 1 \text{ and } r \geq \alpha.$$

For more details, see [3]. The following examples give an application of these inequalities in case of  $C^*$ -algebras.

**Example 1.** Let X be a commutative  $C^*$ -algebra acting on H. If we take

$$A = 6T_1 + 0T_2 + 3T_3$$
 and  
 $B = 3T_1 + 2T_2 + T_3$ 





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then

$$A - B = 3T_1 - 2T_2 + T_3$$

and by the Corollary 2 we have  $A - B \ge 0$ . We further note that  $A^2$  is not greater than or equal to  $B^2$ , since for  $b \in X_2$ ,

$$\left\langle \left(A^2 - B^2\right)b, b\right\rangle + \left\langle \left(S^*S\right)^2 b, b\right\rangle = 0.$$

Example 2. Let

$$A = 2T_1, B = T_1 + T_2 + T_3$$
 and  
 $C = 4T_1 + T_2 + T_3.$ 

Then  $A \ge 0$  and  $B+C \ge 0$ . Further, by the Corollary 2, we have  $B+C-A \ge 0$ . Let  $\Psi \le B$  and  $\Phi \le C$ , where  $A = \Psi + \Phi$ . Then  $\Psi \le A$ . Hence, from Corollary 2, for  $a \in X_1$  and  $b \in X_2$ , we have

$$\langle (T_1 + T_2 + T_3) (a + b), (a + b) \rangle = \left\| \sqrt{SS^*}a + Sb \right\|^2$$

Also,  $b_n \in X_2 \Longrightarrow \Psi = 0$ , because  $\left(Sb_n + \sqrt{SS^*}a\right) \to 0$ . Thus  $A = \Phi = 2T_1 \le 4T_1 + T_2 + T_3.$ 

Example 3. Let

$$A = \frac{1}{3}T_1, \quad B = T_1 + T_2 + T_3$$
 and  
 $C = 4T_1 + 2T_2 + T_3.$ 



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Then  $A \ge 0$  and  $B+C \ge 0$ . Next, by Corollary 2, we get  $B+C-A \ge 0$ . Now by Example 2 it follows that  $A = \Phi = \frac{1}{3}T_1 \le C$ . This contradicts Corollary 2, since for (C - A),  $\lambda \sigma < \mu^2$ .

**Remark 3.** The algebra norm  $\|\cdot\|$  on a non-unital Banach algebra  $\mathfrak{J}$  can be extended to an algebra norm on the unitization  $\mathfrak{J}^+ = Ce + \mathfrak{J}$ , (where e is the unit in the algebra) in many ways. In particular, the following two norms,

$$l_1 - norm = ||(\lambda) e + a||_1 = |\lambda| + ||a||$$

and the operator norm,

$$\begin{aligned} \|(\lambda) e + a\|_{OP} \\ &= \sup \{ \|(\lambda) b + ab\|, \|(\lambda) b + ba\|; \ b \in \mathfrak{J}, \ \|b\| \le 1 \}, \ \lambda \in C, \ a \in \mathfrak{J} \end{aligned}$$

are the maximal and the minimal extensions of the original norm respectively, if it is a regular norm, that is,

 $||a|| = \sup \{ ||ab||, ||ba||; b \in \mathfrak{J}, ||b|| \le 1 \},\$ 

see [4]. The unitization  $\mathfrak{J}^+$  is complete under both  $\|\cdot\|_1$  and  $\|\cdot\|_{OP}$ , so by the two norm lemma, [2, II, 2.5] these two norms are equivalent. If  $\mathfrak{J}$  is a  $C^*$ -algebra,  $a \in \mathfrak{J}$  is self-adjoint, and  $\lambda$  is complex, then  $\|(\lambda)e + a\|_1 \leq 3 \|(\lambda)e + a\|_{OP}$ . So far the constant 3 is the best possible in this case.

Recently, in [5], we extended the above result to locally *m*-convex algebras. Now we prove the following corollary.



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**Corollary 3.** If is a commutative non-unital  $C^*$ -algebra  $A, B \in Y_{SA}$  with ||A|| = 1 = ||B||, and  $\lambda$  is complex, then

$$\|(\lambda I + \Psi)\|_{1} \leq \lambda_{0} + 3 \|\Phi\|_{OP},$$

where  $\lambda_0 > 0$ ,  $\Psi = A + B$ , and  $\Phi = AB$ .

Proof. Since

$$\|(\lambda I + A + B)\|_{1} \leq 3 \|(\lambda I + A + B)\|_{OP}$$

we have

$$\frac{1}{3} \| (\lambda I + A + B) \|_{1} \le |\lambda| + \| (A + B) \|_{OP} \Longrightarrow \| (\lambda I + \Psi) \|_{1} \le \lambda_{0} + 3 \| \Phi \|_{OP},$$

where  $\lambda_0 = 3(|\lambda| + 1) > 0$ . This concludes the proof of the corollary.

**Remark 4.**  $\|(\lambda i + \Psi)\|_{OP} \leq \frac{\lambda_0}{3} + \|\Phi\|_{OP}$ .





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