# Kazhdan Constants and Matrix Coefficients of $Sp(n, \mathbf{R})$

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**Abstract.** An infinitesimal Kazhdan constant of Sp  $(2, \mathbf{R})$  is computed. The methods used to prove this can also be employed to determine a quantitative estimate of the asymptotics of the matrix coefficients of Sp  $(n, \mathbf{R})$  in an elementary manner. An application of the result gives explicit Kazhdan constants for Sp  $(n, \mathbf{R})$ ,  $n \geq 2$ .

### 1. Introduction

A locally compact group G has Kazhdan's property T, if for a compact subset  $Q \subset G$  and an  $\varepsilon > 0$ , every unitary representation  $\pi$  which has a  $(Q, \varepsilon)$ -invariant vector, i. e. a vector  $\xi \in H_{\pi}$  such that  $\|\pi(g)\xi - \xi\| < \varepsilon \|\xi\|$  for all  $g \in Q$ , has in fact a nonzero invariant vector. If such  $(Q, \varepsilon)$  for a group exists it is called a Kazhdan pair. This group theoretic property introduced in [9] has remarkable applications, for an account see [5] and [12].

In this paper, by "representation" we shall always mean "unitary representation". Let G be a connected Lie group. If  $\pi$  is a representation of G, a vector  $\xi \in H_{\pi}$  is called a  $C^{\infty}$ -vector if  $g \mapsto \langle \pi(g) \xi, \eta \rangle$  is a  $C^{\infty}$ -function for all  $\eta \in H_{\pi}$ , cf. for example [14]. The space of  $C^{\infty}$ -vectors is denoted by  $H_{\pi}^{\infty}$ . Let Kbe a maximal compact subgroup of G. A vector  $\xi \in H_{\pi}$  is K-finite if the linear span of  $\pi(K)\xi$  is finite-dimensional. We denote by  $H_{\pi,K}^{\infty}$  the space of K-finite,  $C^{\infty}$ -vectors in  $H_{\pi}$ .

Let  $X_1, \ldots, X_m$  be a basis of the Lie algebra of G, then  $\Delta = -\sum_{k=1}^m X_k^2$  denotes the Laplacian. If  $\pi$  is a representation of G, let  $d\pi$  denote the derived representation of the Lie algebra. It can be extended to the universal enveloping algebra.

In [1, Theorem 3.10], it was shown that property T for a connected Lie group G, is equivalent to the existence of an  $\varepsilon > 0$  such that

$$\langle d\pi (\Delta) \xi, \xi \rangle \ge \varepsilon \|\xi\|^2$$

for every  $\xi \in H^{\infty}_{\pi}$  and every  $\pi$  without nonzero fixed vector.

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In [2, page 94], it was shown that restriction to the space  $H^{\infty}_{\pi,K}$  is possible, namely:

**Theorem 1.1.** The connected Lie group G has property T if and only if there exists a constant  $\varepsilon > 0$  such that

$$\inf\left\{\left\langle d\pi\left(\Delta\right)\xi,\xi\right\rangle:\xi\in H^{\infty}_{\pi,K}, \|\xi\|=1\right\}\geq\varepsilon$$

for any unitary representation  $\pi$  of G without nonzero fixed vector.

We define the infinitesimal Kazhdan constant as

$$\kappa_{K}\left(\Delta,G\right) = \inf\left\{\left\langle d\pi\left(\Delta\right)\xi,\xi\right\rangle:\xi\in H^{\infty}_{\pi,K}, \|\xi\| = 1, \pi \neq 1\right\}.$$

The symplectic group  $\operatorname{Sp}(n, \mathbf{R}) \subset \operatorname{GL}(2n, \mathbf{R})$  is the group of isometries of the skew symmetric bilinear form induced by

$$J = \left(\begin{array}{cc} 0 & -I_n \\ I_n & 0 \end{array}\right),$$

where  $I_n$  is the  $n \times n$  identity matrix. So

$$\operatorname{Sp}(n, \mathbf{R}) = \left\{ g \in \operatorname{GL}(2n, \mathbf{R}) : g^T J g = J \right\}.$$

In the following  $G = \text{Sp}(n, \mathbf{R})$  and  $K = \text{Sp}(n, \mathbf{R}) \cap \text{SO}(2n)$  is the standard maximal compact subgroup of G.

Let  $\pi$  be a strongly continuous representation of G on a Hilbert space  $H_{\pi}$ . A vector  $\xi \in H_{\pi}$  is called K-finite if the linear span of the set  $\pi(K)\xi$  in  $H_{\pi}$  is finite-dimensional. Denote this dimension by  $\delta(\xi) = \dim \langle \pi(K)\xi \rangle$ .

**Theorem 1.2.** For every  $\operatorname{Sp}(2, \mathbf{R}) \cap \operatorname{SO}(4)$ -finite unit  $C^{\infty}$ -vector  $\eta$  and every representation  $\pi$  of  $\operatorname{Sp}(2, \mathbf{R})$  without nonzero invariant vectors

$$\langle d\pi \left( \Delta \right) \eta, \eta \rangle \ge \frac{1}{4\pi} \sup_{0 < \vartheta < \pi/2} \frac{\left( \sin \left( 2\vartheta \right) \right)^2}{\vartheta} > 0.11532$$

for a suitable Laplacian  $\Delta$  on Sp  $(2, \mathbf{R})$ , described after Theorem 3.5.

By Theorem 1.1, this implies that  $\text{Sp}(2, \mathbf{R})$  has Kazhdan's property T which was shown for any local field in [4] and [13] and with an elementary proof in [3].

The group G can be decomposed as G = KAK, where

$$A = \left\{ \left( \begin{array}{cc} a & 0 \\ 0 & a^{-1} \end{array} \right) : a = \operatorname{diag}\left( a_1, \dots, a_n \right) \right\},\$$

the subgroup of the diagonal matrices in G. In fact, the matrices in A in the decomposition can be chosen more specially as  $G = KA^+K$ , where

$$A^{+} = \left\{ \left( \begin{array}{cc} a & 0 \\ 0 & a^{-1} \end{array} \right) : \begin{array}{c} a = \operatorname{diag} \left( a_{1}, \dots, a_{n} \right), \\ a_{1} \ge a_{2} \ge \dots \ge a_{n} \ge 1 \end{array} \right\}.$$

This can be achieved by suitable conjugation of an element of A by permutation matrices contained in K.

The asymptotics of the matrix coefficients will be given for the dense subspace of K-finite vectors of a representation  $\pi$ .

The quantitative estimate of the asymptotic of matrix coefficients will be given in terms of the Harish-Chandra function  $\Xi$  defined by

$$\Xi \left( \begin{array}{cc} a & 0 \\ 0 & a^{-1} \end{array} \right) = \frac{1}{2\pi} a^{-1} \int_0^{2\pi} \left| a^{-4} \left( \cos \vartheta \right)^2 + \left( \sin \vartheta \right)^2 \right|^{-1/2} \, d\vartheta,$$

cf. for example [7, page 215].

Let  $g \in G$  with the decomposition  $g = k_1 h k_2, k_1, k_2 \in K$ ,

$$h = \text{diag}(a_1, \dots, a_n, a_1^{-1}, \dots, a_n^{-1}) \in A^+,$$

then define

$$\Psi(g) = \Xi \left(\begin{array}{cc} \sqrt{a_1 a_2} & 0\\ 0 & \sqrt{a_1 a_2}^{-1} \end{array}\right).$$

The next theorem gives a quantitative estimate for the asymptotics of matrix coefficients.

**Theorem 1.3.** Let  $\pi$  be a strongly continuous representation of  $\text{Sp}(n, \mathbf{R})$ ,  $n \geq 2$ , without nonzero invariant vectors, then

$$\left|\varphi_{\xi,\eta}\left(g\right)\right| \leq \left\|\xi\right\| \left\|\eta\right\| \sqrt{\delta\left(\xi\right)\delta\left(\eta\right)}\Psi\left(g\right)$$

for two K-finite vectors  $\xi, \eta \in H_{\pi}$ , where  $\varphi_{\xi,\eta}(g) = \langle \pi(g) \xi, \eta \rangle$ .

Here the main application of this theorem is the proof of Kazhdan's property T of Sp  $(n, \mathbf{R})$ ,  $n \geq 2$ , with an explicit Kazhdan pair.

**Theorem 1.4.** Let  $0 < \delta < 1$ ,  $\varepsilon = 0.32 \times \sqrt{2\delta}$ , and  $Q = \Psi^{-1}([1 - \delta, 1])$ , then  $(Q, \varepsilon)$  is a Kazhdan pair of Sp $(n, \mathbf{R})$ .

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## 2. Preliminaries

A set in the dual space of  $S^2(\mathbf{R}^2)$  is determined, where  $S^2(\mathbf{R}^2)$  is identified with the vector space of the symmetric 2 × 2-matrices. This set will be important for the computation of an explicit estimate of the infinitesimal Kazhdan constant of Sp (2, **R**) in Section 3 and for the determination of an explicit quantitative estimate of the asymptotics of matrix coefficients of Sp  $(n, \mathbf{R})$  in Section 5. The asymptotics will be employed in the last section to obtain a Kazhdan pair for Sp  $(n, \mathbf{R})$ .

For  $SL(3, \mathbf{R})$  M. B. Bekka and M. Mayer in [2] have determined a lower bound of the infinitesimal Kazhdan constant associated with a Laplacian.

Let  $\pi$  be a representation of Sp (2, **R**) on  $H_{\pi}$ . The strategy for establishing the estimates consists in considering the restriction of  $\pi$  to SL (2, **R**)  $\ltimes S^2$  (**R**<sup>2</sup>).

There is a spectral measure on the dual group  $\widehat{N}$  corresponding to  $\pi|_N$  where  $N = S^2(\mathbf{R}^2)$  is an abelian subgroup. The main problem here will be to find a set  $W \subset \widehat{N}$  of which the spectral measure can be computed and estimated under the action of a suitably defined one parameter subgroup.

The subgroup

$$P = \left\{ \left( \begin{array}{cc} a & b \\ 0 & a^{T-1} \end{array} \right) : a \in \mathrm{SL}\left(2, \mathbf{R}\right), ab^{T} = ba^{T} \right\} \cong \mathrm{SL}\left(2, \mathbf{R}\right) \ltimes S^{2}\left(\mathbf{R}^{2}\right)$$

will be considered. If  $\xi$  is a vector fixed by the subgroup

$$N = \left\{ \begin{pmatrix} 1 & 0 & x & y \\ 0 & 1 & y & z \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbf{R} \right\} \cong \mathbf{R}^3,$$

then  $\xi$  is a fixed vector of Sp (2, **R**), cf. for example [10, page 88].

So it can be supposed that  $\pi$  has no nonzero *N*-invariant vector. Let *E* be the spectral measure of  $\hat{N}$ . Then  $\pi|_N = \int_{\hat{N}} \chi \, dE(\chi)$  and  $E(\{0\}) = 0$ . For Borel sets  $W \subset \hat{N}$  we have  $E(a \cdot W) = \pi(a) E(W) \pi(a)^{-1}$  for all  $a \in \text{SL}(2, \mathbb{R})$ .

Let  $\rho$  denote the action of  $\operatorname{SL}(2, \mathbf{R})$  on  $S^2(\mathbf{R}^2)$  by  $\rho(a) b = aba^T$ . We have that  $a^T = \omega a^{-1} \omega^{-1}$  for  $\omega = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  and  $a \in \operatorname{SL}(2, \mathbf{R})$ . So the dual operation on  $\widehat{N}$  is equivalent to the usual operation  $\rho$  since tr  $(ba^T ca) = \operatorname{tr}(aba^T c)$ . The following basis

$$s_1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, s_2 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, s_3 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

of  $S^{2}(\mathbf{R}^{2})$  is chosen. The isomorphism

$$\begin{pmatrix} x\\ y\\ z \end{pmatrix} \mapsto xs_1 + ys_2 + zs_3 = \begin{pmatrix} x+y & z\\ z & x-y \end{pmatrix}$$

yields an identification between  $\widehat{N} \cong N \cong S^2(\mathbf{R}^2)$  and  $\mathbf{R}^3$ . The spectral measure E is now considered to be defined on  $\mathbf{R}^3$ .

For an angle  $0 < \vartheta < \pi$  and  $h \in \mathbf{R}$  define

$$S_{h}^{+}(\vartheta) = \left\{ \left( \begin{array}{c} x \\ hx + y\cos\beta \\ y\sin\beta \end{array} \right) : x \in \mathbf{R}, y > 0, -\vartheta < \beta \le \vartheta \right\}.$$

For  $0 < \vartheta < \frac{\pi}{2}$  one has

$$S_{h}^{+}(\vartheta) = \left\{ \left( \begin{array}{c} x \\ hx + y \\ y \tan \beta \end{array} \right) : x \in \mathbf{R}, y > 0, -\vartheta < \beta \le \vartheta \right\}.$$

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Let  $g_0(\alpha) = \begin{pmatrix} \cos(\alpha/2) & -\sin(\alpha/2) \\ \sin(\alpha/2) & \cos(\alpha/2) \end{pmatrix}$ . Then in the chosen basis  $g_0(\alpha)$  acts on  $\mathbf{R}^3$  by

$$\left(\begin{array}{rrr}1 & 0 & 0\\ 0 & \cos\alpha & -\sin\alpha\\ 0 & \sin\alpha & \cos\alpha\end{array}\right).$$

Hence

$$g_0(\alpha) \cdot S_0^+(\vartheta) = \left\{ \begin{pmatrix} x \\ y\cos\beta \\ y\sin\beta \end{pmatrix} : x \in \mathbf{R}, y > 0, -\vartheta + \alpha < \beta \le \vartheta + \alpha \right\}.$$

This implies that  $g_0(2\vartheta) \cdot S_0^+(\vartheta)$  and  $S_0^+(\vartheta)$  are disjoint.

Let  $\xi$  be a unit eigenvector of the image  $\pi(K)$ , then  $\pi(g_0(\alpha))\xi = e^{in\alpha/2}\xi$ for an  $n \in \mathbb{Z}$ . As  $g_0(\alpha) \in \mathrm{SL}(2, \mathbb{R})$ ,

$$\pi (g_0 (\alpha)) E (S_0^+ (\vartheta)) \xi = \pi (g_0 (\alpha)) E (S_0^+ (\vartheta)) \pi (g_0 (\alpha))^{-1} \pi (g_0 (\alpha)) \xi$$
  
=  $E (g_0 (\alpha) \cdot S_0^+ (\vartheta)) \pi (g_0 (\alpha)) \xi$   
=  $e^{in\alpha/2} E (g_0 (\alpha) \cdot S_0^+ (\vartheta)) \xi$ 

and so

$$\|E\left(S_{0}^{+}\left(\vartheta\right)\right)\xi\| = \|\pi\left(g_{0}\left(\alpha\right)\right)E\left(S_{0}^{+}\left(\vartheta\right)\right)\xi|$$
$$= \|E\left(g_{0}\left(\alpha\right)\cdot S_{0}^{+}\left(\vartheta\right)\right)\xi\|.$$

On the other hand  $S_0^+(\vartheta)$  and  $g_0(\alpha) \cdot S_0^+(\vartheta)$  are disjoint for  $2\vartheta \leq \alpha \leq 2\pi - 2\vartheta$ . Hence  $E(S_0^+(\vartheta)) E(g_0(\alpha) \cdot S_0^+(\vartheta)) = 0$  and  $E(S_0^+(\vartheta)) \xi$  is orthogonal to  $E(g_0(\alpha) \cdot S_0^+(\vartheta)) \xi$ .

Let now  $n \ge 2$ ,  $\vartheta = \pi/n$ , and  $\alpha_j = 2\pi j/n$  for  $0 \le j \le n-1$ , then

$$\mathbf{R}^{3} \setminus \{0\} = \bigcup_{j=0}^{n-1} g_{0}\left(\alpha_{j}\right) \cdot S_{0}^{+}\left(\vartheta\right)$$

where the union is disjoint. So  $\sum_{j=0}^{n-1} E\left(g_0(\alpha_j) \cdot S_0^+(\vartheta)\right) = \mathrm{id}_{H_{\pi}}$ . This way  $\xi$  can be decomposed into vectors of equal length  $\xi = \sum_{j=0}^{n-1} E\left(g_0(\alpha_j) \cdot S_0^+(\vartheta)\right) \xi$ . If  $\xi$  is a unit vector

$$\left\| E\left(S_0^+\left(\vartheta\right)\right)\xi\right\|^2 = 1/n = \vartheta/\pi.$$

This equality can be extended first to all  $\vartheta = r\pi$  with  $r \in \mathbf{Q} \cap ]0,1[$  and then to all  $r \in ]0,1[$ . This proves the following.

Lemma 2.1. For  $0 < \vartheta < \pi$ :

$$\left\| E\left(S_0^+\left(\vartheta\right)\right)\xi\right\|^2 = \vartheta/\pi.$$

Let now

$$S_{h}^{-}(\vartheta) = \left\{ \begin{pmatrix} x \\ hx + y\cos\beta \\ y\sin\beta \end{pmatrix} : x \in \mathbf{R}, y < 0, -\vartheta < \beta \le \vartheta \right\}$$
$$= g_{0}(\pi) \cdot S_{-h}^{+}(\vartheta),$$

 $\begin{array}{l} \text{then } \left\| E\left(S_{0}^{-}\left(\vartheta\right)\right)\xi\right\|^{2}=\left\| E\left(S_{0}^{+}\left(\vartheta\right)\right)\xi\right\|^{2}=\vartheta/\pi\,.\\ \text{ Let } S_{0}\left(\vartheta\right)\ =\ S_{0}^{+}\left(\vartheta\right)\cup S_{0}^{-}\left(\vartheta\right),\ W^{+}\left(\vartheta\right)\ =\ S_{1}^{+}\left(\vartheta\right)\cap S_{0}^{+}\left(2\vartheta\right),\ W^{-}\left(\vartheta\right)\ =\ S_{1}^{-}\left(\vartheta\right)\cap S_{0}^{-}\left(2\vartheta\right),\ \text{and } W\left(\vartheta\right)=W^{-}\left(\vartheta\right)\cup W^{+}\left(\vartheta\right)\ \text{for } 0<\vartheta<\pi/2. \end{array}$ 

The determination of the spectral measure of  $W(\vartheta)$  is a more difficult task. This will be done in the next section.

#### 3. Kazhdan constants associated with a Laplacian

The next proposition is an important step in the determination of the infinitesimal Kazhdan constant associated with  $\Delta$ .

**Proposition 3.1.** For  $0 < \vartheta < \pi/2$  and a unit K-eigenvector  $\xi$  the spectral measure is  $||E(W(\vartheta))\xi||^2 = 2\vartheta/\pi$ .

The proof is postponed to Appendix A.

Now it will be investigated how  $W(\vartheta)$  behaves under the action of the one parameter group  $g_1(t) = \begin{pmatrix} \exp(t/2) & 0 \\ 0 & \exp(-t/2) \end{pmatrix}$ . Then  $g_1(t)$  acts on  $S^2(\mathbf{R}^2)$  with the above basis by with the above basis by

$$\begin{pmatrix} \cosh t & \sinh t & 0 \\ \sinh t & \cosh t & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Hence

$$g_{1}(t) \cdot S_{1}^{+}(\vartheta) = \left\{ \begin{pmatrix} x \\ x+y \\ ye^{t} \tan \beta \end{pmatrix} : x \in \mathbf{R}, y > 0, -\vartheta < \beta \le \vartheta \right\}$$
$$= S_{1}^{+} \left( \arctan\left(e^{t} \tan \vartheta\right) \right)$$

and

$$g_1(t) \cdot W^{\pm}(\vartheta) = S_1^{\pm} \left( \arctan\left(e^t \tan \vartheta\right) \right) \cap \left( g_1(t) \cdot S_0^{\pm}(2\vartheta) \right).$$

Here

$$g_{1}(t) \cdot S_{0}^{\pm}(\vartheta) = \left\{ \begin{pmatrix} x \cosh t + y \cos \beta \sinh t \\ x \sinh t + y \cos \beta \cosh t \\ y \sin \beta \end{pmatrix} : x \in \mathbf{R}, \pm y > 0, -\vartheta < \beta \le \vartheta \right\}.$$

Since  $x \in \mathbf{R}$  is arbitrary, replace x by  $\frac{x-y\cos\beta\sinh t}{\cosh t}$ . Then the first coordinate becomes x and the second  $(x-y\cos\beta\sinh t)\tanh t + y\cos\beta\cosh t = x\tanh t + y$  $\frac{y\cos\beta}{\cosh t}$ . So

$$g_{1}(t) \cdot S_{0}^{\pm}(\vartheta) = \left\{ \begin{pmatrix} x \\ x \tanh t + \frac{y \cos \beta}{\cosh t} \\ y \sin \beta \end{pmatrix} : x \in \mathbf{R}, \pm y > 0, -\vartheta < \beta \le \vartheta \right\}$$
$$= S_{\tanh t}^{\pm} \left( \arctan\left(\cosh t \tan \vartheta\right) \right).$$

The next proposition determines a  $\vartheta_t$  dependent of t and  $\vartheta$  such that  $W(\vartheta_t)$  is contained in  $g_1(t) \cdot W(\vartheta)$  giving in the corollary below a lower bound for the spectral measure of  $W(\vartheta_t)$  as an immediate consequence.

**Proposition 3.2.** For  $0 < \vartheta < \pi/2$  and t > 0 holds  $g_1(t) \cdot W(\vartheta) \supseteq W(\arctan(e^t \tan \vartheta))$ .

The proof is postponed to Appendix B.

**Corollary 3.3.** For  $0 < \vartheta < \pi/2$  and t > 0,

$$\left|E\left(g_{1}\left(t\right)\cdot W\left(\vartheta\right)\right)\xi\right\|^{2} \geq \frac{2}{\pi}\arctan\left(e^{t}\tan\vartheta\right).$$

The purpose of all this is to obtain an estimate of  $||d\pi(Y_1)\xi||$ , where  $Y_1 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , for a smooth SO(2)-finite unit vector  $\xi$ . Observe that  $g_1(t) = \exp(tY_1)$ .

**Proposition 3.4.** Let  $\pi$  be a representation of  $SL(2, \mathbf{R}) \ltimes S^2(\mathbf{R}^2)$  without nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors, then

$$\left\| d\pi \left( Y_1 \right) \xi \right\| \ge \frac{1}{2\sqrt{2\pi}} \frac{\sin \left( 2\vartheta \right)}{\sqrt{\vartheta}}$$

for every smooth SO (2)-eigenvector  $\xi$  with  $\|\xi\| = 1$ .

**Proof.** For  $\xi$  smooth of norm 1:

$$\|E(g_1(t) \cdot W(\vartheta))\xi\| = \|\pi(g_1(t)) E(W(\vartheta))\pi(g_1(-t))\xi\|$$
  
=  $\|E(W(\vartheta))\pi(g_1(-t))\xi\|.$ 

Differentiating at t = 0 yields

$$\frac{d}{dt} \left\| E\left(g_{1}\left(t\right) \cdot W\left(\vartheta\right)\right) \xi \right\|^{2} \right|_{t=0}$$

$$= \left. \frac{d}{dt} \left\| E\left(W\left(\vartheta\right)\right) \pi\left(g_{1}\left(-t\right)\right) \xi \right\|^{2} \right|_{t=0}$$

$$= -\left\langle d\pi\left(Y_{1}\right) \xi, E\left(W\left(\vartheta\right)\right) \xi \right\rangle - \left\langle E\left(W\left(\vartheta\right)\right) \xi, d\pi\left(Y_{1}\right) \xi \right\rangle.$$

If f is a real function differentiable at 0 with f(0) = 0 and  $f(x) \ge 0$  for  $x \ge 0$ , then  $f'(0) \ge 0$ . Together with Corollary 3.3 this implies

$$\frac{d}{dt} \left\| E\left(g_{1}\left(t\right) \cdot W\left(\vartheta\right)\right) \xi \right\|^{2} \right\|_{t=0}$$

$$\geq \frac{2}{\pi} \frac{d}{dt} \arctan\left(e^{t} \tan \vartheta\right) \Big|_{t=0} = \frac{2}{\pi} \frac{1}{1 + (\tan \vartheta)^{2}} \tan \vartheta$$

$$= \frac{2}{\pi} (\cos \vartheta)^{2} \tan \vartheta = \frac{2}{\pi} \cos \vartheta \sin \vartheta = \frac{1}{\pi} \sin\left(2\vartheta\right).$$

Hence

$$2 \|d\pi (Y_1)\xi\| \sqrt{\frac{2\vartheta}{\pi}} \geq -\langle d\pi (Y_1)\xi, E(W(\vartheta))\xi \rangle - \langle E(W(\vartheta))\xi, d\pi (Y_1)\xi \rangle$$
$$= \frac{d}{dt} \|E(g_1(t) \cdot W(\vartheta))\xi\|^2\Big|_{t=0}$$
$$\geq \frac{1}{\pi} \sin (2\vartheta)$$

and

$$\|d\pi(Y_1)\xi\| \ge \frac{1}{2\sqrt{2\pi}} \frac{\sin(2\vartheta)}{\sqrt{\vartheta}}$$

for every smooth K-eigenvector  $\xi$  of norm 1.

For  $Y_2 = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , conjugate to  $Y_1$ , the same equality holds. Together with  $Y_0 = \frac{1}{2} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ , the three elements  $Y_0, Y_1, Y_2$  form a basis of the Lie algebra of SL (2, **R**) orthogonal with respect to the Killing form. The corresponding Casimir operator is  $C = \frac{1}{2} (Y_1^2 + Y_2^2 - Y_0^2)$  and the corresponding Laplacian is  $\Delta = -Y_1^2 - Y_2^2 - Y_0^2 = -2C - 2Y_0^2$ .

**Theorem 3.5.** Let  $\pi$  be a representation of SL  $(2, \mathbf{R}) \ltimes S^2(\mathbf{R}^2)$  without nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors, then

$$\langle d\pi \left( \Delta \right) \eta, \eta \rangle \geq \frac{1}{4\pi} \sup_{0 < \vartheta < \pi/2} \frac{\left( \sin \left( 2\vartheta \right) \right)^2}{\vartheta}$$

for every smooth SO (2)-finite unit vector  $\eta$ .

**Proof.** Let  $\eta = \sum_{k=1}^{r} \xi_k$  be the orthogonal decomposition of  $\eta$  into  $d\pi(Y_0)$ eigenvectors, then the observation that C commutes with  $Y_0$  implies

$$\langle d\pi (\Delta) \eta, \eta \rangle$$

$$= \langle d\pi (-2Y_0^2) \eta, \eta \rangle + \langle d\pi (-2C) \eta, \eta \rangle$$

$$= \sum_{k=1}^r \langle d\pi (-2Y_0^2) \xi_k, \xi_k \rangle + \langle d\pi (-2C) \xi_k, \xi_k \rangle = \sum_{k=1}^r \langle d\pi (\Delta) \xi_k, \xi_k \rangle$$

$$\geq \sum_{k=1}^r 2 \left( \frac{1}{2\sqrt{2\pi}} \frac{\sin(2\vartheta)}{\sqrt{\vartheta}} \right)^2 \|\xi_k\|^2 = \frac{1}{4\pi} \frac{\sin^2(2\vartheta)}{\vartheta} \|\eta\|^2.$$

The following basis of the Lie algebra  $\operatorname{sp}(2, \mathbf{R})$  will be considered which contains elements corresponding to  $Y_1$  and  $Y_2$ . The Lie algebra  $\operatorname{sp}(2, \mathbf{R})$  admits a Cartan decomposition into  $\operatorname{sp}(2, \mathbf{R}) = k \oplus p$  where  $k = \operatorname{so}(4, \mathbf{R}) \cap \operatorname{sp}(2, \mathbf{R})$  and  $p = S^2(\mathbf{R}^2) \cap \operatorname{sp}(2, \mathbf{R})$ . With

$$X_{0} = \frac{1}{2} \begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, X_{1} = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix},$$
$$X_{2} = \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & -1 & 0 \end{pmatrix}, X_{3} = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

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and

$$\begin{aligned} X_4 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, X_5 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \\ X_6 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, X_7 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \\ X_8 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, X_9 &= \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix} \end{aligned}$$

the Casimir operator satisfies

$$C = 2\left(X_1^2 + X_2^2 + X_3^2 + X_4^2 + X_5^2 + X_6^2 - \left(X_7^2 + X_8^2 + X_9^2 + X_0^2\right)\right).$$

The elements  $X_0, X_7, X_8, X_9$  form a basis of k and  $X_1, X_2, X_3, X_4, X_5, X_6$  form a basis of p. Let  $\eta$  be a smooth Sp  $(2, \mathbf{R}) \cap$  SO (4)-finite unit vector, then

$$\langle d\pi (\Delta) \eta, \eta \rangle \ge \langle d\pi (\Delta_1) \eta, \eta \rangle,$$

with  $\Delta_1 = -X_0^2 - X_1^2 - X_2^2 = -2X_0^2 - 2C_1$  where  $C_1 = \frac{1}{2}(-X_0^2 + X_1^2 + X_2^2)$  is the Casimir operator of a Lie subalgebra isomorphic to  $\operatorname{sl}(2, \mathbf{R})$ . By Theorem 3.5 this shows that  $\langle d\pi (\Delta) \eta, \eta \rangle \geq (4\pi)^{-1} (\sin (2\vartheta))^2 / \vartheta$  for every smooth Sp  $(2, \mathbf{R}) \cap$ SO (4)-finite unit vector  $\eta$  of a representation  $\pi$  without nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors.

To conclude the proof of Theorem 1.2 let  $\pi$  be a representation of Sp (2, **R**) without nonzero invariant vector. If the restriction to  $S^2(\mathbf{R}^2)$  would have a nonzero invariant vector this would imply the contradiction that Sp (2, **R**) would have a nonzero invariant vector by an argument similar to the one for SL (2, **R**) in [10, page 88]. For more details see also the proof of Theorem 4.3. In the notation used there a nonzero  $S^2(\mathbf{R}^2)$ -invariant vector would imply a nonzero vector invariant under  $G_{1,1}$ ,  $G_{1,2}$ , and  $G_{2,2}$  (see next section). But these three subgroups together generate Sp (2, **R**).

By Theorem 3.5 now only the maximum of the function  $\vartheta \mapsto (\sin (2\vartheta))^2 / \vartheta$  has to be considered which is obtained at approximately  $\vartheta \approx 0.582781$  so

$$\frac{1}{4\pi} \sup_{0 < \vartheta < \pi/2} \frac{\left(\sin\left(2\vartheta\right)\right)^2}{\vartheta} \approx 0.115325 > 0.11532.$$

### 4. Vanishing of matrix coefficients

In this section the qualitative behavior of the matrix coefficients of  $\text{Sp}(n, \mathbf{R})$  will be analyzed in an elementary manner. The case  $\text{SL}(n, \mathbf{R})$  was done in [7].

The following notion will be used.

Let X be a Hausdorff topological space. A complex valued function f is said to vanish at infinity if for every  $\varepsilon > 0$  there exists a compact set  $C \subset X$  such that  $|f(x)| < \varepsilon$  for all  $x \in X \setminus C$ .

A sequence goes to  $\infty$  in X if it has no limit point in X. If X is second countable a complex valued function f vanishes at  $\infty$  if  $\lim_{m\to\infty} f(x) = 0$  for every sequence  $(x_m)_{m\in\mathbb{N}}$  in X going to  $\infty$ . This will be used for  $\operatorname{Sp}(n, \mathbb{R})$ .

The following is easily deduced from the fact that  $\operatorname{Sp}(n, \mathbf{R}) = KA^{+}K$  and  $\pi(K)$  is compact.

**Lemma 4.1.** Let  $\pi$  be a representation of Sp  $(n, \mathbf{R})$  on  $H_{\pi}$  such that the matrix coefficients do not vanish at infinity; then there are  $\xi, \eta \in H_{\pi}$  and a sequence  $(g_m)_{m \in \mathbf{N}}$  with  $g_m \in A^+$  and  $g_m \to \infty$  such that  $(\langle \pi(g_m)\xi, \eta \rangle)_{m \in \mathbf{N}}$  does not converge to 0.

The subgroup

$$N_1 = \left\{ \begin{pmatrix} 1 & 0 & x & y^T \\ 0 & I & y & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & I \end{pmatrix} : x \in \mathbf{R}, y \in \mathbf{R}^{n-1} \right\}$$

of Sp  $(n, \mathbf{R})$  will be important. The following proposition shows that a representation of Sp  $(n, \mathbf{R})$  which has a matrix coefficient that does not vanish at  $\infty$ has in fact a nonzero vector which is  $N_1$ -invariant. The next theorem will show this vector is in fact invariant by proving that some specific subgroups generate Sp  $(n, \mathbf{R})$ .

**Proposition 4.2.** Let  $\pi$  be a strongly continuous unitary representation of  $\operatorname{Sp}(n, \mathbf{R})$  on  $H_{\pi}$  and suppose that a matrix coefficient of  $\pi$  does not vanish at  $\infty$ , then there is a nonzero  $N_1$ -invariant vector.

**Proof.** By Lemma 4.1 there is a sequence  $(g_m)_{m \in \mathbf{N}}$  which goes to infinity with  $g_m \in A^+$  and a  $\xi \in H_{\pi}$  such that the sequence  $(\pi (g_m) \xi)_{m \in \mathbf{N}}$  does not converge weakly to 0. After passing to a subsequence it can be assumed that  $(\pi (g_m) \xi)_{m \in \mathbf{N}}$  converges in the weak topology to  $\eta \neq 0$  since  $\pi (g_m)$  is unitary and the unit ball is compact in the weak topology.

Let 
$$g_m = \begin{pmatrix} a_m & 0 \\ 0 & a_m^{-1} \end{pmatrix}$$
 with  
 $a_m = \operatorname{diag}(a_{m,1}, \dots, a_{m,n}), a_{m,1} \ge \dots \ge a_{m,n} \ge 1.$ 

As  $a_m \to \infty$ , we have  $a_{m,1}^{-1} \to 0$ . The elements  $g_m^{-1}hg_m$  converge to the identity  $I_n$  for  $m \to \infty$  and  $h \in N_1$  as

$$\left(\begin{array}{cc}a^{-1} & 0\\0 & a\end{array}\right)\left(\begin{array}{cc}I_n & b\\0 & I_n\end{array}\right)\left(\begin{array}{cc}a & 0\\0 & a^{-1}\end{array}\right) = \left(\begin{array}{cc}I_n & a^{-1}ba^{-1}\\0 & I_n\end{array}\right)$$

with a diagonal and  $b \in S^2(\mathbf{R}^n)$ ,

$$\begin{pmatrix} a_{m,1}^{-1} & 0\\ 0 & d_m^{-1} \end{pmatrix} \begin{pmatrix} x & y^T\\ y & 0 \end{pmatrix} \begin{pmatrix} a_{m,1}^{-1} & 0\\ 0 & d_m^{-1} \end{pmatrix} = \begin{pmatrix} a_{m,1}^{-2}x & a_{m,1}^{-1}y^Td_m^{-1}\\ a_{m,1}^{-1}d_m^{-1}y & 0 \end{pmatrix}$$

with  $d_m = \text{diag}(a_{m,2}, \ldots, a_{m,n})$  and so  $a_{m,1}^{-2}x \to 0$  and  $a_{m,1}^{-1}d_m^{-1}y \to 0$  because  $a_{m,j} \ge 1$  for all j.

Next it is proven that  $\eta \in H_{\pi}$  is  $N_1$ -invariant. Let  $h \in N_1$  with  $h = \begin{pmatrix} I & b \\ 0 & I \end{pmatrix}$ , then

$$\begin{aligned} |\langle \pi (h) \eta - \eta, \zeta \rangle| &= \lim_{m \to \infty} |\langle \pi (h) \pi (g_m) \xi - \pi (g_m) \xi, \zeta \rangle| \\ &= \lim_{m \to \infty} |\langle \pi (g_m) \left( \pi \left( g_m^{-1} h g_m \right) \xi - \xi \right), \zeta \rangle| \\ &\leq \lim_{m \to \infty} \left\| \pi (g_m) \left( \pi \left( g_m^{-1} h g_m \right) \xi - \xi \right) \right\| \|\zeta\| \\ &= \lim_{m \to \infty} \left\| \pi \left( g_m^{-1} h g_m \right) \xi - \xi \right\| \|\zeta\| = 0 \end{aligned}$$

for all  $\zeta \in H_{\pi}$  because of the strong continuity of  $\pi$ . So  $\pi(h)\eta = \eta$ .

With the help of the last proposition the following yields an elementary proof that the matrix coefficients of  $Sp(n, \mathbf{R})$  vanish at infinity.

Let  $E_{j,k} \in \mathbf{R}^{n \times n}$  be the matrix which is zero in every entry except for the one at (j,k) which is 1. Let  $\rho_{j,k} : \mathrm{SL}(2,\mathbf{R}) \to \mathrm{Sp}(n,\mathbf{R})$  be the homomorphisms

$$\rho_{j,k} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} I_n + (a-1)(E_{j,j} + E_{k,k}) & b(E_{j,k} + E_{k,j}) \\ c(E_{j,k} + E_{k,j}) & I_n + (d-1)(E_{j,j} + E_{k,k}) \end{pmatrix}$$

for  $j, k = 1, ..., n, \ j \neq k$ ,

$$\rho_{k,k} \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) = \left( \begin{array}{cc} I_n + (a-1) E_{k,k} & b E_{k,k} \\ c E_{k,k} & I_n + (d-1) E_{k,k} \end{array} \right)$$

for k = 1, ..., n, and  $\tilde{\rho}_{j,k} : \mathrm{SL}(2, \mathbf{R}) \to \mathrm{SL}(n, \mathbf{R})$  the homomorphisms

$$\tilde{\rho}_{j,k} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = I_n + (a-1) E_{j,j} + bE_{j,k} + cE_{k,j} + (d-1) E_{k,k}$$

for j, k = 1, ..., n. Let

$$G_{j,k} = \rho_{j,k} \left( \operatorname{SL} \left( 2, \mathbf{R} \right) \right),$$
  

$$\tilde{G}_{j,k} = \left\{ \begin{pmatrix} \tilde{\rho}_{j,k} \left( g \right) & 0 \\ 0 & \left( \tilde{\rho}_{j,k} \left( g \right)^T \right)^{-1} \end{pmatrix} : g \in \operatorname{SL} \left( 2, \mathbf{R} \right) \right\}$$

for j, k = 1, ..., n be the corresponding subgroups.

The first proof of the following was given in [6].

**Theorem 4.3.** Let  $\pi$  be a unitary representation of  $\text{Sp}(n, \mathbf{R})$  which does not contain the trivial representation, then the matrix coefficients of  $\pi$  vanish at infinity.

**Proof.** Assume by contradiction that at least one coefficient of  $\pi$  does not vanish at infinity.

For n = 1 a vector which is  $N_1$ -invariant is also invariant for  $\text{Sp}(n, \mathbf{R}) = \text{SL}(2, \mathbf{R})$ , see for example [10, page 88].

Now suppose  $n \ge 2$ , then by Lemma 4.2 there is an  $N_1$ -invariant  $\xi$ . The case n = 1 implies that this vector is also  $G_{1,k}$ -invariant for  $k = 1, \ldots, n$ . Let G be the subgroup of  $\operatorname{Sp}(n, \mathbf{R})$  generated by these subgroups. It will be shown that  $G = \operatorname{Sp}(n, \mathbf{R})$ .

Let

$$\omega = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \omega_{j,k} = \rho_{j,k}(\omega), \tilde{\omega}_{j,k} = \tilde{\rho}_{j,k}(\omega)$$

for  $j, k = 1, \ldots, n$ . Then  $\omega_{1,k}\rho_{1,1}(g) \omega_{1,k}^{-1} = \left(\rho_{k,k}(g)^T\right)^{-1}$  for  $k = 2, \ldots, n$ . This implies  $G_{k,k} \subset G$ . Since  $\omega_{1,1}\rho_{1,k}(g) \omega_{1,1}^{-1} = \left(\tilde{\rho}_{1,k}(g)^T\right)^{-1}$  for  $k = 2, \ldots, n$ , we have  $\tilde{G}_{1,k} \subset G$ . Also  $\tilde{\omega}_{1,j}\tilde{\rho}_{1,k}(g) \tilde{\omega}_{1,j}^{-1} = \tilde{\rho}_{j,k}(g)$  for  $j, k = 2, \ldots, n, j \neq k$  which gives  $\tilde{G}_{j,k} \subset G$ . Finally  $\tilde{\omega}_{1,j}\rho_{1,k}(g) \tilde{\omega}_{1,j}^{-1} = \rho_{j,k}(g)$  for  $j, k = 2, \ldots, n, j \neq k$  and  $G_{j,k} \subset G$ .

This implies  $G = \text{Sp}(n, \mathbf{R})$ , see [8, Section 6.9]. So  $\xi$  is G-invariant.

#### 5. An estimate for the decay of the matrix coefficients

Before studying the decay of the matrix coefficients of Sp  $(n, \mathbf{R})$  the matrix coefficients of the semi-direct product SL  $(2, \mathbf{R}) \ltimes S^2(\mathbf{R}^2)$  are considered. A set in the unitary dual  $\widehat{S^2(\mathbf{R}^2)}$  of the additive group of  $S^2(\mathbf{R}^2)$  will help to determine an estimate for the matrix coefficients of the representations of SL  $(2, \mathbf{R}) \ltimes S^2(\mathbf{R}^2)$  without nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors.

**Theorem 5.1.** Let  $\pi$  be a representation of SL  $(2, \mathbf{R}) \ltimes S^2(\mathbf{R}^2)$  on  $H_{\pi}$  without nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors, then

$$\left|\varphi_{\xi,\eta}\left(g_{0}\left(\alpha\right)g_{1}\left(t\right)g_{0}\left(\beta\right)\right)\right| = \left|\left\langle\pi\left(g_{0}\left(\alpha\right)g_{1}\left(t\right)g_{0}\left(\beta\right)\right)\xi,\eta\right\rangle\right| \le c_{\xi,\eta}e^{-t/2}$$

for  $\xi, \eta \in H_{\pi,K}$  and  $c_{\xi,\eta}$  is a constant depending only on  $\xi$  and  $\eta$ .

**Proof.** Let  $\Phi : \mathbf{R}^3 \to \widehat{S^2(\mathbf{R}^2)}$  be the isomorphism

$$\left(\Phi\left(x,y,z\right)\right)\left(u\right) = \exp\left(i\operatorname{tr}\left(\left(\begin{array}{cc}x+z & y\\ y & z\end{array}\right)u\right)\right)$$

for  $u \in S^2(\mathbf{R}^2)$ . We identify  $\mathbf{R}^3$  with  $\widehat{S^2(\mathbf{R}^2)}$  via  $\Phi$ . Let s > 1 and

$$X_s = \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \in \mathbf{R}^3 : s^{-2} < y^2 + z^2 < s^2 \right\},$$

then  $\bigcup_{s>1} X_s = \mathbf{R}^3 \setminus \{0\}$ . As  $\pi$  has no nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors,  $E(X_s) \eta$  converges to  $\eta$  for  $\eta \in H_{\pi}$  where E is the spectral measure associated to  $\pi|_{S^2(\mathbf{R}^2)}$ . So it is enough to prove the statement for eigenvectors  $\xi, \eta \in E(X_s) H_{\pi}$  of  $\pi(K)$  as the matrix coefficients are sesquilinear in  $\xi$  and  $\eta$ .

Let  $t > 2 \ln s$ , then

$$\varphi_{\xi,\eta}(g_1(t)) = \langle \pi(g_1(t))\xi,\eta \rangle = \langle \pi(g_1(t))E(X_s)\xi, E(X_s)\eta \rangle$$
  
=  $\langle E(g_1(t) \cdot X_s)\pi(g_1(t))\xi, E(X_s)\eta \rangle$   
=  $\langle \pi(g_1(t))\xi, E((g_1(t) \cdot X_s) \cap X_s)\eta \rangle$ .

By the Cauchy–Schwarz inequality:

$$|\varphi_{\xi,\eta}(g_1(t))| \le ||\xi|| ||E((g_1(t) \cdot X_s) \cap X_s)\eta||.$$

The one-parameter subgroup generated by  $g_1(t)$  operates in the following way on  $\mathbf{R}^3$ . Then

$$g_{1}(-t)\begin{pmatrix} x+z & y\\ y & z \end{pmatrix}g_{1}(-t) = \begin{pmatrix} e^{-t}(x+z) & y\\ y & e^{t}z \end{pmatrix}$$
$$= \begin{pmatrix} e^{-t}x - 2z\sinh t + e^{t}z & y\\ y & e^{t}z \end{pmatrix}$$

so by the isomorphism  $\Phi$ 

$$g_1(t) \cdot \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} e^{-t}x - 2z\sinh t \\ y \\ e^tz \end{pmatrix}$$

Hence

$$g_1(t) \cdot X_s = \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} : s^{-2} < y^2 + e^{-2t}z^2 < s^2 \right\} \subset \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} : |z| < e^t s \right\}.$$

As

$$(g_1(t) \cdot X_s) \cap X_s \subset \left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} : y^2 + z^2 > s^{-2}, |z| < e^t s \right\}$$

we have  $|z| \left(\sqrt{y^2 + z^2}\right)^{-1} < e^t s (s^{-1})^{-1} = e^t s^2$ . Now  $z = r \cos \beta$  with  $r = \sqrt{y^2 + z^2}$  where  $|\cos \beta| < e^t s^2$ . Let  $\vartheta = \arccos(e^t s^2)$ , then  $-\pi < \beta < \pi$  if and only if  $-\pi < \beta < -\vartheta$  or  $\vartheta < \beta < \pi$ . By definition of  $S_h(\vartheta)$  and  $W(\vartheta)$ , cf. Section 3,

$$\begin{aligned} |\varphi_{\xi,\eta}\left(g_{1}\left(t\right)\right)| &\leq \sqrt{1 - \left\|E\left(S_{1}\left(\vartheta\right)\right)\xi\right\|^{2}} \leq \sqrt{1 - \left\|E\left(W\left(\vartheta\right)\right)\xi\right\|^{2}} \\ &= \sqrt{1 - \frac{2}{\pi}\arccos\left(e^{-t}s^{2}\right)} = \sqrt{\frac{2}{\pi}\arcsin\left(e^{-t}s^{2}\right)} \\ &\leq se^{-t/2}. \end{aligned}$$

Finally for  $t \leq 2 \ln s$ ,  $|\varphi_{\xi,\eta}(g_1(t))| \leq 1 \leq se^{-t/2}$  holds.

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There is an estimate for the matrix coefficients of the regular representation of SL  $(2, \mathbf{R})$  which depends on the Harish-Chandra  $\Xi$  function, cf. for example [7, page 217]. For  $t \in \mathbf{R}$ :

$$\Xi(g_1(t)) = (2\pi)^{-1} e^{-t/2} \int_0^{2\pi} \left| e^{-2t} (\cos \vartheta)^2 + (\sin \vartheta)^2 \right|^{-1/2} d\vartheta.$$

**Theorem 5.2.** Let  $\pi$  be a representation of SL  $(2, \mathbf{R}) \ltimes S^2(\mathbf{R}^2)$  without nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors, then for the matrix coefficient of any two vectors  $\xi, \eta \in H_{\pi}$  there is the pointwise estimate

 $\left|\varphi_{\xi,\eta}\left(g_{1}\left(t\right)\right)\right| \leq \left\|\xi\right\| \left\|\eta\right\| \sqrt{\dim\left\langle\pi\left(K\right)\xi\right\rangle \dim\left\langle\pi\left(K\right)\eta\right\rangle}\Xi\left(g_{1}\left(t\right)\right),$ 

where  $\langle \pi(K) \xi \rangle$  is the subspace spanned by the orbit  $\pi(K) \xi$ .

The proof can be copied word by word form [7, page 226] replacing the corresponding statement by Theorem 5.1.

Hence it is possible to prove Theorem 1.3, which describes the asymptotics of matrix coefficients of  $\text{Sp}(n, \mathbf{R})$ .

**Proof of Theorem 1.3.** Consider the subgroups

$$\tilde{G}_{1,2} = \left\{ \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & I & 0 & 0 \\ 0 & 0 & a^{T-1} & 0 \\ 0 & 0 & 0 & I \end{pmatrix} : a \in \operatorname{SL}(2, \mathbf{R}) \right\},\$$

$$P_{1,2} = \left\{ \begin{pmatrix} a & 0 & b & 0 \\ 0 & I & 0 & 0 \\ 0 & 0 & a^{T-1} & 0 \\ 0 & 0 & 0 & I \end{pmatrix} : a \in \operatorname{SL}(2, \mathbf{R}), ab^{T} = ba^{T} \right\},\$$

isomorphic to  $SL(2, \mathbf{R})$  and  $SL(2, \mathbf{R}) \ltimes S^{2}(\mathbf{R}^{2})$  respectively.

Let  $\pi$  be a representation of Sp  $(n, \mathbf{R})$  without nonzero invariant vectors, then the representation  $\pi_{1,2} = \pi|_{P_{1,2}}$  also has no nonzero  $S^2(\mathbf{R}^2)$ -invariant vectors, as the matrix coefficients of  $\pi$  and hence the ones of  $\pi_{1,2}$  vanish at  $\infty$ , as shown in Theorem 4.3.

To  $\tilde{G}_{1,2} \subset \text{Sp}(n, \mathbf{R})$  the estimate of Theorem 5.2 is applied. Let

$$K_{1,2} = \left\{ \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & I & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & I \end{pmatrix} : a \in \mathrm{SO}\left(2, \mathbf{R}\right) \right\}$$

be a maximal compact subgroup of  $\tilde{G}_{1,2}$ .

Let 
$$\omega = \begin{pmatrix} I - E_{2,2} & -E_{2,2} \\ E_{2,2} & I - E_{2,2} \end{pmatrix} \in K$$
, then  
 $\omega g \omega^{-1} = \operatorname{diag} \left( a_1, a_2^{-1}, a_3, \dots, a_n, a_1^{-1}, a_2, a_3^{-1}, \dots, a_n^{-1} \right).$ 

Now

$$\begin{pmatrix} \sqrt{a_1 a_2} & 0\\ 0 & \sqrt{a_1 a_2}^{-1} \end{pmatrix} \begin{pmatrix} \sqrt{a_1/a_2} & 0\\ 0 & \sqrt{a_1/a_2} \end{pmatrix} = \begin{pmatrix} a_1 & 0\\ 0 & a_2^{-1} \end{pmatrix}.$$

Let

$$\tilde{g} = \operatorname{diag} \left( \sqrt{a_1 a_2}, \sqrt{a_1 a_2}^{-1}, 1, \dots, 1, \sqrt{a_1 a_2}^{-1}, \sqrt{a_1 a_2}, 1, \dots, 1 \right), h = \operatorname{diag} \left( \sqrt{a_1 / a_2}, \sqrt{a_1 / a_2}, a_3, \dots, a_n, \sqrt{a_2 / a_1}, \sqrt{a_2 / a_1}, a_3^{-1}, \dots, a_n^{-1} \right),$$

then

$$\begin{aligned} |\varphi_{\xi,\eta}(g)| &= |\langle \pi(g)\,\xi,\eta\rangle| = |\langle \pi(\tilde{g})\,\pi(h\omega)\,\xi,\pi(\omega)\,\eta\rangle| \\ &\leq \|\xi\|\,\|\eta\|\,\sqrt{\delta\,(\xi)\,\delta\,(\eta)}\Xi\left(\begin{array}{cc}\sqrt{a_1a_2} & 0\\ 0 & \sqrt{a_1a_2}^{-1}\end{array}\right) \end{aligned}$$

by Theorem 5.2, as  $\pi$  is unitary,

$$\dim \langle \pi (K_{1,2}) \pi (h\omega) \xi \rangle = \dim \langle \pi (h) \pi (K_{1,2}) \pi (\omega) \xi \rangle$$
$$= \dim \langle \pi (K_{1,2}) \pi (\omega) \xi \rangle,$$

and  $K_{1,2}\omega \subset K$ .

#### 6. Kazhdan pairs

For  $g \in \text{Sp}(n, \mathbf{R})$  there are  $k_1, k_2 \in K$  and

$$h = \text{diag}\left(a_1, a_2, \dots, a_n, a_1^{-1}, a_2^{-1}, \dots, a_n^{-1}\right) \in A^+$$

such that  $g = k_1 h k_2$ . This implies

$$\begin{aligned} |\varphi_{\xi,\eta}(g)| &= \left| \left\langle \pi\left(h\right) \pi\left(k_{2}\right) \xi, \pi\left(k_{1}\right)^{-1} \eta \right\rangle \right| \\ &\leq \left\| \xi \right\| \left\| \eta \right\| \sqrt{\delta\left(\xi\right) \delta\left(\eta\right)} \Xi\left(g_{1}\left(\ln\left(a_{1}a_{2}\right)\right)\right). \end{aligned}$$

Let  $\Psi$  be defined by  $\Psi(g) = \Xi(g_1(\ln(a_1a_2))).$ 

**Theorem 6.1.** Let  $0 < \varepsilon < 1$  and  $\delta = \left(4\sin\left(\frac{\arcsin\varepsilon}{2}\right) + \varepsilon\right)^2/2 < 1$ , then  $\left(\Psi^{-1}\left(\left[1-\delta,1\right]\right),\varepsilon\right)$  is a Kazhdan pair of  $\operatorname{Sp}(n,\mathbf{R})$ .

The proof can again be copied word by word from [7, page 230–231] replacing SL  $(n, \mathbf{R})$  by Sp  $(n, \mathbf{R})$ ,  $\delta$  by  $1 - \delta$ , and the corresponding statement by Theorem 5.2.

For given  $\delta$  the  $\varepsilon$  in the last theorem can be estimated. We can now prove Theorem 1.4.

**Proof of Theorem 1.4.** Let at first  $0 < \varepsilon < 1$  be arbitrary. The Taylor expansion of  $x \mapsto \sqrt{1+x}$  at 0 shows

$$4\sin\left(\left(\arcsin\varepsilon\right)/2\right) = 2\sqrt{2}\sqrt{1-\sqrt{1-\varepsilon^2}}$$
$$\geq 2\sqrt{2}\sqrt{1-(1-\varepsilon^2/2)} = 2\varepsilon$$

for  $0 < \varepsilon < 1$ , hence  $4\sin((\arcsin \varepsilon)/2) + \varepsilon \ge 3\varepsilon \ge \sqrt{2}$  for  $\varepsilon \ge \sqrt{2}/3$ . So  $\varepsilon < \sqrt{2}/3$  can be assumed. Again with the above mentioned Taylor expansion we have  $\sqrt{1+x} \le 1+x/2$  for  $x \ge -1$  and so

$$\sqrt{1+x} = \sqrt{1-\frac{x}{1+x}}^{-1} \ge \left(1-\frac{x}{2+2x}\right)^{-1} = 1+\frac{x}{2+x}$$

By letting  $x = -\varepsilon^2$  this yields

$$\sqrt{1 - \sqrt{1 - \varepsilon^2}}$$

$$\leq \sqrt{1 - \left(1 - \frac{\varepsilon^2}{2 - \varepsilon^2}\right)} = \frac{\varepsilon}{\sqrt{2}} \sqrt{\frac{1}{1 - \varepsilon^2/2}} = \frac{\varepsilon}{\sqrt{2}} \sqrt{1 + \frac{\varepsilon^2/2}{1 - \varepsilon^2/2}}$$

$$\leq \frac{\varepsilon}{\sqrt{2}} \left(1 + \frac{\varepsilon^2/2}{2 - \varepsilon^2}\right) = \frac{\varepsilon}{\sqrt{2}} \left(1 + \frac{1}{4\varepsilon^{-2} - 2}\right) < \frac{\varepsilon}{\sqrt{2}} \frac{17}{16}$$

for  $0 < \varepsilon < \sqrt{2}/3$  and hence  $4\sin((\arcsin \varepsilon)/2) + \varepsilon < (17/8 + 1)\varepsilon = (25/8)\varepsilon$ . Now let  $0 < \varepsilon = (8/25)\sqrt{2\delta} < \sqrt{2}/3$ , then  $4\sin((\arcsin \varepsilon)/2) + \varepsilon < \sqrt{2\delta}$ 

and the last theorem shows that  $(Q, \varepsilon)$  is a Kazhdan pair.

### A Proof of Proposition 3.1

The idea is to decompose  $W(\vartheta)$  suitably such that it can be rearranged to  $S_0(\vartheta)$ using only rotations  $g_0(\alpha)$ .

The union  $W(\vartheta) = W^{-}(\vartheta) \cup W^{+}(\vartheta)$  is disjoint and

$$W(\vartheta) \cap S_0(\vartheta) = \left(W^+(\vartheta) \cap S_0^+(\vartheta)\right) \cup \left(W^-(\vartheta) \cap S_0^-(\vartheta)\right)$$

and

$$W(\vartheta) \setminus S_0(\vartheta) = (W^+(\vartheta) \cup W^-(\vartheta)) \setminus S_0(\vartheta)$$
  
=  $(W^+(\vartheta) \setminus S_0(\vartheta)) \cup (W^-(\vartheta) \setminus S_0(\vartheta))$   
=  $(W^+(\vartheta) \setminus S_0^+(\vartheta)) \cup (W^-(\vartheta) \setminus S_0^-(\vartheta)).$ 

Hence  $\|E(W(\vartheta))\xi\|^2 = \|E(W(\vartheta) \cap S_0(\vartheta))\xi\|^2 + \|E(W(\vartheta) \setminus S_0(\vartheta))\xi\|^2$ ,

$$\|E\left(W\left(\vartheta\right)\cap S_{0}\left(\vartheta\right)\right)\xi\|^{2}$$
  
=  $\|E\left(W^{+}\left(\vartheta\right)\cap S_{0}^{+}\left(\vartheta\right)\right)\xi\|^{2} + \|E\left(W^{-}\left(\vartheta\right)\cap S_{0}^{-}\left(\vartheta\right)\right)\xi\|^{2},$ 

and

$$\|E(W(\vartheta) \setminus S_0(\vartheta))\xi\|^2$$
  
=  $\|E(W^+(\vartheta) \setminus S_0^+(\vartheta))\xi\|^2 + \|E(W^-(\vartheta) \setminus S_0^-(\vartheta))\xi\|^2.$ 

Then

$$W^{\pm}(\vartheta) \setminus S_{0}^{\pm}(\vartheta) = \left(S_{1}^{\pm}(\vartheta) \cap S_{0}^{\pm}(2\vartheta)\right) \setminus S_{0}^{\pm}(\vartheta)$$
$$= S_{1}^{\pm}(\vartheta) \cap \left(S_{0}^{\pm}(2\vartheta) \setminus S_{0}^{\pm}(\vartheta)\right)$$

where the sign is either everywhere + or everywhere - and

$$S_0^{\pm}(2\vartheta) \setminus S_0^{\pm}(\vartheta) = g_0\left(\frac{3\vartheta}{2}\right) \cdot S_0^{\pm}\left(\frac{\vartheta}{2}\right) \cup g_0\left(-\frac{3\vartheta}{2}\right) \cdot S_0^{\pm}\left(\frac{\vartheta}{2}\right)$$

and the union is again disjoint.

We have

$$S_{0}^{+}(\vartheta) = \left(W^{+}(\vartheta) \cap S_{0}^{+}(\vartheta)\right)$$
$$\cup g_{0}\left(\pi + 2\vartheta\right) \cdot \left(S_{1}^{-}(\vartheta) \cap g_{0}\left(-\frac{3\vartheta}{2}\right) \cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)$$
$$\cup g_{0}\left(\pi - 2\vartheta\right) \cdot \left(S_{1}^{-}(\vartheta) \cap g_{0}\left(\frac{3\vartheta}{2}\right) \cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)$$

where the union is again disjoint. The validity of this equality for  $S_0^+(\vartheta)$  can be deduced from the following equalities for the three sets. It can be shown that

$$W^{+}(\vartheta) \cap S_{0}^{+}(\vartheta) = \left\{ \begin{pmatrix} x \\ x+y \\ y\tan\beta \end{pmatrix} : x > 0, y > 0, -\vartheta < \beta \le \vartheta \right\}$$
$$\cup \left\{ \begin{pmatrix} x \\ y \\ y\tan\beta \end{pmatrix} : x \le 0, y > 0, -\vartheta < \beta \le \vartheta \right\},$$

$$g_0 \left( \pi + 2\vartheta \right) \cdot \left( S_1^- \left( \vartheta \right) \cap g_0 \left( -\frac{3\vartheta}{2} \right) \cdot S_0^- \left( \frac{\vartheta}{2} \right) \right)$$
$$= \left\{ \left( \begin{array}{c} x \\ hx + y \\ y \tan \vartheta \end{array} \right) : x > 0, 0 \le h < 1, y > 0 \right\}$$

and

$$g_0 \left( \pi - 2\vartheta \right) \cdot \left( S_1^- \left( \vartheta \right) \cap g_0 \left( \frac{3\vartheta}{2} \right) \cdot S_0^- \left( \frac{\vartheta}{2} \right) \right)$$
$$= \left\{ \left( \begin{array}{c} x \\ hx + y \\ -y \tan \vartheta \end{array} \right) : x > 0, 0 < h \le 1, y > 0 \right\}.$$

An analogous statement holds for  $S_0^-(\vartheta)$ .

Now  $W(\vartheta)$  will be decomposed accordingly and put together again from rotated pieces to  $S_0(\vartheta)$ . With the above

$$\begin{split} \|E\left(W\left(\vartheta\right)\right)\xi\|^{2} &= \|E\left(W^{+}\left(\vartheta\right)\cap S_{0}^{+}\left(\vartheta\right)\right)\xi\|^{2} \\ &+ \left\|E\left(W^{+}\left(\vartheta\right)\cap g_{0}\left(\frac{3\vartheta}{2}\right)\cdot S_{0}^{+}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \\ &+ \left\|E\left(W^{+}\left(\vartheta\right)\cap g_{0}\left(-\frac{3\vartheta}{2}\right)\cdot S_{0}^{+}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \\ &+ \left\|E\left(W^{-}\left(\vartheta\right)\cap S_{0}^{-}\left(\vartheta\right)\right)\xi\right\|^{2} \\ &+ \left\|E\left(W^{-}\left(\vartheta\right)\cap g_{0}\left(\frac{3\vartheta}{2}\right)\cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \\ &+ \left\|E\left(W^{-}\left(\vartheta\right)\cap g_{0}\left(-\frac{3\vartheta}{2}\right)\cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \end{split}$$

and by the K-invariance

$$\begin{aligned} \left\| E\left(W^{+}\left(\vartheta\right)\cap g_{0}\left(\frac{3\vartheta}{2}\right)\cdot S_{0}^{+}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \\ &= \left\| E\left(g_{0}\left(\pi-2\vartheta\right)\cdot\left(S_{1}^{+}\left(\vartheta\right)\cap g_{0}\left(\frac{3\vartheta}{2}\right)\cdot S_{0}^{+}\left(\frac{\vartheta}{2}\right)\right)\right)\xi\right\|^{2} \\ &= \left\| E\left(g_{0}\left(\pi-2\vartheta\right)\cdot S_{1}^{+}\left(\vartheta\right)\cap g_{0}\left(-\frac{\vartheta}{2}\right)\cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \end{aligned}$$

and analogously

$$\begin{aligned} \left\| E\left(W^{+}\left(\vartheta\right)\cap g_{0}\left(-\frac{3\vartheta}{2}\right)\cdot S_{0}^{+}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \\ &= \left\| E\left(g_{0}\left(\pi+2\vartheta\right)\cdot S_{1}^{+}\left(\vartheta\right)\cap g_{0}\left(\frac{\vartheta}{2}\right)\cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2}, \\ &\left\| E\left(W^{-}\left(\vartheta\right)\cap g_{0}\left(\frac{3\vartheta}{2}\right)\cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \\ &= \left\| E\left(g_{0}\left(\pi-2\vartheta\right)\cdot S_{1}^{-}\left(\vartheta\right)\cap g_{0}\left(-\frac{\vartheta}{2}\right)\cdot S_{0}^{+}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2}, \\ &\left\| E\left(W^{-}\left(\vartheta\right)\cap g_{0}\left(-\frac{3\vartheta}{2}\right)\cdot S_{0}^{-}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2} \\ &= \left\| E\left(g_{0}\left(\pi+2\vartheta\right)\cdot S_{1}^{-}\left(\vartheta\right)\cap g_{0}\left(\frac{\vartheta}{2}\right)\cdot S_{0}^{+}\left(\frac{\vartheta}{2}\right)\right)\xi\right\|^{2}. \end{aligned}$$

This yields

$$\begin{split} \|E\left(W\left(\vartheta\right)\right)\xi\|^{2} &= \|E\left(S_{0}^{+}\left(\vartheta\right)\right)\xi\|^{2} + \|E\left(S_{0}^{-}\left(\vartheta\right)\right)\xi\|^{2} \\ &= 2\|E\left(S_{0}^{+}\left(\vartheta\right)\right)\xi\|^{2} = \frac{2\vartheta}{\pi}. \end{split}$$

A more detailed proof can be found in [11, page 59–68].

# B Proof of Proposition 3.2

It is enough to prove that

$$W^{\pm}(\vartheta) \supseteq g_1(-t) \cdot W^{\pm} \left(\arctan\left(e^t \tan \vartheta\right)\right)$$

where either both signs are + or both -. Therefore it has to be shown that

$$S_{1}^{\pm}(\vartheta) \cap S_{0}^{\pm}(2\vartheta) \supseteq S_{1}^{\pm}(\vartheta) \cap S_{-\tanh t}^{\pm}\left(\arctan\left(\cosh t \tan\left(2\arctan\left(e^{t} \tan \vartheta\right)\right)\right)\right).$$

So let

$$\begin{pmatrix} x\\ x+y\\ y\tan\beta \end{pmatrix} \in S_1^+(\vartheta) \cap S_{-\tanh t}^+\left(\arctan\left(\cosh t \tan\left(2\arctan\left(e^t \tan\vartheta\right)\right)\right)\right)$$

with  $x \in \mathbf{R}$ , y > 0 and  $-\vartheta < \beta \le \vartheta$ . Then there is z > 0 and  $\alpha$  with

$$-\arctan\left(\cosh t \tan\left(2\arctan\left(e^{t} \tan\vartheta\right)\right)\right)$$
  
< 
$$\alpha \leq \arctan\left(\cosh t \tan\left(2\arctan\left(e^{t} \tan\vartheta\right)\right)\right)$$

such that  $x + y = -x \tanh t + z$  and  $y \tan \beta = z \tan \alpha$ . If  $x \ge 0$ , then x + y > 0, since y > 0. Hence  $0 < \frac{y \tan \beta}{x+y} \le \tan \beta \le \tan \vartheta$  for  $0 < \beta \le \vartheta$  and  $0 \ge \frac{y \tan \beta}{x+y} \ge \tan \beta > -\tan \vartheta$  for  $-\vartheta < \beta \le 0$ . So  $\begin{pmatrix} x \\ x+y \\ y \tan \beta \end{pmatrix} \in S_0^+(2\vartheta)$ . If x < 0, then  $x + y = -x \tanh t + z > 0$ . If  $0 < \beta \le \vartheta$  and  $y \ge -2x (\cos \vartheta)^2$ , then

$$0 < \frac{y \tan \beta}{x + y} = \left(1 + \frac{-x}{x + y}\right) \tan \beta$$
  
$$\leq \left(1 + \frac{1}{-1 + 2(\cos \vartheta)^2}\right) \tan \beta = \frac{2(\cos \vartheta)^2}{\cos(2\vartheta)} \tan \beta$$
  
$$\leq \frac{2(\cos \vartheta)^2}{\cos(2\vartheta)} \tan \vartheta = \tan(2\vartheta).$$

If  $-\vartheta < \beta \leq 0$ , holds analogously

$$0 \geq \frac{y \tan \beta}{x+y} = \left(1 + \frac{-x}{x+y}\right) \tan \beta$$
  

$$\geq \left(1 + \frac{1}{-1+2(\cos \vartheta)^2}\right) \tan \beta = \frac{2(\cos \vartheta)^2}{\cos(2\vartheta)} \tan \beta$$
  

$$> -\frac{2(\cos \vartheta)^2}{\cos(2\vartheta)} \tan \vartheta = -\tan(2\vartheta).$$

For 
$$z \leq -x \frac{\tanh t}{\cosh t \tan(2 \arctan(e^t \tan \vartheta)) - \tan(2\vartheta)} \tan(2\vartheta)$$
 and

$$0 < \alpha \le \arctan\left(\cosh t \tan\left(2 \arctan\left(e^t \tan\vartheta\right)\right)\right)$$

holds

$$0 < \frac{z \tan \alpha}{-x \tanh t + z} = \left(1 - \frac{-x \tanh t}{-x \tanh t + z}\right) \tan \alpha$$
  
$$\leq \left(1 - \frac{1}{1 + \frac{1}{\cosh t \tan(2 \arctan(e^t \tan \vartheta)) - \tan(2\vartheta)}} \tan(2\vartheta)\right) \tan \alpha$$
  
$$= \frac{\tan(2\vartheta)}{\cosh t \tan(2 \arctan(e^t \tan \vartheta))} \tan \alpha \leq \tan(2\vartheta).$$

For  $-\arctan\left(\cosh t \tan\left(2\arctan\left(e^t \tan \vartheta\right)\right)\right) < \alpha \leq 0$  analogously

$$0 \geq \frac{z \tan \alpha}{-x \tanh t + z} = \left(1 - \frac{-x \tanh t}{-x \tanh t + z}\right) \tan \alpha$$
  
$$\geq \left(1 - \frac{1}{1 + \frac{1}{\cosh t \tan(2 \arctan(e^t \tan \vartheta)) - \tan(2\vartheta)}} \tan(2\vartheta)\right) \tan \alpha$$
  
$$= \frac{\tan(2\vartheta)}{\cosh t \tan(2 \arctan(e^t \tan \vartheta))} \tan \alpha > -\tan(2\vartheta).$$

But,

$$(\cosh t) \tan \left(2 \arctan \left(e^{t} \tan \vartheta\right)\right) - \tan \left(2\vartheta\right)$$

$$= (\cosh t) \frac{2e^{t} \tan \vartheta}{1 - (e^{t} \tan \vartheta)^{2}} - \tan \left(2\vartheta\right)$$

$$= (\cosh t) \frac{e^{t} \tan \left(2\vartheta\right)}{1 - (e^{t} \tan \vartheta)^{2}} \left(1 - (\tan \vartheta)^{2}\right) - \tan \left(2\vartheta\right)$$

$$= \left((\cosh t) \frac{e^{t}}{1 - (e^{t} \tan \vartheta)^{2}} \left(1 - (\tan \vartheta)^{2}\right) - 1\right) \tan \left(2\vartheta\right)$$

and hence

$$= \frac{\tanh t}{\cosh t \tan \left(2 \arctan \left(e^{t} \tan \vartheta\right)\right) - \tan \left(2\vartheta\right)} \tan \left(2\vartheta\right)$$

$$= \frac{\tanh t}{\left(\cosh t\right) \frac{e^{t}}{1 - \left(e^{t} \tan \vartheta\right)^{2}} \left(1 - \left(\tan \vartheta\right)^{2}\right) - 1}{\left(1 - \left(e^{t} \tan \vartheta\right)^{2}\right) \tanh t}$$

$$= \frac{\left(1 - \left(e^{t} \tan \vartheta\right)^{2}\right) \tanh t}{\left(\cosh t\right) e^{t} \left(1 - \left(\tan \vartheta\right)^{2}\right) - 1 + \left(e^{t} \tan \vartheta\right)^{2}}.$$

Now

$$(\cosh t) e^{t} \left(1 - (\tan \vartheta)^{2}\right) - 1 + \left(e^{t} \tan \vartheta\right)^{2}$$
$$= (\cosh t) e^{t} - 1 + e^{t} (\tan \vartheta)^{2} \left(-(\cosh t) + e^{t}\right)$$
$$= \frac{e^{2t} - 1}{2} + e^{t} (\tan \vartheta)^{2} \frac{e^{t} - e^{-t}}{2}$$
$$= e^{t} \sinh t + e^{t} (\tan \vartheta)^{2} \sinh t = e^{t} \frac{\sinh t}{\cos^{2} \vartheta}.$$

This implies

$$= \frac{\tanh t}{\cosh t \tan \left(2 \arctan \left(e^t \tan \vartheta\right)\right) - \tan \left(2\vartheta\right)} \tan \left(2\vartheta\right)$$
$$= \frac{\left(1 - \left(e^t \tan \vartheta\right)^2\right) \tanh t}{e^t \frac{\sinh t}{\cos^2 \vartheta}} = \frac{\left(1 - \left(e^t \tan \vartheta\right)^2\right) \cos^2 \vartheta}{e^t \cosh t}$$
$$= \frac{e^{-t} \cos^2 \vartheta - e^t \sin^2 \vartheta}{\cosh t}.$$

Since  $y \ge -2x(\cos \vartheta)^2$ , one has  $x + y \ge -x(-1 + 2(\cos \vartheta)^2) = -x\cos(2\vartheta)$  and

$$z \leq -x \frac{\tanh t}{\cosh t \tan \left(2 \arctan \left(e^t \tan \vartheta\right)\right) - \tan \left(2\vartheta\right)} \tan \left(2\vartheta\right)$$
$$= -x \frac{e^{-t} \cos^2 \vartheta - e^t \sin^2 \vartheta}{\cosh t},$$

$$-x \tanh t + z \le -x \left( \tanh t + \frac{e^{-t} \cos^2 \vartheta - e^t \sin^2 \vartheta}{\cosh t} \right).$$

 $\mathbf{SO}$ 

Also

$$\begin{aligned} \tanh t + \frac{e^{-t}\cos^2\vartheta - e^t\sin^2\vartheta}{\cosh t} \\ &= \frac{\sinh t + e^{-t}\cos^2\vartheta - e^t\sin^2\vartheta}{\cosh t} \\ &= \frac{(1 - 2\cos^2\vartheta)\sinh t + e^t\cos^2\vartheta - e^t\sin^2\vartheta}{\cosh t} \\ &= \frac{-\cos\left(2\vartheta\right)\sinh t + e^t\cos\left(2\vartheta\right)}{\cosh t} = \frac{\cos\left(2\vartheta\right)\left(-\sinh t + e^t\right)}{\cosh t} \\ &= \cos\left(2\vartheta\right). \end{aligned}$$

So  $-x \tanh t + z \leq -x \cos(2\vartheta)$ . Hence  $\begin{pmatrix} x \\ x+y \\ y \tan \beta \end{pmatrix} = \begin{pmatrix} x \\ -x \tanh t + z \\ z \tan \alpha \end{pmatrix} \in S_0^+(2\vartheta).$ 

The inclusion  $g_1(t) \cdot W^-(\vartheta) \supseteq W^-(\arctan(e^t \tan \vartheta))$  holds analogously. Therefore  $g_1(t) \cdot W(\vartheta) \supseteq W(\arctan(e^t \tan \vartheta))$ .

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