

## The Approximative Centre of a Lie Algebra

Grant Cairns

Communicated by M. Cowling

**Abstract.** This paper examines the approximative centre of a Lie algebra; this is the set of elements which are not sent uniformly to infinity by the adjoint action of the underlying Lie group.

The centre  $Z(\mathfrak{g})$  of a Lie algebra  $\mathfrak{g}$  is the set of elements that are fixed by the adjoint action of the underlying Lie group. A natural generalization would be to consider the set of elements with *bounded* orbit under the adjoint action. It turns out that another useful notion is the set of elements which are not sent uniformly to infinity. More generally, one has:

**Definition 1.** If  $\mathfrak{g}$  is a finite dimensional real Lie algebra, and  $\mu \in \mathbb{R}$ , let  $\mathfrak{C}_\mu(\mathfrak{g})$ , or simply  $\mathfrak{C}_\mu$ , denote the complement of the set of elements  $X \in \mathfrak{g}$  for which there exists a neighbourhood  $U \ni X$  and an element  $Y \in \mathfrak{g}$  such that the map

$$(\exp t\mu \cdot \text{tr}(\text{ad } Y)) \exp \text{ad}(t.Y)|_U: U \rightarrow \mathfrak{g}$$

tends uniformly to infinity as  $t$  goes to positive infinity.

When  $\mathfrak{g}$  is unimodular,  $\mathfrak{C}_\mu$  is independent of  $\mu$  and is called the *approximative centre* of  $\mathfrak{g}$ ; this notion is due to Etienne Ghys and was introduced in [4]. Some of the ideas presented below were developed in [3], but were not published. A further application for  $\mu = 1$  is given in [5]. The present paper is devoted to the following:

**Theorem.** *Let  $\mathfrak{g}$  be a finite dimensional real Lie algebra. Then:*

- (a)  $\mathfrak{C}_\mu = \mathfrak{s} \oplus \mathfrak{n}$ , where  $\mathfrak{s}$  is a compact semisimple direct summand of  $\mathfrak{g}$ , and  $\mathfrak{n}$  is a nilpotent characteristic ideal of  $\mathfrak{g}$ . Moreover:
  - (i)  $\mathfrak{n}$  is metabelian,
  - (ii)  $Z(\mathfrak{n})(= Z(\mathfrak{C}_\mu))$  is an ideal of  $\mathfrak{g}$  and  $\mathfrak{n} = Z(\mathfrak{n}) + [\mathfrak{n}, \mathfrak{g}]$ ,
  - (iii)  $\mathfrak{C}_\mu \subset Z(\mathfrak{g}) + [\mathfrak{g}, \mathfrak{g}]$ .
- (b) If  $\mathfrak{g}$  is unimodular or  $\mu = 0$ , then  $\mathfrak{s}$  is the maximal compact semisimple direct summand of  $\mathfrak{g}$ , and  $Z(\mathfrak{g}) \subset \mathfrak{n}$ .
- (c) If  $\mathfrak{g}$  is not unimodular and  $\mu \neq 0$ , then  $\mathfrak{s} = 0$  and  $\mathfrak{n}$  is abelian.

**Corollary.** *With the above notation, one has:*

- (a)  $\mathfrak{C}_\mu$  is unimodular,
- (b) If  $\mathfrak{g}$  is nilpotent,  $\mathfrak{C}_\mu$  is abelian,
- (c) If  $\mathfrak{g}$  is solvable,  $\mathfrak{C}_\mu$  is metabelian,
- (d)  $\mathfrak{C}_\mu = \mathfrak{g}$  if and only if  $\mathfrak{g}$  is the Lie algebra of a compact Lie group.

Following the proofs of the theorem and its corollary, the paper concludes with 3 examples.

*Notation.* In the following, if  $\mathfrak{k}$  is a subalgebra of  $\mathfrak{g}$ , then

- (a)  $R(\mathfrak{k})$  denotes the radical of  $\mathfrak{k}$ , and we write  $R(\mathfrak{g}) = \mathfrak{r}$ ,
- (b)  $Z(\mathfrak{k})$  is the centre of  $\mathfrak{k}$ , and  $Z_{\mathfrak{g}}(\mathfrak{k})$  is the centralizer of  $\mathfrak{k}$  in  $\mathfrak{g}$ .

**Proof of the theorem.** For convenience, throughout this proof, we will use the  $l_1$  norm  $\|(z_1, \dots, z_n)\| = \sum_{i=1}^n |z_i|$  on  $\mathbb{C}^n$ , rather than the Euclidean norm. We begin by studying the automorphism  $\exp(t.C)$  of  $\mathbb{C}^n$ , where  $C$  is the following Jordan form:

$$C = \begin{pmatrix} \lambda & & & & 0 \\ 1 & \lambda & & & \\ & \ddots & \ddots & & \\ & & 1 & \lambda & \\ 0 & & & 1 & \lambda \end{pmatrix}.$$

First, note that

$$(*) \quad \exp t.C = \exp t.\lambda \begin{pmatrix} 1 & & & & 0 \\ t & 1 & & & \\ t^2/2! & t & 1 & & \\ \vdots & \ddots & \ddots & \ddots & \\ t^{n-1}/(n-1)! & \dots & t^2/2! & t & 1 \end{pmatrix}.$$

**Lemma 1.** *Let  $T \in \mathbb{R}$ .*

- (a) *If the real part of  $\lambda + T$  is positive (or negative), then for all  $x \in \mathbb{C}^n \setminus \{0\}$ , there exists a neighbourhood  $U$  of  $x$  in  $\mathbb{C}^n$  such that*

$$(\exp t.T) \exp t.C|_U : U \rightarrow \mathbb{C}^n$$

*tends uniformly to infinity as  $t \rightarrow +\infty$  (or  $t \rightarrow -\infty$ ).*

- (b) *If  $\lambda + T$  is imaginary, then for all  $x = (x_1, \dots, x_n) \in \mathbb{C}^n$ , the following conditions are equivalent:*

- (i) *there exists a neighbourhood  $U$  of  $x$  in  $\mathbb{C}^n$  such that*

$$(\exp t.T) \exp t.C|_U : U \rightarrow \mathbb{C}^n$$

*tends uniformly to infinity when  $t$  tends to infinity,*

- (ii) *there exists  $1 \leq l < \frac{n+1}{2}$  such that  $x_l \neq 0$ .*

**Proof.** (a) Suppose  $\lambda + T > 0$ . Setting  $A_t = (\exp t.T) \exp t.C$ , one has from (\*):

$$(A_t)^{-1} = (\exp -t.(\lambda + T)) \begin{pmatrix} 1 & & & & & 0 \\ -t & & & & & \\ t^2/2! & & 1 & & & \\ \vdots & & \ddots & & & \\ (-t)^{n-1}/(n-1)! & \dots & t^2/2! & -t & 1 \end{pmatrix}.$$

Let  $x \in \mathbb{C}^n \setminus \{0\}$ , and  $0 < K < 1$ . Let  $U$  be a relatively compact neighbourhood of  $x$  in  $\mathbb{C}^n$  which doesn't contain zero in its closure.

Let  $B > 0$ . Clearly, there exists  $m \in \mathbb{N}$  such that  $\|z\|/K^m > B$  for all  $z \in U$ . One has

$$\|z\| = \|A_t^{-1} \circ A_t(z)\| \leq \|A_t^{-1}\| \cdot \|A_t(z)\|$$

and so  $\|A_t(z)\| \geq \|z\|/\|A_t^{-1}\|$ . There exists  $t_0 > 0$  such that for all  $t \geq t_0$  the operator norm  $\|A_t^{-1}\|$  of the automorphism  $A_t^{-1}$  is less than  $K$ . Hence, for all  $t > t_0$ ,

$$\|A_{mt}(z)\| \geq \|z\|/\|A_{mt}^{-1}\| = \|z\|/\|A_t^{-m}\| \geq \|z\|/\|A_t^{-1}\|^m > \|z\|/K^m > B.$$

Thus,  $\|A_s(z)\| > B$  for all  $z \in U$  and  $s > m.t_0$ . The case where  $\text{Re}(\lambda + T) < 0$  is analogous.

(b) First suppose that  $x_l = 0$  for all  $1 \leq l < (n + 1)/2$ . We will show that there does not exist any neighbourhood  $U$  of  $x$  for which the map  $(\exp t.T) \exp t.C|_U : U \rightarrow \mathbb{C}^n$  tends uniformly to infinity when  $t$  tends to infinity. Let  $U$  be a relatively compact neighbourhood of  $x$ . It suffices to show that there exists  $N > 0$  such that, for all sufficiently large  $t > 0$ , there exists  $z_t \in U$  such that  $\|(\exp t.T) \exp t.C(z_t)\| < N$ .

Let  $k$  be the smallest natural number such that  $x_k \neq 0$ . By hypothesis,  $2k \geq n + 1$ . For all sufficiently large  $t > 0$  we will construct an element  $z_t$  of  $U$  of the form  $z_t = (\epsilon_1(t), \dots, \epsilon_{k-1}(t), x_k, x_{k+1}, \dots, x_n)$ . First note that if  $z = (\epsilon_1, \dots, \epsilon_{k-1}, x_k, \dots, x_n) \in \mathbb{C}^n$ , then, by (\*), one has

$$\begin{aligned} \|(\exp t.T) \exp t.C(z)\| &= |\epsilon_1| + |\epsilon_1 t + \epsilon_2| + \dots + |\epsilon_1 \frac{t^{k-1}}{(k-1)!} + \dots + \epsilon_{k-1} t + x_k| \\ &+ |\epsilon_1 \frac{t^k}{k!} + \dots + \epsilon_{k-1} \frac{t^2}{2} + x_k t + x_{k+1}| + \dots + |\epsilon_1 \frac{t^{n-1}}{(n-1)!} + \dots + x_n|. \end{aligned}$$

We choose the  $(k - 1)$  numbers  $\epsilon_j$  such that the last  $(n - k)$  terms of the preceding expression are zero. To do this, consider the following equation:

$$(1) \quad \begin{pmatrix} \frac{t^k}{k!} & & \frac{t^2}{2} \\ & \ddots & \\ \frac{t^{n-1}}{(n-1)!} & & \frac{t^{n+1-k}}{(n+1-k)!} \end{pmatrix} \begin{pmatrix} \epsilon_1(t) \\ \vdots \\ \epsilon_{k-1}(t) \end{pmatrix} = \begin{pmatrix} -x_k t - x_{k+1} \\ \vdots \\ -x_k \frac{t^{n-k}}{(n-k)!} - \dots - x_n \end{pmatrix}$$

We have  $(k-1)$  variables (the  $\epsilon_j(t)$ ) and  $(n-k)$  equations. Because  $2k \geq n+1$ , one has  $n-k \leq k-1$ . In order to solve equation (1), set  $\epsilon_j(t) = 0$  for all  $n-k < j \leq k-1$ , and solve the following equation:

$$(2) \quad \begin{pmatrix} \frac{t^k}{k!} & & \frac{t^{2k-n+1}}{(2k-n+1)!} \\ & \ddots & \\ \frac{t^{n-1}}{(n-1)!} & & \frac{t^k}{k!} \end{pmatrix} \begin{pmatrix} \epsilon_1(t) \\ \vdots \\ \epsilon_{n-k}(t) \end{pmatrix} = \begin{pmatrix} -x_k t - x_{k+1} \\ \vdots \\ -x_k \frac{t^{n-k}}{(n-k)!} - \cdots - x_n \end{pmatrix}$$

This equation possesses a unique solution  $(\epsilon_1(t), \dots, \epsilon_{n-k}(t))$  since the coefficient matrix is invertible for all  $1 \leq 2k-n+1 \leq k \leq n$  and  $t > 0$ . Let  $F$  denote the coefficient matrix of the left hand side of (2), and let  $F_j$  denote the matrix obtained by replacing the  $j^{\text{th}}$  column of  $F$  by the right hand side of (2). By Cramer's method,  $\epsilon_j(t) = \det F_j / \det F$ , for all  $1 \leq j \leq n-k$ . Notice that  $\det F = at^{k(n-k)}$  for some non-zero real  $a$ , while  $\det F_j$  is a polynomial in  $t$  of degree  $k(n-k) - k + j$  which is divisible by  $t^{k(n-k)-n+j}$ . Hence the solution  $(\epsilon_1(t), \dots, \epsilon_{n-k}(t))$  of equation (2) has the following form; for all  $1 \leq j \leq k-1$

$$(3) \quad \epsilon_j(t) = \frac{a_{j1}}{t^{k-j}} + \frac{a_{j2}}{t^{k-j+1}} + \cdots + \frac{a_{j(n-k+1)}}{t^{n-j}}$$

where the coefficients  $a_{jl}$  are constants depending only on  $x_k, \dots, x_n$ . Note that as  $2k \geq n+1$ , one has  $k-j \geq 1$ . Let

$$(4) \quad z_t = (\epsilon_1(t), \dots, \epsilon_{k-1}(t), x_k, \dots, x_n) \in \mathbb{C}^n.$$

By (3), it is clear that  $z_t \in U$  for all sufficiently large  $t$ . By construction, one has

$$\begin{aligned} \|(\exp t.T) \exp t.C(z_t)\| &= |\epsilon_1(t)| + |t\epsilon_1(t) + \epsilon_2| \\ &\quad + \cdots + |\epsilon_1(t) \frac{t^{k-1}}{(k-1)!} + \cdots + \epsilon_{n-k}(t)t^{2k-n} + x_k|. \end{aligned}$$

But, by (3), each of the terms of the preceding expression is bounded as  $t \rightarrow \infty$ . In other words, there exists  $N > 0$  such that  $\|(\exp t.T) \exp t.C(z_t)\| < N$  for all sufficiently large  $t$ .

Conversely, we will show that if there exists  $1 \leq l < (n+1)/2$  such that  $x_l \neq 0$ , then there exists a neighbourhood  $U$  of  $x$  such that the map  $(\exp t.T) \exp t.C|_U: U \rightarrow \mathbb{C}^n$  tends uniformly to infinity as  $t \rightarrow \infty$ . Let  $k$  again denote the smallest natural number such that  $x_k \neq 0$ . By hypothesis,  $2k < n+1$ . Let  $U$  be a relatively compact neighbourhood of  $x$  in  $\mathbb{C}^n$  such that for all  $z = (z_1, \dots, z_n) \in U$  one has  $z_k \neq 0$ . One has, for all  $t > 0$ ,

$$\|(\exp t.T) \exp t.C(z)\| = \|z_1\| + \|z_1 t + z_2\| + \cdots + \left\| \frac{t^{n-1}}{(n-1)!} z_1 + \cdots + z_n \right\|.$$

Our strategy is consider only the  $k$  last terms, and to eliminate the terms  $z_j$  for  $j < k$ . Set  $T_j(z_1, \dots, z_j) = \left\| \frac{t^{j-1}}{(j-1)!} z_1 + \frac{t^{j-2}}{(j-2)!} z_2 + \cdots + z_j \right\|$ . Note that

$$T_j(z_1, \dots, z_j) + T_{j+1}(z_1, \dots, z_{j+1}) = \frac{t^{j-1}}{(j-1)!} \left( \|z_1 + a\| + \frac{t}{j} \|z_1 + b\| \right),$$

where

$$a = \frac{(j-1)!}{t^{j-1}} \left( \frac{t^{j-2}}{(j-2)!} z_2 + \cdots + z_j \right),$$

$$b = \frac{j!}{t^j} \left( \frac{t^{j-1}}{(j-1)!} z_2 + \cdots + z_{j+1} \right).$$

For  $t > j$  one has  $\|z_1 + a\| + \frac{t}{j} \|z_1 + b\| \geq \|b - a\|$  and so

$$\begin{aligned} & T_j(z_1, \dots, z_j) + T_{j+1}(z_1, \dots, z_{j+1}) \geq \frac{t^{j-1}}{(j-1)!} \|b - a\| \\ &= \left\| \frac{t^{j-2}}{(j-1)!} z_2 + \frac{t^{j-3}}{(j-2)!} 2z_3 + \cdots + \frac{t^{j-k}}{(j-k+1)!} (k-1)z_k + \cdots + \frac{j}{t} z_{j+1} \right\|. \end{aligned}$$

Thus,

$$(5) \quad T_j(z_1, \dots, z_j) + T_{j+1}(z_1, \dots, z_{j+1}) \geq \frac{1}{t} T_j(z_2, 2z_3, \dots, jz_{j+1}).$$

From above,

$$\|(\exp t.T) \exp t.C(z)\| = \sum_{j=1}^n T_j(z_1, \dots, z_j) \geq \sum_{j=n-k+1}^n T_j(z_1, \dots, z_j).$$

Hence, by (5), for all  $t > n$ ,

$$\begin{aligned} \|(\exp t.T) \exp t.C(z)\| &\geq \frac{1}{2t} \sum_{j=n-k+1}^{n-1} T_j(z_2, 2z_3, \dots, jz_{j+1}) \\ &\geq \frac{1}{2^2 t^2} \sum_{j=n-k+1}^{n-2} T_j(2z_3, 3!z_4, \dots, j(j+1)z_{j+2}) \\ &\vdots \\ &\geq \frac{1}{2^{k-1} t^{k-1}} T_{n-k+1}((k-1)!z_k, \frac{k!}{1!} z_{k+1}, \dots, \frac{(n-1)!}{(n-k)!} z_n) \\ &= \frac{1}{2^{k-1}} \left\| \frac{t^{n-2k+1} (k-1)!}{(n-k)!} z_k + \frac{t^{n-2k} k!}{(n-k-1)! 1!} z_{k+1} + \cdots + \frac{(n-1)!}{(n-k)! t^{k-1}} z_n \right\|. \end{aligned}$$

So, as  $n - 2k + 1 \geq 1$  and  $z_k \neq 0$  for all  $z \in U$ , it is clear that for all  $M > 0$  there exists  $N > 0$  such that  $\|(\exp t.T) \exp t.C(z)\| > M$  for all  $t > N$  and  $z \in U$ . This establishes Lemma 1. ■

Let  $y$  be an element of  $\mathfrak{g}$ . Let  $M_y$  denote the Jordan form of the linear endomorphism of  $\mathbb{C}^n$  determined by  $\text{ad}(y)$ , and denote the Jordan blocks of  $M_y$  by  $C_1(y), \dots, C_r(y)$ , and the corresponding eigenvalues  $\lambda_1, \dots, \lambda_r$ . If  $x \in \mathfrak{g}$ , we write  $x_y = x^1 \oplus \cdots \oplus x^r \in \mathbb{C}^n$  where  $x^i = (x_{n_i}^1, \dots, x_{n_i}^{n_i})$  are the components of  $x$  with respect to the decomposition of  $\mathbb{C}^n$  determined by the Jordan form  $M_y$ ; one has  $M_y(x_y) = C_1(y)(x^1) \oplus \cdots \oplus C_r(y)(x^r)$ .

**Lemma 2.** *Let  $x, y \in \mathfrak{g}$ . The following two conditions are equivalent:*

(a) *there exists a neighbourhood  $U$  of  $x$  in  $\mathfrak{g}$  such that*

$$(\exp t\mu. \operatorname{tr}(\operatorname{ad} y)) \exp \operatorname{ad}(t.y)|_U: U \rightarrow \mathfrak{g}$$

*tends uniformly to infinity as  $t \rightarrow \infty$ ,*

(b) *there exists  $1 \leq i \leq r$  and a neighbourhood  $U_i$  of  $x^i$  in  $\mathbb{C}^{n_i}$  such that*

$$(\exp t\mu. \operatorname{tr}(\operatorname{ad} y)) \exp(t.C_i(y))|_{U_i}: U_i \rightarrow \mathbb{C}^{n_i}$$

*tends uniformly to infinity as  $t \rightarrow \infty$ .*

**Proof.** (a) follows immediately from (b) because

$$\|\exp \operatorname{ad}(t.y)(z)\| = \sum_{i=1}^r \|\exp \operatorname{ad}(t.C_i(y))(z^i)\|$$

for all  $z \in \mathbb{C}^n$ . To see the converse, notice that if

$$(\exp t\mu. \operatorname{tr}(\operatorname{ad} y)) \exp(t.C_i(y))|_{U_i}: U_i \rightarrow \mathbb{C}^{n_i}$$

does not tend uniformly to infinity as  $t \rightarrow \infty$ , then the point  $z_t \in \mathbb{C}^{n_i}$  given by (4), in the proof of Lemma 1, has real coordinates; the required result follows easily.  $\blacksquare$

Set

$$\mathfrak{C}_\mu(y, i) = \{x^i \in \mathbb{C}^{n_i} \mid x^i \equiv 0, \text{ if } \operatorname{Re}(\mu \operatorname{tr}(\operatorname{ad} y) + \lambda_i) \neq 0, \text{ and} \\ x_l^i = 0 \text{ for all } 1 \leq l < (n_i + 1)/2, \text{ if } \operatorname{Re}(\mu \operatorname{tr}(\operatorname{ad} y) + \lambda_i) = 0\}.$$

From Lemmas 1 and 2, one has:

**Lemma 3.** *With the notation introduced above, the approximative centre of  $\mathfrak{g}$  is*

$$\mathfrak{C}_\mu = \bigcap_{y \in \mathfrak{g}} \{x \in \mathfrak{g} \mid x_y \in \bigoplus_{i=1}^r \mathfrak{C}_\mu(y, i)\}.$$

In particular,  $\mathfrak{C}_\mu$  is a vector space. In fact, we have:

**Lemma 4.**  $\mathfrak{C}_\mu$  *is a characteristic ideal of  $\mathfrak{g}$ .*

**Proof.** Let  $\phi: \mathfrak{g} \rightarrow \mathfrak{g}$  be a derivation and consider the automorphism  $\Phi_s = e^{s\phi}$  of  $\mathfrak{g}$ . Note that  $\mathfrak{C}_\mu$  is  $\Phi_s$ -invariant. Indeed, if  $x$  and  $y$  belong to  $\mathfrak{g}$ , then

$$\Phi_s(\exp(t. \operatorname{ad} y)(x)) = \exp(t. \operatorname{ad}(\Phi_s y))(\Phi_s x)$$

and hence

$$\Phi_s(\exp \mu \operatorname{tr}(\operatorname{ad}(t.y)) \exp(t. \operatorname{ad} y)(x)) = (\exp \mu \operatorname{tr}(\operatorname{ad}(t.\Phi_s y)) \exp(t. \operatorname{ad}(\Phi_s y)))(\Phi_s x).$$

It follows that if  $x$  is an element of  $\mathfrak{C}_\mu$ , then  $\Phi_s x$  is too, for all  $s \in \mathbb{R}$ . Differentiating with respect to  $s$ , one has that  $\mathfrak{C}_\mu$  is invariant under  $\phi$ .  $\blacksquare$

**Remark 1.** Let  $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$  denote the complexification of  $\mathfrak{g}$ . One can define the *approximative centre*  $\mathfrak{C}_{\mu}(\mathfrak{g}_{\mathbb{C}})$  of  $\mathfrak{g}_{\mathbb{C}}$  in an analogous manner to that of  $\mathfrak{g}$ . It is clear from the above that  $\mathfrak{C}_{\mu}(\mathfrak{g}_{\mathbb{C}})$  is an ideal of  $\mathfrak{g}_{\mathbb{C}}$ . However, it is not true in general that  $\mathfrak{C}_{\mu}(\mathfrak{g}_{\mathbb{C}}) = \mathfrak{C}_{\mu} \otimes_{\mathbb{R}} \mathbb{C}$ . Nevertheless,  $\mathfrak{C}_{\mu} \otimes_{\mathbb{R}} \mathbb{C}$  is clearly an ideal of  $\mathfrak{g}_{\mathbb{C}}$ . Thus, if  $y \in \mathfrak{C}_{\mu}$  and  $z \in \mathfrak{g}_{\mathbb{C}}$ , then  $\text{ad}(y)(z) \in \mathfrak{C}_{\mu} \otimes_{\mathbb{R}} \mathbb{C}$  and in particular,  $C_i(y)(z) \in \mathfrak{C}_{\mu}(y, i)$ , for all  $i$ .

If  $y \in \mathfrak{C}_{\mu}$ , let  $\text{ad}_{\mathfrak{C}_{\mu}}(y): \mathfrak{C}_{\mu} \rightarrow \mathfrak{C}_{\mu}$  denote the restriction of  $\text{ad}(y)$  to  $\mathfrak{C}_{\mu}$ .

**Lemma 5.** *If  $y \in \mathfrak{C}_{\mu}$ , then:*

- (a) *the eigenvalues  $\lambda_1, \dots, \lambda_r$  of  $\text{ad}(y): \mathfrak{g} \rightarrow \mathfrak{g}$  are all imaginary, whence  $\text{tr}(\text{ad } y) = 0$ ,*
- (b) *for all  $i$ , one has:*
  - (i) *if  $\lambda_i = 0$ , then the corresponding Jordan block has size at most 3; that is,  $n_i \leq 3$ ,*
  - (ii) *if  $\lambda_i \neq 0$ , then the corresponding Jordan block has size 1; that is,  $n_i = 1$ ,*
- (c)  $\mathfrak{C}_{\mu} = \ker(\text{ad}_{\mathfrak{C}_{\mu}}^2(y)) \oplus \text{im}(\text{ad}_{\mathfrak{C}_{\mu}}^2(y))$ ,

**Proof.** First note that  $y$  is an eigenvector of  $\text{ad}(y)$  with eigenvalue 0, and so  $\text{Re}(\mu \text{tr}(\text{ad } y)) = 0$ , by Lemma 3. Hence  $\mu \text{tr}(\text{ad } y) = 0$ . Fix  $i$  and let  $\{Z_j^i \mid 1 \leq j \leq n_i\}$  be the canonical basis of  $\mathbb{C}^{n_i}$ . Using the notation from above, one has

$$(6) \quad C_i(y)(Z_j^i) = \begin{cases} \lambda_i Z_j^i + Z_{j+1}^i & ; \text{ if } j < n_i, \\ \lambda_i Z_j^i & ; \text{ if } j = n_i. \end{cases}$$

(a). If  $\text{Re}(\lambda_i) \neq 0$ , then  $\text{Re}(\lambda_i + \mu \text{tr}(\text{ad } y)) \neq 0$ , since  $\mu \text{tr}(\text{ad } y) = 0$ . By Lemmas 1 and 2, one has  $Z_{n_i}^i \notin \mathfrak{C}_{\mu}(y, i)$ , but by (6) and the previous remark, one has  $Z_{n_i}^i = \frac{1}{\lambda_i} C_i(y)(Z_{n_i}^i) \in \mathfrak{C}_{\mu}(y, i)$ , which is a contradiction. Thus  $\text{Re}(\lambda_i) = 0$ , for all  $i$ .

(b)(i). If  $\lambda_i = 0$ , then by the previous remark,  $Z_2^i = C_i(y)(Z_1^i) \in \mathfrak{C}_{\mu}(y, i)$ . Hence, by Lemma 1(b),  $2 \geq \frac{n_i+1}{2}$ ; that is,  $n_i \leq 3$ .

(b)(ii). If  $\lambda_i \neq 0$ , then by the previous remark,

$$Z_{n_i}^i = \frac{1}{\lambda_i} C_i(y)(Z_{n_i}^i) \in \mathfrak{C}_{\mu}(y, i)$$

and  $Z_j^i = \frac{1}{\lambda_i} (C_i(y)(Z_j^i) - Z_{j+1}^i)$  for all  $1 \leq j \leq n_i - 1$ . So by induction,  $Z_j^i \in \mathfrak{C}_{\mu}(y, i)$  for all  $1 \leq j \leq n_i$ . In particular,  $Z_1^i \in \mathfrak{C}_{\mu}(y, i)$ , and hence, by Lemma 1(b),  $1 \geq \frac{n_i+1}{2}$ ; that is,  $n_i = 1$ .

(c). Now consider  $\text{ad}^2(y)$ . Note that:

- (i) if  $n_i = 1$ ,  $\mathfrak{C}_{\mu}(y, i) = \langle Z_1^i \rangle$ , and  $C_i^2(y)(Z_1^i) = 0$  if  $\lambda_i = 0$ , and  $Z_1^i = \frac{1}{\lambda_i^2} C_i^2(y)(Z_1^i)$  if  $\lambda_i \neq 0$ .
- (ii) if  $n_i = 2$ ,  $\mathfrak{C}_{\mu}(y, i) = \langle Z_2^i \rangle$ , and  $C_i^2(y)(Z_2^i) = 0$ .
- (iii) if  $n_i = 3$ ,  $\mathfrak{C}_{\mu}(y, i) = \langle Z_2^i, Z_3^i \rangle$ ,  $C_i^2(y)(Z_2^i) = 0$  and  $C_i^2(y)(Z_3^i) = 0$ .

Hence each of the basis elements of  $\mathfrak{C}_{\mu}(y, i)$  belongs to either the kernel or the image of  $C_i^2(y)$ . Since  $\mathfrak{C}_{\mu} \subset \{x \in \mathfrak{g} \mid x_y \in \bigoplus_{i=1}^r \mathfrak{C}_{\mu}(y, i)\}$ , it follows that  $\mathfrak{C}_{\mu}$  is the vector subspace sum  $\ker(\text{ad}_{\mathfrak{C}_{\mu}}^2(y)) + \text{im}(\text{ad}_{\mathfrak{C}_{\mu}}^2(y))$ . So for dimension reasons,  $\mathfrak{C}_{\mu} = \ker(\text{ad}_{\mathfrak{C}_{\mu}}^2(y)) \oplus \text{im}(\text{ad}_{\mathfrak{C}_{\mu}}^2(y))$ . ■

**Lemma 6.**

- (a) If  $y \in \mathfrak{g}$ , one has  $\mathfrak{C}_\mu \subset \ker(\operatorname{ad}(y)) + \operatorname{im}(\operatorname{ad}(y))$ ,
- (b)  $\mathfrak{C}_\mu \subset Z(\mathfrak{g}) + [\mathfrak{g}, \mathfrak{g}]$ .

**Proof.** (a). Suppose that  $y \in \mathfrak{g}$ . With the above notation,

$$\mathfrak{C}_\mu(y, i) = \begin{cases} 0 & ; \text{ if } \operatorname{Re}(\mu \operatorname{tr}(\operatorname{ad} y) + \lambda_i) \neq 0, \\ \langle Z_l^i \mid l \geq (n_i + 1)/2 \rangle & ; \text{ if } \operatorname{Re}(\mu \operatorname{tr}(\operatorname{ad} y) + \lambda_i) = 0. \end{cases}$$

Suppose that  $\operatorname{Re}(\mu \operatorname{tr}(\operatorname{ad} y) + \lambda_i) = 0$ . If  $\lambda_i = 0$ , then:

(i) if  $n_i = 1$ , then  $C_i(y)(Z_1^i) = 0$ .

(ii) if  $n_i \geq 2$ , then  $Z_l^i = C_i(y)(Z_{l-1}^i)$ , for all  $l \geq (n_i + 1)/2$ .

If  $\lambda_i \neq 0$ , then  $Z_{n_i}^i = C_i(y)(\frac{1}{\lambda_i} Z_{n_i}^i)$  and  $Z_l^i = C_i(y)(\frac{1}{\lambda_i} Z_l^i) - \frac{1}{\lambda_i} Z_{l+1}^i$ , for all  $1 \leq l \leq n_i - 1$ . In particular,  $Z_l^i \in \operatorname{im} C_i(y)$ , for all  $l \geq (n_i + 1)/2$ .

Hence each of the basis elements of  $\mathfrak{C}_\mu(y, i)$  belongs to either the kernel or the image of  $C_i(y)$ . Since  $\mathfrak{C}_\mu \subset \{x \in \mathfrak{g} \mid x_y \in \bigoplus_{i=1}^r \mathfrak{C}_\mu(y, i)\}$ , it follows that  $\mathfrak{C}_\mu$  is a subset of the vector subspace sum  $\ker(\operatorname{ad}(y)) + \operatorname{im}(\operatorname{ad}(y))$ .

(b). From (a), one has:

$$\mathfrak{C}_\mu \subset \bigcap_{y \in \mathfrak{g}} \ker(\operatorname{ad}(y)) + \bigoplus_{y \in \mathfrak{g}} \operatorname{im}(\operatorname{ad}(y)).$$

That is,  $\mathfrak{C}_\mu \subset Z(\mathfrak{g}) + [\mathfrak{g}, \mathfrak{g}]$ . ■

Let  $K$  denote the Killing–Cartan form of  $\mathfrak{C}_\mu$ ; this is the map  $K: \mathfrak{C}_\mu \times \mathfrak{C}_\mu \rightarrow \mathbb{R}$  defined by  $K(x, y) = \operatorname{tr}(\operatorname{ad}_{\mathfrak{C}_\mu}(x) \circ \operatorname{ad}_{\mathfrak{C}_\mu}(y)): \mathfrak{C}_\mu \rightarrow \mathfrak{C}_\mu$ .

**Remark 2.** If  $y \in \mathfrak{C}_\mu$  and  $\{\lambda_i \mid i = 1, \dots, r\}$  are the eigenvalues of  $\operatorname{ad}_{\mathfrak{C}_\mu}(y)$ , one has  $K(y, y) = \sum_{i=1}^r n_i \lambda_i^2$ . By Lemma 5, one has  $K(y, y) \leq 0$  and  $K(y, y) = 0$  if and only if the map  $\operatorname{ad}_{\mathfrak{C}_\mu}^2(y)$  is identically zero.

Let  $\mathfrak{n}$  denote the maximal nilpotent ideal of  $\mathfrak{C}_\mu$ . Consider the radical  $R(\mathfrak{C}_\mu)$  of  $\mathfrak{C}_\mu$ . Recall that  $R(\mathfrak{C}_\mu)$  is the  $K$ -orthogonal complement of the derived algebra  $[\mathfrak{C}_\mu, \mathfrak{C}_\mu]$ . Let  $\mathfrak{C}_\mu^\perp$  denote the  $K$ -orthogonal complement of  $\mathfrak{C}_\mu$ . Recall that

$$(7) \quad R(\mathfrak{C}_\mu) \supset \mathfrak{C}_\mu^\perp \supset \mathfrak{n}.$$

**Definition 2.** For convenience, we introduce four sets:

- (a)  $A = \{x \in \mathfrak{C}_\mu \mid \operatorname{ad}_{\mathfrak{C}_\mu}^2(x) \equiv 0\}$ ,
- (b)  $B = \{x \in \mathfrak{C}_\mu \mid K(x, x) = 0\}$ .
- (c)  $D = \bigoplus_{x \in \mathfrak{C}_\mu} \operatorname{im} \operatorname{ad}_{\mathfrak{C}_\mu}^2(x)$ ,
- (d)  $E = \bigcap_{x \in \mathfrak{C}_\mu} \ker \operatorname{ad}_{\mathfrak{C}_\mu}^2(x)$ ,

**Lemma 7.**  $R(\mathfrak{C}_\mu) = \mathfrak{n}$ , and  $\mathfrak{n}$  is metabelian.

**Proof.** First notice that  $\mathfrak{C}_\mu^\perp \subset B \subset A \subset \mathfrak{n} \subset \mathfrak{C}_\mu^\perp$ . Indeed,

$$\mathfrak{C}_\mu^\perp = \{x \in \mathfrak{C}_\mu \mid K(x, y) = 0 \text{ for all } y \in \mathfrak{C}_\mu\}$$



and so  $\mathfrak{C}_\mu^\perp \subset B$ . Remark 2 gives  $B \subset A$ . Recall that  $\mathfrak{n}$  is the set of elements  $x$  for which  $\text{ad}_{\mathfrak{C}_\mu}(x): \mathfrak{C}_\mu \rightarrow \mathfrak{C}_\mu$  is nilpotent [1]. So  $A \subset \mathfrak{n}$ . Equation (7) gives  $\mathfrak{n} \subset \mathfrak{C}_\mu^\perp$ . So  $B = A = \mathfrak{n} = \mathfrak{C}_\mu^\perp$ . In particular,  $\mathfrak{n} = A$  and so  $\mathfrak{n}$  is metabelian.

Now note that  $E \subset A$ . Indeed, if  $x \in E$ , then for all  $y \in \mathfrak{C}_\mu$ ,  $x \in \ker \text{ad}_{\mathfrak{C}_\mu}^2(y)$  and  $x \in \ker \text{ad}_{\mathfrak{C}_\mu}^2(x+y)$ . So

$$0 = [x+y, [x+y, x]] = [x+y, [y, x]] = [x, [y, x]] + [y, [y, x]] = [x, [y, x]].$$

Thus  $\text{ad}_{\mathfrak{C}_\mu}^2(x)(y) = 0$  for all  $y \in \mathfrak{C}_\mu$ . So  $x \in A$ .

From Lemma 5, for all  $x \in \mathfrak{C}_\mu$ ,  $\ker \text{ad}^2(x) \cap \text{im ad}^2(x) = \{0\}$ . Hence  $\mathfrak{C}_\mu = D \oplus E$ . As  $E \subset A = \mathfrak{n}$ , we have  $E \subset R(\mathfrak{C}_\mu)$ , from (7). Thus

$$(8) \quad R(\mathfrak{C}_\mu) = (R(\mathfrak{C}_\mu) \cap D) \oplus E.$$

Note also that  $R(\mathfrak{C}_\mu) \cap D \subset A$ . Indeed, it suffices to show that if  $x, y \in \mathfrak{C}_\mu$ , and  $z = \text{ad}_{\mathfrak{C}_\mu}^2(x)(y) \in R(\mathfrak{C}_\mu)$ , then  $z \in B$ . But

$$K(z, z) = K([x, [x, y]], z) = K([x, y], [x, z]).$$

Now  $[x, z] \in [\mathfrak{C}_\mu, R(\mathfrak{C}_\mu)] \subset \mathfrak{C}_\mu^\perp$ . So  $K(z, z) = 0$ .

Since  $E \subset A$  and  $R(\mathfrak{C}_\mu) \cap D \subset A$ , (8) gives  $R(\mathfrak{C}_\mu) \subset A = \mathfrak{n}$ , and hence by (7),  $R(\mathfrak{C}_\mu) = \mathfrak{n}$ . ■

Let  $\mathfrak{s}$  be a Levi subalgebra of  $\mathfrak{C}_\mu$ .

**Lemma 8.**  *$\mathfrak{n}$  is a characteristic ideal of  $\mathfrak{g}$  and  $\mathfrak{s}$  is a direct summand of  $\mathfrak{g}$ .*

**Proof.** As  $\mathfrak{r}$  is a characteristic ideal [1], and by Lemma 4,  $\mathfrak{C}_\mu$  is a characteristic ideal, so  $\mathfrak{r} \cap \mathfrak{C}_\mu$  is one too. But  $\mathfrak{n} = \mathfrak{r} \cap \mathfrak{C}_\mu$  since by [6, Theorem 3.8.1],  $\mathfrak{r} \cap \mathfrak{C}_\mu = R(\mathfrak{C}_\mu)$ , and by the previous Lemma  $R(\mathfrak{C}_\mu) = \mathfrak{n}$ .

For all  $y \in \mathfrak{r}$ , Lemma 6 and the fact that  $\mathfrak{r}$  is an ideal gives

$$\mathfrak{s} \subset \mathfrak{C}_\mu \subset \ker \text{ad}(y) + \text{im ad}(y) \subset \ker \text{ad}(y) + \mathfrak{r}.$$

Hence  $\mathfrak{s} \subset Z_{\mathfrak{g}}(\mathfrak{r}) + \mathfrak{r}$ . Thus, as  $\mathfrak{s}$  is semisimple,

$$\mathfrak{s} = [\mathfrak{s}, \mathfrak{s}] \subset [Z_{\mathfrak{g}}(\mathfrak{r}) + \mathfrak{r}, Z_{\mathfrak{g}}(\mathfrak{r}) + \mathfrak{r}] \subset [Z_{\mathfrak{g}}(\mathfrak{r}), Z_{\mathfrak{g}}(\mathfrak{r})] + [\mathfrak{r}, \mathfrak{r}] \subset Z_{\mathfrak{g}}(\mathfrak{r}) + [\mathfrak{r}, \mathfrak{r}].$$

Taking repeated brackets of  $\mathfrak{s}$  with itself, and using the fact that  $\mathfrak{r}$  is solvable, one obtains  $\mathfrak{s} \subset Z_{\mathfrak{g}}(\mathfrak{r})$ .

By the Malcev–Harish-Chandra Theorem,  $\mathfrak{g}$  has a Levi subalgebra  $\mathfrak{S}$  such that  $\mathfrak{s} \subset \mathfrak{S}$  (See [6, Cor. 3.14.3]). Note that  $\mathfrak{s} = \mathfrak{S} \cap \mathfrak{C}_\mu$ . Indeed, clearly  $\mathfrak{s} \subset \mathfrak{S} \cap \mathfrak{C}_\mu$ . On the other hand, since  $\mathfrak{S}$  is a subalgebra and  $\mathfrak{C}_\mu$  is an ideal of  $\mathfrak{g}$ ,  $\mathfrak{S} \cap \mathfrak{C}_\mu$  is an ideal of  $\mathfrak{S}$ . So  $\mathfrak{S} \cap \mathfrak{C}_\mu$  is a semisimple subalgebra. Thus, as  $\mathfrak{s}$  is a maximal semisimple subalgebra of  $\mathfrak{C}_\mu$ ,  $\mathfrak{s} = \mathfrak{S} \cap \mathfrak{C}_\mu$ . In particular,  $\mathfrak{s}$  is an ideal of  $\mathfrak{S}$ . As  $\mathfrak{S}$  is semisimple,  $\mathfrak{s}$  is a direct summand of  $\mathfrak{S}$ ; that is, there is an ideal  $\mathfrak{s}'$  of  $\mathfrak{S}$  such that  $\mathfrak{S}$  is an internal direct sum of ideals  $\mathfrak{S} = \mathfrak{s} \oplus \mathfrak{s}'$ . Consider the vector space direct sum  $\mathfrak{t} = \mathfrak{s}' \oplus \mathfrak{r}$ . By construction  $\mathfrak{t}$  is an ideal of  $\mathfrak{g}$ . From above,  $\mathfrak{s} \subset Z_{\mathfrak{g}}(\mathfrak{r})$ . So we have an internal direct sum of ideals:  $\mathfrak{g} = \mathfrak{s} \oplus \mathfrak{t}$ . ■

**Remark 3.** By Remark 2,  $K(x, x) \leq 0$  for all  $x \in \mathfrak{s}$ , where  $K$  is the Killing–Cartan form of  $\mathfrak{C}_\mu$ . So, by the previous Lemma, the Killing–Cartan form of  $\mathfrak{s}$  is negative semi-definite; that is,  $\mathfrak{s}$  is compact.

Returning to the statement of the theorem, note that with the exception of (a)(ii), part (a) follows from Lemma 8, Remark 3, and Lemmas 7 and 6(b). From Lemma 6(a), one has:

$$\mathfrak{C}_\mu \subset \bigcap_{z \in \mathfrak{n}} \ker(\operatorname{ad}(z)) + \bigoplus_{z \in \mathfrak{n}} \operatorname{im}(\operatorname{ad}(z)).$$

That is,  $\mathfrak{C}_\mu \subset Z_{\mathfrak{g}}(\mathfrak{n}) + [\mathfrak{n}, \mathfrak{g}]$ . Hence  $\mathfrak{n} \subset Z_{\mathfrak{g}}(\mathfrak{n}) + [\mathfrak{n}, \mathfrak{g}]$ . It follows that as  $\mathfrak{n}$  is an ideal, by Lemma 8,

$$\mathfrak{n} \subset \mathfrak{n} \cap Z_{\mathfrak{g}}(\mathfrak{n}) + [\mathfrak{n}, \mathfrak{g}] = Z(\mathfrak{n}) + [\mathfrak{n}, \mathfrak{g}].$$

Clearly  $Z(\mathfrak{n}) + [\mathfrak{n}, \mathfrak{g}] \subset \mathfrak{n}$ . To see that  $Z(\mathfrak{n})$  is an ideal of  $\mathfrak{g}$ , notice that as  $\mathfrak{n}$  is an ideal of  $\mathfrak{g}$ , the Jacobi identity gives

$$[\mathfrak{n}, [Z(\mathfrak{n}), \mathfrak{g}]] = [\mathfrak{g}, [Z(\mathfrak{n}), \mathfrak{n}]] + [Z(\mathfrak{n}), [\mathfrak{n}, \mathfrak{g}]] = 0,$$

and so  $[Z(\mathfrak{n}), \mathfrak{g}] \subset Z(\mathfrak{n})$ . So we have established (a)(ii).

Suppose that  $\mathfrak{g}$  is unimodular or that  $\mu = 0$ . One sees directly from Lemma 3 that  $Z(\mathfrak{g}) \subset \mathfrak{C}_\mu$ , and hence  $Z(\mathfrak{g}) \subset \mathfrak{n}$ . Notice that if  $\mathfrak{g}_1$  is a compact semisimple Lie algebra, then  $\mathfrak{g}_1$  is the Lie algebra of a compact Lie group and so the orbits of the adjoint action are bounded. Hence  $\mathfrak{C}_\mu(\mathfrak{g}_1) = \mathfrak{g}_1$ . As  $\mathfrak{g}$  is unimodular or  $\mu = 0$ , Lemma 3 implies that if  $\mathfrak{g}$  is a direct sum of ideals,  $\mathfrak{g} = \mathfrak{g}_1 \oplus \mathfrak{g}_2$ , then  $\mathfrak{C}_\mu = \mathfrak{C}_\mu(\mathfrak{g}_1) \oplus \mathfrak{C}_\mu(\mathfrak{g}_2)$ . In particular, if  $\mathfrak{g}_1$  is compact semisimple,  $\mathfrak{g}_1 \subset \mathfrak{C}_\mu$ , from which it follows that  $\mathfrak{g}_1 \subset \mathfrak{s}$ . Thus  $\mathfrak{s}$  is the maximal compact semisimple direct summand of  $\mathfrak{g}$ .

Finally, suppose that  $\mathfrak{g}$  is not unimodular and  $\mu \neq 0$ . Let  $x \in \mathfrak{g}$  with  $\tau := -\operatorname{tr}(\operatorname{ad}(x)) \neq 0$ . Consider the Jordan form of the induced derivation  $\operatorname{ad}_{\mathfrak{C}_\mu}(x): \mathfrak{C}_\mu \rightarrow \mathfrak{C}_\mu$ . Suppose that  $\lambda_1, \lambda_2$  are (not necessarily distinct) eigenvalues of  $\operatorname{ad}_{\mathfrak{C}_\mu}(x)$  and for each  $i = 1, 2$  let  $\{Z_j^i \mid 1 \leq j \leq n_i\}$  be linearly independent vectors in  $\mathfrak{C}_\mu \otimes_{\mathbb{R}} \mathbb{C}$  with  $\operatorname{ad}(x)(Z_j^i) = \lambda_i Z_j^i + Z_{j-1}^i$  for all  $j$ , where by definition  $Z_0^i = 0$ . We will show by induction on  $p = j + k$  that  $[Z_j^1, Z_k^2] = 0$  for all  $j \leq n_1, k \leq n_2$ . The claim is obviously true for  $p = 0$ . Suppose that it holds for  $p = l$ . Then for  $p = l + 1$ , the inductive hypothesis gives:

$$\begin{aligned} \operatorname{ad}(x)[Z_j^1, Z_k^2] &= [\operatorname{ad}(x)Z_j^1, Z_k^2] + [Z_j^1, \operatorname{ad}(x)Z_k^2] \\ &= [\lambda_1 Z_j^1, Z_k^2] + [Z_{j-1}^1, Z_k^2] + [Z_j^1, \lambda_2 Z_k^2] + [Z_j^1, Z_{k-1}^2] \\ (9) \qquad &= (\lambda_1 + \lambda_2)[Z_j^1, Z_k^2]. \end{aligned}$$

As  $Z_j^1, Z_k^2 \in \mathfrak{C}_\mu \otimes_{\mathbb{R}} \mathbb{C}$ , one has  $[Z_j^1, Z_k^2] \in \mathfrak{C}_\mu \otimes_{\mathbb{R}} \mathbb{C}$ . By Lemma 3, the eigenvalues of  $\operatorname{ad}_{\mathfrak{C}_\mu}(x)$  all have real part equal to  $\mu\tau$ . So  $\operatorname{Re}(\lambda_1) = \operatorname{Re}(\lambda_2) = \mu\tau$  and  $\operatorname{Re}(\lambda_1 + \lambda_2) = 2\mu\tau \neq \mu\tau$ . So  $\lambda_1 + \lambda_2$  is not an eigenvalue of  $\operatorname{ad}_{\mathfrak{C}_\mu}(x)$ , and thus (9) gives  $[Z_j^1, Z_k^2] = 0$ . This completes the induction. Thus  $\mathfrak{C}_\mu$  is abelian. So  $\mathfrak{s} = 0$  and  $\mathfrak{n}$  is abelian, as required. This completes the proof of the theorem. ■

**Proof of the corollary.** Part (a) follows immediately from Lemma 5(a), while (c) follows immediately from the theorem.

(b) If  $\mathfrak{g}$  is nilpotent, then  $\mathfrak{C}_\mu = \mathfrak{n}$  and by part (a)(ii) of the theorem,

$$(10) \quad \begin{aligned} \mathfrak{C}_\mu &= Z(\mathfrak{C}_\mu) + [\mathfrak{C}_\mu, \mathfrak{g}] = Z(\mathfrak{C}_\mu) + [Z(\mathfrak{C}_\mu) + [\mathfrak{C}_\mu, \mathfrak{g}], \mathfrak{g}] \\ &= Z(\mathfrak{C}_\mu) + [Z(\mathfrak{C}_\mu), \mathfrak{g}] + [[\mathfrak{C}_\mu, \mathfrak{g}], \mathfrak{g}]. \end{aligned}$$

Now by part (a)(ii) of the theorem,  $Z(\mathfrak{C}_\mu)$  is an ideal of  $\mathfrak{g}$ , and so  $[Z(\mathfrak{C}_\mu), \mathfrak{g}] \subset Z(\mathfrak{C}_\mu)$  and (10) gives  $\mathfrak{C}_\mu = Z(\mathfrak{C}_\mu) + [[\mathfrak{C}_\mu, \mathfrak{g}], \mathfrak{g}]$ . Repeating this argument, one has  $\mathfrak{C}_\mu = Z(\mathfrak{C}_\mu) + \mathfrak{g}^k(\mathfrak{C}_\mu)$  for all  $k \geq 1$ , where  $\mathfrak{g}^i(\mathfrak{C}_\mu) = [\mathfrak{g}, \mathfrak{g}^{i-1}(\mathfrak{C}_\mu)]$  and  $\mathfrak{g}^1(\mathfrak{C}_\mu) = [\mathfrak{g}, \mathfrak{C}_\mu]$ . Thus, if  $\mathfrak{g}$  is nilpotent,  $\mathfrak{C}_\mu = Z(\mathfrak{C}_\mu)$ ; that is,  $\mathfrak{C}_\mu$  is abelian.

(d) If  $\mathfrak{g}$  is the Lie algebra of a compact Lie group, the orbits of the adjoint action of  $\mathfrak{g}$  are bounded and thus  $\mathfrak{C}_\mu = \mathfrak{g}$ . Conversely, if  $\mathfrak{C}_\mu = \mathfrak{g}$ , then by the theorem,  $\mathfrak{g} = \mathfrak{s} \oplus \mathfrak{n}$ , where  $\mathfrak{s}$  is compact semisimple and  $\mathfrak{n}$  is metabelian. In fact, by part (a)(ii) of the theorem,  $\mathfrak{n} = Z(\mathfrak{n}) + [\mathfrak{n}, \mathfrak{g}] = Z(\mathfrak{n})$ , and so  $\mathfrak{n}$  is abelian. Hence  $\mathfrak{g}$  is the Lie algebra of a compact Lie group. ■

**Example 1.** The approximative centre of the following (solvable unimodular) Lie algebra is nilpotent non-abelian:

$$\mathfrak{g} = \langle x, y, z, w \mid [x, y] = z, [x, z] = -y, [y, z] = w \rangle.$$

Indeed, it is easy to see from Lemma 3 that  $\mathfrak{C}_\mu = \langle y, z, w \rangle$ .

**Example 2.** Consider the standard filiform nilpotent Lie algebra (see [2]):

$$\mathfrak{g} = \langle x, y_1, \dots, y_n \mid [x, y_i] = y_{i+1}, \forall i < n \rangle.$$

The approximative centre of  $\mathfrak{g}$  is abelian and strictly greater than the centre; indeed, it is easy to see from Lemma 3 that  $\mathfrak{C}_\mu = \langle y_i \mid i \geq (n + 1)/2 \rangle$ .

**Example 3.** Consider the Lie algebra  $\mathfrak{g} = \mathbb{R}^3 \rtimes \mathfrak{so}(3, \mathbb{R})$ , where the action of  $\mathfrak{so}(3, \mathbb{R})$  on  $\mathbb{R}^3$  is the standard linear one. Here  $\mathfrak{g}$  has a Levi subalgebra which is compact and simple, but the approximative centre has no simple factor ( $\mathfrak{C}_\mu = \mathbb{R}^3$ ).

**Remark 4.** If a Lie algebra  $\mathfrak{g}$  has an ideal  $\mathfrak{a}$  which is the Lie algebra of a compact Lie group, it doesn't necessarily follow that  $\mathfrak{a} \subset \mathfrak{C}_\mu$ , or that  $\mathfrak{C}_\mu \subset \mathfrak{a}$ . In Example 2, the ideal  $\langle y_1, \dots, y_n \rangle$  is abelian but it is not contained in  $\mathfrak{C}_\mu$ . In Example 1,  $\mathfrak{C}_\mu$  is not contained in the (maximal) abelian ideal  $\langle z, w \rangle$ .

*Thanks.* The author is very grateful to the referee, whose careful reading and sensible suggestions improved the presentation of this paper.

### References

- [1] N. Bourbaki, “Lie groups and Lie algebras. Chapters 1–3,” Springer-Verlag, Berlin, 1998.
- [2] D. Burde, *Affine cohomology classes for filiform Lie algebras*, in “Crystallographic groups and their generalizations,” Amer. Math. Soc., Providence, RI, Contemp. Math. **262** (2000), 159–170.
- [3] G. Cairns, “Feuilletages géodésibles,” Thesis, Montpellier, 1987.
- [4] G. Cairns and E. Ghys, *Totally geodesic foliations on 4-manifolds*, J. Diff. Geom. **23** (1986), 241–254.
- [5] G. Cairns and P. Molino, *Weakly involutive totally geodesic distributions of constant rank*, preprint.
- [6] V.S. Varadarajan, “Lie groups, Lie Algebras, and their Representations,” Springer-Verlag, 1984.

G. Cairns  
Department of Mathematics  
La Trobe University  
Melbourne, Australia 3083  
G.Cairns@latrobe.edu.au

Received November 17, 2000  
and in final form May 15, 2001