

# Solutions of diophantine equations as periodic points of $p$ -adic algebraic functions, III

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ABSTRACT. All the periodic points of a certain algebraic function related to the Rogers-Ramanujan continued fraction  $r(\tau)$  are determined. They turn out to be  $0$ ,  $\frac{-1 \pm \sqrt{5}}{2}$ , and the conjugates over  $\mathbb{Q}$  of the values  $r(w_d/5)$ , where  $w_d$  is one of a specific set of algebraic integers, divisible by the square of a prime divisor of 5, in the field  $K_d = \mathbb{Q}(\sqrt{-d})$ , as  $-d$  ranges over all negative quadratic discriminants for which  $\left(\frac{-d}{5}\right) = +1$ . This yields a new class number formula for orders in the fields  $K_d$ . Conjecture 1 of Part I is proved for the prime  $p = 5$ , showing that the ring class fields over fields of type  $K_d$  whose conductors are relatively prime to 5 coincide with the fields generated over  $\mathbb{Q}$  by the periodic points (excluding -1) of a fixed 5-adic algebraic function.

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## 1. Introduction

In Part I a periodic point of an algebraic function  $w = \mathbf{g}(z)$ , with minimal polynomial  $g(z, w)$  over  $F(z)$ ,  $F$  a given field (often algebraically closed), was defined to be an element  $a$  of  $F$ , for which numbers  $a_i \in F$  exist satisfying the simultaneous equations

$$g(a, a_1) = g(a_1, a_2) = \cdots = g(a_{n-1}, a) = 0,$$

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for some  $n \geq 1$ . The numbers  $a_i = \mathfrak{g}(a_{i-1})$  in this definition are to be thought of as suitable values of the multi-valued function  $\mathfrak{g}(z)$ , determined by possibly different branches of  $\mathfrak{g}(z)$  (when considered over  $F = \mathbb{C}$ ). Note that if the coefficients of  $g(x, y)$  lie in a subfield  $k$  of  $F$ , over which  $F$  is algebraic, then the set of periodic points of  $\mathfrak{g}(z)$  in  $F$  is invariant under the action of  $\text{Gal}(F/k)$ . In this part the main focus will be on the multi-valued function  $\mathfrak{g}(z)$ , whose minimal polynomial is the polynomial

$$g(x, y) = (y^4 + 2y^3 + 4y^2 + 3y + 1)x^5 - y(y^4 - 3y^3 + 4y^2 - 2y + 1)$$

considered in Part II, related to the Rogers-Ramanujan continued fraction  $r(\tau)$  (in the notation of [7]). Recall that the function  $r(\tau)$  satisfies the modular equation

$$g(r(\tau), r(5\tau)) = 0, \quad \tau \in \mathbb{H},$$

where  $\mathbb{H}$  is the upper half-plane. (See [1], [2], [7].)

I will show, that when transported to the  $\mathfrak{p}$ -adic domain – specifically to  $\mathbb{K}_5(\sqrt{5})$ , where  $\mathbb{K}_5$  is the maximal unramified algebraic extension of the 5-adic field  $\mathbb{Q}_5$  – the “multi-valued-ness” disappears, in that the  $a_i = T_5^i(a) \in \mathbb{K}_5(\sqrt{5})$  become values of a *single-valued* algebraic function  $T_5(x)$ , defined on a suitable domain  $D_5 \subset \mathbb{K}_5(\sqrt{5})$ . Thus, 5-adically,  $a$  and its companions  $a_i$  are periodic points of  $T_5(x)$  in the usual sense. Setting  $\varepsilon = \frac{-1+\sqrt{5}}{2}$ , this single-valued algebraic function is given by the 5-adically convergent series

$$T_5(x) = x^5 + 5 + \sqrt{5} \sum_{k=2}^{\infty} a_k \left( \frac{5\sqrt{5}}{x^5 - \varepsilon^5} \right)^{k-1}, \quad a_k = \sum_{j=1}^4 \binom{j/5}{k}, \quad (1.1)$$

for  $x$  in the domain

$$D_5 = \{x \in \mathbb{K}_5(\sqrt{5}) : |x|_5 \leq 1 \wedge x \not\equiv 2 \pmod{\sqrt{5}}\}.$$

More precisely, half of the periodic points of  $\mathfrak{g}(z)$  lie in  $D_5$ ; namely, those which lie in the unramified extension  $\mathbb{K}_5$ . The other half are periodic points of the function  $T \circ T_5^{-1} \circ T$  and lie in  $T(D_5)$ , where

$$T(x) = \frac{-(1 + \sqrt{5})x + 2}{2x + 1 + \sqrt{5}}.$$

The function  $T_5(x)$  has the property that  $y = T_5(x)$  is the unique solution in  $\mathbb{K}_5(\sqrt{5})$  of the equation  $g(x, y) = 0$ , for any  $x \in \mathbb{K}_5(\sqrt{5})$  for which  $x \not\equiv 2 \pmod{\sqrt{5}}$ . Thus,  $T_5(x)$  is one of the values of  $\mathfrak{g}(x)$ , for  $x \in D_5$ .

In Part II [14] it was shown that the conjugates over  $\mathbb{Q}$  of the values  $\eta = r(w/5)$  of the Rogers-Ramanujan continued fraction are periodic points of the algebraic function  $\mathfrak{g}(z)$ , for specific elements  $w$  in the imaginary quadratic field  $K = \mathbb{Q}(\sqrt{-d})$ . In this part it will be shown that these values are, together with 0 and  $\frac{-1 \pm \sqrt{5}}{2}$ , the *only* periodic points of  $\mathfrak{g}(z)$ . Let  $d_K$  denote the discriminant of  $K = \mathbb{Q}(\sqrt{-d})$ , where  $\left(\frac{-d}{5}\right) = +1$ , and let  $\wp_5$  denote a prime divisor of  $(5) = \wp_5 \wp_5'$  in  $K$ . Recall that  $p_d(x)$  is the minimal

polynomial over  $\mathbb{Q}$  of the value  $r(w_d/5)$ , where  $w_d$  is given by equation (2) below.

**Theorem 1.1.** (a) *The set of periodic points in  $\overline{\mathbb{Q}}$  (or  $\overline{\mathbb{Q}}_5$  or  $\mathbb{C}$ ) of the multi-valued algebraic function  $\mathbf{g}(z)$  defined by the equation  $g(z, \mathbf{g}(z)) = 0$  consists of  $0, \frac{-1 \pm \sqrt{5}}{2}$ , and the roots of the polynomials  $p_d(x)$ , for negative quadratic discriminants  $-d = d_K f^2$  satisfying  $(\frac{-d}{5}) = +1$ .*

(b) *Over  $\mathbb{C}$  the latter values coincide with the values  $\eta = r(w_d/5)$  and their conjugates over  $\mathbb{Q}$ , where  $r(\tau)$  is the Rogers-Ramanujan continued fraction and the argument  $w_d \in K = \mathbb{Q}(\sqrt{-d})$  satisfies*

$$w_d = \frac{v + \sqrt{-d}}{2} \in R_K, \quad \wp_5^2 \mid w_d, \quad \text{and} \quad (N(w_d), f) = 1. \tag{1.2}$$

(c) *Over  $\overline{\mathbb{Q}}_5$ , all the periodic points of  $\mathbf{g}(z)$  lie in  $K_5(\sqrt{5})$ . Moreover, the periodic points of  $\mathbf{g}(z)$  in  $K_5$  are periodic points in  $D_5$  of the single-valued 5-adic function  $T_5(x)$ .*

From this theorem and the results of Part II we can assert the following. Let  $F_d$  denote the abelian extension  $F_d = \Sigma_5 \Omega_f$  ( $d \neq 4f^2$ ) or  $F_d = \Sigma_5 \Omega_{5f}$  ( $d = 4f^2 > 4$ ) of  $K = \mathbb{Q}(\sqrt{-d})$ , where  $\Sigma_5$  is the ray class field of conductor  $\mathfrak{f} = (5)$  over  $K$  and  $\Omega_f$  is the ring class field of conductor  $f$  over  $K$ . Since  $(f, 5) = 1$  and  $\Omega_{5f} = \Omega_5 \Omega_f$  when  $d \neq 4f^2$  (see [9, Satz 3]), then  $F_d = \Sigma_5 \Omega_{5f}$  in either case. Furthermore,  $F_d$  coincides with what Cox [4] calls the extended ring class field  $L_{\mathcal{O},5}$  for the order  $\mathcal{O} = R_{-d}$  of discriminant  $-d$  in  $K$ . Cox refers to Cho [3], who denotes this field by  $K_{(5),\mathcal{O}}$ , but these fields are already discussed in Söhngen [20, see p. 318], who shows they are generated by division values of the  $\tau$ -function, together with suitable values of the  $j$ -function. See also Steinhagen [21] and the monograph of Schertz [19, p. 108].

**Theorem 1.2.** *Let  $K = \mathbb{Q}(\sqrt{-d})$ , with  $(\frac{-d}{5}) = +1$  and  $-d = d_K f^2$ , as above. If  $\mathcal{O} = R_{-d}$  is the order of discriminant  $-d$  in  $K$ , the extended ring class field  $F_d = \Sigma_5 \Omega_{5f}$  over  $K$  is generated over  $\mathbb{Q}$  by a periodic point  $\eta = r(w_d/5)$  of the function  $\mathbf{g}(z)$  ( $w_d$  is as in (1.2)), together with a primitive 5-th root of unity  $\zeta_5$ :*

$$F_d = \Sigma_5 \Omega_{5f} = \mathbb{Q}(\eta, \zeta_5). \tag{1.3}$$

*Conversely, if  $\eta \neq 0, \frac{-1 \pm \sqrt{5}}{2}$  is any periodic point of  $\mathbf{g}(z)$ , then for some  $-d = d_K f^2$  for which  $(\frac{-d}{5}) = +1$ , the field  $\mathbb{Q}(\eta, \zeta_5) = F_d$ . Furthermore, the field  $\mathbb{Q}(\eta)$  generated by  $\eta$  alone is the inertia field for the prime divisor  $\wp_5$  or for its conjugate  $\wp_5'$  in the field  $F_d$ .*

This theorem provides explicit examples of Satz 22 in Hasse's *Zahlbericht* [8], according to which any abelian extension of  $K$  is obtained from  $\Sigma = \Omega_f(\zeta_n)$ , for some integer  $f \geq 1$  and some  $n$ -th root of unity  $\zeta_n$ , by adjoining square-roots of elements of  $\Sigma$ . This holds because  $\eta = r(w_d/5)$  satisfies a quadratic equation over  $\Omega_f(\zeta_5)$ . See [14, Prop. 4.3, Cor. 4.7, Thm. 4.8].

Here the method of Part I [13] and [16], which yielded an interpretation and alternate derivation of special cases of a class number formula of Deuring, leads to the following *new* class number formula.

**Theorem 1.3.** *Let  $\mathfrak{D}_{n,5}$  be the set of discriminants  $-d = d_K f^2 \equiv \pm 1 \pmod{5}$  of orders in imaginary quadratic fields  $K = \mathbb{Q}(\sqrt{-d})$  for which the automorphism  $\tau_5 = \left(\frac{F_{d,5}/K}{\wp_5}\right)$  has order  $n$  in the Galois group  $\text{Gal}(F_{d,5}/K)$ , where  $F_{d,5}$  is the inertia field for  $\wp_5$  in the abelian extension  $F_d/K$ . If  $h(-d)$  is the class number of the order  $R_{-d} \subset K$ , then for  $n > 1$ ,*

$$\sum_{-d \in \mathfrak{D}_{n,5}} h(-d) = \frac{1}{2} \sum_{k|n} \mu(n/k) 5^k. \quad (1.4)$$

Based on this theorem and numerical calculations, I make the following

**Conjecture 1.** *Let  $q > 5$  be a prime number. Let  $L_{\mathcal{O},q} = L_{R_{-d},q}$  be the extended ring class field over  $K = K_d = \mathbb{Q}(\sqrt{-d})$  for the order  $\mathcal{O} = R_{-d}$  of discriminant  $-d = d_K f^2$  in  $K$ , and let  $h(-d)$  denote the class number of the order  $\mathcal{O}$ . Also, let  $F_{d,q}$  be the inertia field for the prime divisor  $\wp_q$  (dividing  $q$  in  $K_d$ ) in the abelian extension  $L_{\mathcal{O},q}$  of  $K_d$ . Then the following class number formula holds:*

$$\sum_{-d \in \mathfrak{D}_{n,q}} h(-d) = \frac{2}{q-1} \sum_{k|n} \mu(n/k) q^k, \quad n > 1,$$

where  $\mathfrak{D}_{n,q}$  is the set of discriminants  $-d = d_K f^2$  for which  $\left(\frac{-d}{q}\right) = +1$  and the Frobenius automorphism  $\tau_q = \left(\frac{F_{d,q}/K_d}{\wp_q}\right)$  has order  $n$ .

As was shown in [14] for the prime  $q = 5$ , the extension  $L_{R_{-d},q}$  is equal to  $\Sigma_q \Omega_f / K$ , if  $d \neq 3f^2$  or  $4f^2$ ; and is equal to  $\Sigma_q \Omega_{qf} / K$ , if  $q \equiv 1 \pmod{4}$  and  $d = 4f^2$ ; or  $q \equiv 1 \pmod{3}$  and  $d = 3f^2$ . The field  $F_{d,q}$  has degree  $(q-1)/2$  and is cyclic over the ring class field  $\Omega_f$  of conductor  $f$  over  $K$ .

One naturally expects that this conjecture describes an aspect of a much more general phenomenon. For example, one could consider families of quadratic fields  $K = \mathbb{Q}(\sqrt{-d})$  for which the prime divisors  $q$  of a given fixed integer  $Q$  all split in  $K$ . These are the  $Q$ -admissible quadratic fields. Analogous formulas should hold for certain sets of class fields over the family of (imaginary?) abelian extensions of a fixed degree over  $\mathbb{Q}$ , whose Galois groups belong to a fixed isomorphism type, and in which a given rational prime  $q$  splits.

In Section 6 I show that a similar situation exists for the algebraic function  $w = f(z)$  whose minimal polynomial over  $\overline{\mathbb{Q}}(z)$  is  $h(z, w)$ , where

$$\begin{aligned}
 h(z, w) = & w^5 - (6 + 5z + 5z^3 + z^5)w^4 + (21 + 5z + 5z^3 + z^5)w^3 \\
 & - (56 + 30z + 30z^3 + 6z^5)w^2 + (71 + 30z + 30z^3 + 6z^5)w \\
 & - 120 - 55z - 55z^3 - 11z^5.
 \end{aligned}$$

I showed in Part II (Theorem 5.4) that any ring class field  $\Omega_f$  over the imaginary quadratic field  $K$ , whose conductor is relatively prime to 5, is generated over  $K$  by a periodic point  $v$  of  $f(z)$ , which satisfies  $v = \eta - \frac{1}{\eta}$ , for a certain periodic point  $\eta$  of  $g(z)$ . In Theorem 6.2 of this paper I show that *any* periodic point  $v \neq -1$  of  $f(z)$  is related to a periodic point of  $g(z)$  by  $v = \eta - \frac{1}{\eta} = \phi(\eta)$ , and that the 5-adic function

$$T_5(x) = \phi \circ T_5 \circ \phi^{-1}(x), \quad x \in \tilde{D}_5 = \phi(D_5 \cap \{z \in K_5 : |z|_5 = 1\}),$$

plays the same role for  $f(z)$  that  $T_5(x)$  plays for  $g(z)$ . In particular, Theorems 6.2 and 6.3 show that Conjecture 1 of Part I is true for the prime  $p = 5$ . This leads to a proof of Deuring’s formula for the prime 5 in Theorem 6.5 and its corollary, analogous to the proof given in Part I and in [16] for the prime 2 and in [12] for the prime 3.

## 2. Iterated resultants

Set

$$g(X, Y) = (Y^4 + 2Y^3 + 4Y^2 + 3Y + 1)X^5 - Y(Y^4 - 3Y^3 + 4Y^2 - 2Y + 1). \quad (2.1)$$

In Part II [14] it was shown that  $(X, Y) = (\eta, \eta^{\tau_5})$ , with  $\eta = r(w_d/5)$  and  $w_d$  given by (1.2), is a point on the curve  $g(X, Y) = 0$ . Here  $\tau_5 = \left(\frac{\mathbb{Q}(\eta)/K}{\wp_5}\right)$  is the Frobenius automorphism for the prime divisor  $\wp_5$  of  $K = \mathbb{Q}(\sqrt{-d})$ . This fact implies that  $r(w_d/5)$  and its conjugates over  $\mathbb{Q}$  are periodic points of the function  $g(z)$  defined by  $g(z, g(z)) = 0$ . (See Part II, Theorem 5.3.) In this section and Sections 3-4 it will be shown that these values, together with the fixed points  $0, \frac{-1 \pm \sqrt{5}}{2}$ , represent *all* the periodic points of the algebraic function  $g(z)$ . To do this we begin by considering a sequence of iterated resultants defined using the polynomial  $g(x, y)$ , as in Part I, Section 3.

We start by defining  $R^{(1)}(x, x_1) := g(x, x_1)$ , and note that

$$R^{(1)}(x, x_1) \equiv (x_1 + 3)^4(x^5 - x_1) \pmod{5}.$$

Then we define the polynomial  $R^{(n)}(x, x_n)$  inductively by

$$R^{(n)}(x, x_n) := \text{Resultant}_{x_{n-1}}(R^{(n-1)}(x, x_{n-1}), g(x_{n-1}, x_n)), \quad n \geq 2.$$

It is easily seen using induction that

$$R^{(n)}(x, x_n) \equiv (-1)^{n-1}(x_n + 3)^{5^n - 1}(x^{5^n} - x_n) \pmod{5},$$

so that the polynomial  $R_n(x) := R^{(n)}(x, x)$  satisfies

$$R_n(x) \equiv (-1)^{n-1}(x+3)^{5^n-1}(x^{5^n}-x) \pmod{5}, \quad n \geq 1. \quad (2.2)$$

The roots of  $R_n(x)$  are all the periodic points of the multi-valued function  $\mathbf{g}(z)$  in any algebraically closed field containing  $\mathbb{Q}$ , whose periods are divisors of the integer  $n$ . (See Part I, p. 727.)

From this we deduce, by a similar argument as in the Lemma of Part I (pp. 727-728), that

$$\deg(R_n(x)) = 2 \cdot 5^n - 1, \quad n \geq 1.$$

As in Part I, we define the expression  $P_n(x)$  by

$$P_n(x) = \prod_{k|n} R_k(x)^{\mu(n/k)}, \quad (2.3)$$

and show that  $P_n(x) \in \mathbb{Z}[x]$ . From (2.2) it is clear that  $R_n(x)$ , for  $n > 1$ , is divisible (mod 5) by the  $N$  irreducible (monic) polynomials  $f_i(x)$  of degree  $n$  over  $\mathbb{F}_5$ , where

$$N = \frac{1}{n} \sum_{k|n} \mu(n/k) 5^k,$$

and that these polynomials are simple factors of  $R_n(x) \pmod{5}$ . It follows from Hensel's Lemma that  $R_n(x)$  is divisible by distinct irreducible polynomials  $f_i(x)$  of degree  $n$  over  $\mathbb{Z}_5$ , the ring of integers in  $\mathbb{Q}_5$ , for  $1 \leq i \leq N$ , with  $f_i(x) \equiv \bar{f}_i(x) \pmod{5}$ . In addition, all the roots of  $f_i(x)$  are periodic of minimal period  $n$  and lie in the unramified extension  $\mathbb{K}_5$ . Furthermore,  $n$  is the smallest index for which  $f_i(x) \mid R_n(x)$ .

Now we make use of the following identity for  $g(x, y)$ :

$$\left(x + \frac{1 + \sqrt{5}}{2}\right)^5 \left(y + \frac{1 + \sqrt{5}}{2}\right)^5 g(T(x), T(y)) = \left(\frac{5 + \sqrt{5}}{2}\right)^5 g(y, x),$$

where

$$T(x) = \frac{-(1 + \sqrt{5})x + 2}{2x + 1 + \sqrt{5}}.$$

We have

$$T(x) - 2 = - \left(\frac{5 + \sqrt{5}}{2}\right) \frac{2x - 1 + \sqrt{5}}{2x + 1 + \sqrt{5}}.$$

If the periodic point  $a$  of  $\mathbf{g}(z)$ , with minimal period  $n > 1$ , is a root of one of the polynomials  $f_i(x)$ , then  $a$  is a unit in  $\mathbb{K}_5$ , and for some  $a_1, \dots, a_{n-1}$  we have

$$g(a, a_1) = g(a_1, a_2) = \dots = g(a_{n-1}, a) = 0. \quad (2.4)$$

Furthermore  $a \not\equiv 2 \pmod{\sqrt{5}}$ , since otherwise  $a \equiv 2 \pmod{5}$  would have degree 1 over  $\mathbb{F}_5$  (using that  $\mathbb{K}_5$  is unramified over  $\mathbb{Q}_5$ ). Hence,  $2a + 1 + \sqrt{5}$  is a unit and  $b = T(a) \equiv 2 \pmod{\sqrt{5}}$ . All the  $a_i$  satisfy  $a_i \not\equiv 2 \pmod{\sqrt{5}}$ , as well, since the congruence  $g(2, y) \equiv 4(y+3)^5 \pmod{5}$  has only  $y \equiv 2$  as

a solution. Hence, if some  $a_i \equiv 2$ , then  $a_j \equiv 2$  for  $j > i$ , which would imply that  $a \equiv 2$ , as well. The elements  $b_i = T(a_i)$  are distinct and lie in  $K_5(\sqrt{5})$ , and the above identity implies that

$$g(b, b_{n-1}) = g(b_{n-1}, b_{n-2}) = \cdots = g(b_1, b) = 0 \tag{2.5}$$

in  $K_5(\sqrt{5})$ . Thus, all the  $b_i \equiv 2 \pmod{\sqrt{5}}$ , and the orbit  $\{b, b_{n-1}, \dots, b_1\}$  is distinct from all the orbits in (2.4). Now the map  $T(x)$  has order 2, so it is clear that  $b = T(a)$  has minimal period  $n$  in (2.5), since otherwise  $a = T(b)$  would have period smaller than  $n$ . It follows that there are at least  $2N$  periodic orbits of minimal period  $n > 1$ . Noting that

$$R_1(x) = g(x, x) = x(x^2 + 1)(x^2 + x - 1)(x^4 + x^3 + 3x^2 - x + 1),$$

these distinct orbits and factors account for at least

$$2 \cdot 5 - 1 + \sum_{d|n, d>1} (2 \sum_{k|d} \mu(d/k)5^k) = -1 + 2 \sum_{d|n} (\sum_{k|d} \mu(d/k)5^k) = 2 \cdot 5^n - 1$$

roots, and therefore all the roots, of  $R_n(x)$ . This shows that the roots of  $R_n(x)$  are distinct and the expressions  $P_n(x)$  are polynomials. Furthermore, over  $K_5(\sqrt{5})$  we have the factorization

$$P_n(x) = \pm \prod_{1 \leq i \leq N} f_i(x) \tilde{f}_i(x), \quad n > 1, \tag{2.6}$$

where  $\tilde{f}_i(x) = c_i(2x + 1 + \sqrt{5})^{\deg(f_i)} f_i(T(x))$ , and the constant  $c_i$  is chosen to make  $\tilde{f}_i(x)$  monic. Finally, the periodic points of  $\mathfrak{g}(z)$  of minimal period  $n$  are the roots of  $P_n(x)$  and

$$\deg(P_n(x)) = 2 \sum_{k|n} \mu(n/k)5^k, \quad n > 1. \tag{2.7}$$

This discussion proves the following.

**Theorem 2.1.** *All the periodic points of  $\mathfrak{g}(z)$  in  $\overline{\mathbb{Q}}_5$  lie in  $K_5(\sqrt{5})$ . The periodic points of minimal period  $n$  coincide with the roots of the polynomial  $P_n(x)$  defined by (2.3), and have degree  $n$  over  $\mathbb{Q}_5(\sqrt{5})$ . For  $n > 1$ , exactly half of the periodic points of  $\mathfrak{g}(z)$  of minimal period  $n$  lie in  $K_5$ .*

The last assertion in this theorem follows from the fact that  $T(x)$  is a linear fractional expression in the quantity  $\sqrt{5}$ :

$$T(x) = \frac{-x\sqrt{5} - x + 2}{\sqrt{5} + 2x + 1},$$

with determinant  $-2(x^2 + 1)$ . If it were the case that  $a \in K_5$  and  $T(a) \in K_5$ , for  $n > 1$ , then the last fact would imply that  $\sqrt{5} \in K_5$ , which is not the case. Therefore, for  $n > 1$ , the only roots of  $P_n(x)$  which lie in  $K_5$  are the roots of the factors  $f_i(x)$ , in the above notation. Furthermore, the factors  $f_i(x)$  are irreducible over  $\mathbb{Q}_5(\sqrt{5})$ , since this field is purely ramified over  $\mathbb{Q}_5$ , which implies that the factors  $\tilde{f}_i(x)$  are irreducible over  $\mathbb{Q}_5(\sqrt{5})$ , as well.

### 3. A 5-adic function

**Lemma 3.1.** *Any root  $\eta'$  of the polynomial  $p_d(x)$  which is conjugate to  $\eta = r(w_d/5)$  over  $K = \mathbb{Q}(\sqrt{-d})$  satisfies  $\eta' \not\equiv 2 \pmod{\mathfrak{p}}$ , for any prime divisor  $\mathfrak{p}$  of  $\wp_5$  in  $F_1 = \mathbb{Q}(\eta)$ .*

**Proof.** It suffices to prove this for  $\eta' = \eta$ . Assume  $\eta \equiv 2 \pmod{\mathfrak{p}}$ , where  $\mathfrak{p} \mid \wp_5$  in  $F_1$ . Then the element  $z = \eta^5 - \frac{1}{\eta^5}$  satisfies  $z \equiv 2^5 - 2^{-5} \equiv -1 \pmod{\mathfrak{p}}$ . Hence the proof of [14, Theorem 4.6] implies that  $d$  can only be one of the values  $d = 11, 16, 19$ . In these three cases  $h(-d) = 1$ , so  $\eta$  satisfies a quadratic polynomial over  $K = \mathbb{Q}(\sqrt{-d})$ . We have

$$\begin{aligned} p_{11}(x) &= x^4 - x^3 + x^2 + x + 1 \\ &= \left(x^2 + \frac{-1 + \sqrt{-11}}{2}x - 1\right) \left(x^2 + \frac{-1 - \sqrt{-11}}{2}x - 1\right); \\ p_{16}(x) &= x^4 - 2x^3 + 2x + 1 \\ &= (x^2 + (-1 - i)x - 1)(x^2 + (-1 + i)x - 1); \\ p_{19}(x) &= x^4 + x^3 + 3x^2 - x + 1 \\ &= \left(x^2 + \frac{1 + \sqrt{-19}}{2}x - 1\right) \left(x^2 + \frac{1 - \sqrt{-19}}{2}x - 1\right). \end{aligned}$$

In each case  $\eta = r(w_d/5)$ , where, respectively:

$$\begin{aligned} w_{11} &= \frac{33 + \sqrt{-11}}{2}, & N(w_{11}) &= 5^2 \cdot 11, \\ w_{16} &= 11 + 2i, & N(w_{16}) &= 5^3, \\ w_{19} &= \frac{41 + \sqrt{-19}}{2}, & N(w_{19}) &= 5^2 \cdot 17. \end{aligned}$$

Since  $F_1 = K(\eta)$  is unramified over  $\wp_5$  and ramified over  $\wp'_5$ , the minimal polynomial  $m_d(x)$  over  $K$  of  $\eta$  in each case is the first factor listed above. Since  $\wp_5^2 \mid w_d$ , we conclude that

$$\sqrt{-11} \equiv 2, \quad i \equiv 2, \quad \sqrt{-19} \equiv 4$$

modulo  $\wp_5$  in  $R_K$ . Then

$$m_{11}(x) \equiv x^2 + 3x + 4, \quad m_{16}(x) \equiv x^2 + 2x + 4, \quad m_{19}(x) \equiv (x + 1)(x + 4)$$

modulo  $\wp_5$ , where the first two polynomials are irreducible mod 5. It follows that  $\eta$  cannot be congruent to 2 modulo any prime divisor of  $\wp_5$ . In each case we also have  $m_d(x) \equiv (x + 3)^2 \pmod{\wp'_5}$ .  $\square$

Computing the partial derivative

$$\begin{aligned} \frac{\partial g(x, y)}{\partial y} &= (4y^3 + 6y^2 + 8y + 3)x^5 - 5y^4 + 12y^3 - 12y^2 + 4y - 1 \\ &\equiv 4(x + 3)^5(y + 3)^3 \pmod{5}, \end{aligned}$$



we see that the points  $(x, y) = (\eta, \eta^{75})$  on the curve  $g(x, y) = 0$  satisfy the condition

$$\frac{\partial g(x, y)}{\partial y} \Big|_{(x,y)=(\eta,\eta^{75})} \not\equiv 0 \pmod{\mathfrak{p}},$$

for any prime divisor  $\mathfrak{p}$  of  $\wp_5$ . Hence, the  $\mathfrak{p}$ -adic implicit function theorem implies that  $\eta^{75}$  can be written as a single-valued function of  $\eta$  in a suitable neighborhood of  $x = \eta$ . (See [18, p. 334].) We shall now derive an explicit expression for this single-valued function.

To do this, we consider  $g(X, Y) = 0$  as a quintic equation in  $Y$ . Using Watson’s method of solving a quintic equation from the paper [10] of Lavalley, Spearman and Williams, we find that the roots  $Y$  of  $g(X, Y) = 0$  are

$$\begin{aligned} Y = & \frac{Z + 3}{5} + \frac{\zeta}{10}(2Z + 11 + 5\sqrt{5})^{4/5}(2Z + 11 - 5\sqrt{5})^{1/5} \\ & + \frac{\zeta^2}{10}(2Z + 11 + 5\sqrt{5})^{3/5}(2Z + 11 - 5\sqrt{5})^{2/5} \\ & + \frac{\zeta^3}{10}(2Z + 11 + 5\sqrt{5})^{2/5}(2Z + 11 - 5\sqrt{5})^{3/5} \\ & + \frac{\zeta^4}{10}(2Z + 11 + 5\sqrt{5})^{1/5}(2Z + 11 - 5\sqrt{5})^{4/5}, \end{aligned}$$

where  $\zeta$  is any fifth root of unity and  $Z = X^5$ . This can also be written in the form

$$\begin{aligned} Y = & \frac{Z + 3}{5} + \frac{\zeta}{5}(Z - \bar{\varepsilon}^5)^{4/5}(Z - \varepsilon^5)^{1/5} + \frac{\zeta^2}{5}(Z - \bar{\varepsilon}^5)^{3/5}(Z - \varepsilon^5)^{2/5} \\ & + \frac{\zeta^3}{5}(Z - \bar{\varepsilon}^5)^{2/5}(Z - \varepsilon^5)^{3/5} + \frac{\zeta^4}{5}(Z - \bar{\varepsilon}^5)^{1/5}(Z - \varepsilon^5)^{4/5}, \\ = & \frac{Z + 3}{5} + \frac{1}{5}(Z - \varepsilon^5)(U^4 + U^3 + U^2 + U), \quad U = \zeta^{-1} \left( \frac{Z - \bar{\varepsilon}^5}{Z - \varepsilon^5} \right)^{1/5}. \end{aligned}$$

Now,  $\varepsilon^5 = \frac{-11+5\sqrt{5}}{2} \equiv \frac{-1}{2} \equiv 2 \pmod{5}$ , so for  $\zeta = 1$  and  $Z \not\equiv 2 \pmod{5}$ , the functions  $U^j$  can be expanded into a convergent series:

$$U^j = \left( \frac{Z - \bar{\varepsilon}^5}{Z - \varepsilon^5} \right)^{j/5} = \left( 1 + \frac{\varepsilon^5 - \bar{\varepsilon}^5}{Z - \varepsilon^5} \right)^{j/5} = \sum_{k=0}^{\infty} \binom{j/5}{k} \left( \frac{5\sqrt{5}}{Z - \varepsilon^5} \right)^k.$$

This series converges for all  $Z \not\equiv 2 \pmod{\sqrt{5}}$  in the field  $K_5(\sqrt{5})$ . The terms in this series tend to 0 in the 5-adic valuation, because

$$5^k \binom{j/5}{k} = \frac{j(j-5)(j-10) \cdots (j-5(k-1))}{k!}$$

and because the additive 5-adic valuation of  $k!$  satisfies

$$v_5(k!) = \frac{k - s_k}{4} \leq \frac{k}{4},$$

where  $s_k$  is the sum of the 5-adic digits of  $k$ . Thus, for all  $x \not\equiv 2 \pmod{\sqrt{5}}$  in  $\mathbb{K}_5(\sqrt{5})$  the expression

$$y = T_5(x) = \frac{x^5 + 3}{5} + \frac{1}{5}(x^5 - \varepsilon^5) \sum_{k=0}^{\infty} a_k \left( \frac{5\sqrt{5}}{x^5 - \varepsilon^5} \right)^k, \quad a_k = \sum_{j=1}^4 \binom{j}{k}, \quad (3.1)$$

represents a root of the equation  $g(x, y) = 0$  in the field  $\mathbb{K}_5(\sqrt{5})$ . This formula for  $T_5(x)$  simplifies to:

$$T_5(x) = x^5 + 5 + \sqrt{5} \sum_{k=2}^{\infty} a_k \left( \frac{5\sqrt{5}}{x^5 - \varepsilon^5} \right)^{k-1}. \quad (3.2)$$

Note that

$$T_5(x) \equiv x^5 \pmod{5}, \quad |x|_5 \leq 1. \quad (3.3)$$

This follows from the fact that 5 divides the individual terms

$$b_k = 5^k a_k (\sqrt{5})^{k-2}$$

(ignoring the unit denominators) in the series (3.2), for  $2 \leq k \leq 7$ , as can be checked by direct computation, and from the following estimate for  $v_5(b_k)$ , the normalized additive valuation of  $b_k$  in  $\mathbb{K}_5(\sqrt{5})$ :

$$v_5(5^k a_k (\sqrt{5})^{k-2}) \geq \frac{k}{2} - 1 - \frac{k}{4} = \frac{k}{4} - 1 \geq 1, \quad \text{for } k \geq 8.$$

It follows from this that the function  $T_5(x)$  can be iterated on the set

$$D_5 = \{x \in \mathbb{K}_5(\sqrt{5}) : |x|_5 \leq 1 \wedge x \not\equiv 2 \pmod{\sqrt{5}}\}. \quad (3.4)$$

I claim now that (3.1) (or (3.2)) gives the *only* root of  $g(x, y) = 0$  in the field  $\mathbb{K}_5(\sqrt{5})$ , for a fixed  $x \not\equiv 2 \pmod{\sqrt{5}}$ . From the above formulas, a second root of this equation must have the form

$$y_1 = \frac{x^5 + 3}{5} + \frac{1}{5}(x^5 - \varepsilon^5)(U^4 + U^3 + U^2 + U),$$

where

$$U = \zeta^{-1} \left( \frac{x^5 - \bar{\varepsilon}^5}{x^5 - \varepsilon^5} \right)^{1/5},$$

for some fifth root of unity  $\zeta \neq 1$ . But then

$$U^4 + U^3 + U^2 + U = \frac{U^5 - 1}{U - 1} - 1 \in \mathbb{K}_5(\sqrt{5}),$$

so  $U \in \mathbb{K}_5(\sqrt{5})$ ; and since  $\zeta U$  is also in  $\mathbb{K}_5(\sqrt{5})$ , it follows that  $\zeta \in \mathbb{K}_5(\sqrt{5})$ . This is impossible, since the ramification index of 5 in  $\mathbb{K}_5(\zeta)$  is  $e = 4$ , while the ramification index of 5 in  $\mathbb{K}_5(\sqrt{5})$  is only  $e = 2$ .

**Proposition 3.2.** *If  $x \in D_5$ , the subset of  $K_5(\sqrt{5})$  defined by (3.4), then the series*

$$y = T_5(x) = x^5 + 5 + \sqrt{5} \sum_{k=2}^{\infty} a_k \left( \frac{5\sqrt{5}}{x^5 - \varepsilon^5} \right)^{k-1}, \quad a_k = \sum_{j=1}^4 \binom{j}{k}, \quad (3.5)$$

*gives the unique solution of the equation  $g(x, y) = 0$  in the field  $K_5(\sqrt{5})$ . Moreover, the image  $T_5(x)$  also lies in  $D_5$ , so the map  $T_5$  can be iterated on this set.*

**Corollary 3.3.** *The function  $T_5(x)$  satisfies  $T_5(D_5 \cap K_5) \subseteq D_5 \cap K_5$ .*

**Proof.** Let  $\sigma$  denote the non-trivial automorphism of  $K_5(\sqrt{5})/K_5$ . If  $x \in D_5 \cap K_5$ , then  $g(x, T_5(x)) = 0$  and  $T_5(x) \in K_5(\sqrt{5})$  imply that  $g(x^\sigma, T_5(x)^\sigma) = g(x, T_5(x)^\sigma) = 0$ . The theorem gives that  $T_5(x)^\sigma = T_5(x)$ , implying that  $T_5(x) \in K_5$ .  $\square$

Now the completion  $(F_1)_{\mathfrak{p}}$  of the field  $F_1 = \mathbb{Q}(\eta)$  with respect to a prime divisor  $\mathfrak{p}$  of  $R_{F_1}$  dividing  $\wp_5$  is a subfield of  $K_5(\sqrt{5})$ . This is because  $F_1$  is unramified at the prime  $\mathfrak{p}$  and is abelian over  $K$ , so that  $(F_1)_{\mathfrak{p}}$  is unramified and abelian over  $K_{\wp_5} = \mathbb{Q}_5$ .

By Lemma 3.1, we can substitute  $x = \eta$  in (3.5), and since  $\eta^{\tau_5}$  is a solution of  $g(\eta, Y) = 0$  in  $K_5$ , we conclude that  $\eta^{\tau_5} = T_5(\eta)$ . Letting  $\zeta = 1$  and  $U = -u$  gives

$$\eta^{\tau_5} = \frac{\eta^5 + 3}{5} + \frac{1}{5}(\eta^5 - \varepsilon^5)(u^4 - u^3 + u^2 - u), \quad u = - \left( \frac{\eta^5 - \varepsilon^5}{\eta^5 - \varepsilon^5} \right)^{1/5} = \frac{1}{\varepsilon \xi} \in F;$$

which agrees with the result of [14, Theorem 3.3] (see the second line in the proof of that theorem). The automorphism  $\tau_5$  is canonically defined on the unramified extension  $\mathbb{Q}_5(\eta)$ ; defining  $\tau_5$  to be trivial on  $\mathbb{Q}_5(\sqrt{5})$ , we have that  $T_5(\eta^{\tau_5}) = T_5(\eta)^{\tau_5}$ , and hence that

$$\eta^{\tau_5^n} = T_5^n(\eta), \quad n \geq 1. \quad (3.6)$$

This also follows inductively from

$$g(\eta^{\tau_5^{n-1}}, \eta^{\tau_5^n}) = g(\eta^{\tau_5^{n-1}}, T_5(\eta^{\tau_5^{n-1}})) = g(\eta^{\tau_5^{n-1}}, T_5^n(\eta)) = 0.$$

Therefore,  $\eta = r(w/5)$  is a periodic point of  $T_5$  in  $D_5$ , and the minimal period of  $\eta$  with respect to  $T_5$  is equal to the order of the automorphism  $\tau_5 = \left( \frac{F_1/K}{\wp_5} \right)$ .

By Theorem 2.1, the periodic points of  $\mathfrak{g}(z)$  lie in  $K_5(\sqrt{5})$ . In particular, the minimal period of  $\eta = r(w_d/5)$  with respect to  $\mathfrak{g}(z)$  is the order  $n$  of the automorphism  $\tau_5$ . This is because any values  $\eta_i$ , for which

$$g(\eta, \eta_1) = g(\eta_1, \eta_2) = \dots = g(\eta_{m-1}, \eta) = 0,$$

must themselves be periodic points with  $\eta_i \not\equiv 2 \pmod{\sqrt{5}}$ . This implies that  $\eta_i \in D_5$ , and then  $\eta_i = T_5^i(\eta)$  follows from Proposition 3.2, so that  $m$  must

be a multiple of  $n$ . Hence,  $\eta = r(w_d/5)$  must be a root of the polynomial  $P_n(x)$ .

**Theorem 3.4.** *For any discriminant  $-d \equiv \pm 1 \pmod{5}$ , for which the automorphism  $\tau_5 = \left(\frac{F_1/K}{\wp_5}\right)$  has order  $n$ , the polynomial  $p_d(x)$  divides  $P_n(x)$ .*

#### 4. Identifying the factors of $P_n(x)$

We will now show that the polynomials  $p_d(x)$  in Theorem 3.4 are the only irreducible factors of  $P_n(x)$  over  $\mathbb{Q}$ . The argument is similar to the argument in [12, pp. 877-878], with added complexity due to the nontrivial nature of the points in  $E_5[5] - \langle(0, 0)\rangle$ , plus the necessity of dealing with the action of the icosahedral group in this case.

To motivate the calculation below, we prove the following lemma. As in Part II,  $F_1$  denotes the field  $F_1 = \mathbb{Q}(\eta)$ , where  $\eta = r(w_d/5)$ .

**Lemma 4.1.** *If  $w = w_d$  is defined as in (1.2), and  $\tau_5 = \left(\frac{F_1/K}{\wp_5}\right)$ , then for some 5-th root of unity  $\zeta^i$ , we have*

$$\eta^{\tau_5^{-1}} = r\left(\frac{w}{5}\right)^{\tau_5^{-1}} = \zeta^i r\left(\frac{w}{25}\right).$$

**Proof.** Define  $\tau_5$  on  $F_1(\sqrt{5}) = \mathbb{Q}(\eta, \sqrt{5})$  so that it fixes  $\sqrt{5}$ . This is possible since  $F_1$  and  $K(\sqrt{5})$  are disjoint, abelian extensions of  $K$ . (See the discussion in Sections 5.2 and 5.3 of [14], where  $\tau_5 = \sigma_1\phi|_{F_1}$  and both  $\sigma_1$  and  $\phi$  fix the field  $L = \mathbb{Q}(\zeta)$ .) Recall the linear fractional expression from Part II that was denoted

$$\tau(b) = \frac{-b + \varepsilon^5}{\varepsilon^5 b + 1}.$$

From  $\tau(\xi^5) = \eta^5$  and  $T(\eta^{\tau_5}) = \xi$  (Part II, Thms. 3.3 and 5.1) we then obtain

$$\eta^{5\tau_5^{-1}} = \tau(\xi^5)^{\tau_5^{-1}} = \tau\left((\xi^{\tau_5^{-1}})^5\right) = \tau(T(\eta)^5) = \mathfrak{r}(\eta),$$

where

$$\mathfrak{r}(z) = z \frac{z^4 - 3z^3 + 4z^2 - 2z + 1}{z^4 + 2z^3 + 4z^2 + 3z + 1},$$

as in the Introduction to Part II. On the other hand,

$$\mathfrak{r}(\eta) = \mathfrak{r}\left(r\left(\frac{w}{5}\right)\right) = r^5\left(\frac{w}{25}\right),$$

by Ramanujan’s modular equation. Thus,  $\eta^{5\tau_5^{-1}} = r^5(w/25)$ , and the assertion follows. □

By (3.3), we have  $f_i(T_5(x)) \equiv f_i(x^5) \pmod{5}$ , and since  $T_5(a)$  is an ”unramified” periodic point in  $D_5$  whenever  $a$  is, it follows that  $\sigma : x \rightarrow T_5(x)$  is a lift of the Frobenius automorphism on the roots of  $f_i(x)$ , for each  $i$  with

$1 \leq i \leq N$ . We may assume that  $\sigma$  fixes  $\sqrt{5}$ , since  $K_5$  and  $\mathbb{Q}_5(\sqrt{5})$  are linearly disjoint over  $\mathbb{Q}_5$ . In order to apply  $\sigma$  to all the maps occurring in the proof below, we also extend  $\sigma$  to the field  $K_5\left(\sqrt{\frac{-5+\sqrt{5}}{2}}\right)$ , so that it fixes elements of the field  $\mathbb{Q}_5\left(\sqrt{\frac{-5+\sqrt{5}}{2}}\right)$ ; this is a cyclic quartic and totally ramified extension of  $\mathbb{Q}_5$  (the minimal polynomial of the square-root being the Eisenstein polynomial  $x^4 + 5x^2 + 5$ ).

**Theorem 4.2.** *For  $n > 1$  the polynomial  $P_n(x)$  is a product of polynomials  $p_d(x)$ :*

$$P_n(x) = \pm \prod_{-d \in \mathfrak{D}_{n,5}} p_d(x), \tag{4.1}$$

where  $\mathfrak{D}_{n,5}$  is the set of discriminants  $-d = d_K f^2$  of imaginary quadratic orders  $R_{-d} \subset K = \mathbb{Q}(\sqrt{-d})$  for which  $\left(\frac{-d}{5}\right) = +1$  and the corresponding automorphism  $\tau_5 = \left(\frac{F_1/K}{\wp_5}\right)$  has order  $n$  in  $\text{Gal}(F_1/K)$ . Here  $F_1 = \mathbb{Q}(r(w_d/5))$  is the inertia field for the prime divisor  $\wp_5 = (5, w_d)$  in the abelian extension  $\Sigma_5\Omega_f$  ( $d \neq 4f^2$ ) or  $\Sigma_5\Omega_{5f}$  ( $d = 4f^2 > 4$ ) of  $K$ ; and  $p_d(x)$  is the minimal polynomial of the value  $r(w_d/5)$  over  $\mathbb{Q}$ .

**Proof.** Let  $\{\eta = \eta_0, \eta_1, \dots, \eta_{n-1}\}$ ,  $n \geq 2$ , be a periodic orbit of  $T_5(x)$  contained in  $D_5$ , where  $T_5^n(\eta) = \eta$ , and let

$$\xi = T(\eta_1) = T(T_5(\eta)) = T(\eta^\sigma).$$

Then the relation  $g(\eta, \eta_1) = g(\eta, T(\xi)) = 0$  implies that  $(\eta, \xi)$  is a point on the curve

$$C_5 : X^5 + Y^5 = \varepsilon^5(1 - X^5Y^5).$$

Rewrite this relation as

$$\xi^5 = \frac{-\eta^5 + \varepsilon^5}{\varepsilon^5\eta^5 + 1} = \tau(\eta^5), \quad \tau(b) = \frac{-b + \varepsilon^5}{\varepsilon^5b + 1}, \quad b = \eta^5.$$

Let

$$E_5(b) : Y^2 + (1 + b)XY + bY = X^3 + bX^2$$

be the Tate normal form for a point of order 5; and let  $E_{5,5}(b)$  be the isogenous curve

$$E_{5,5}(b) : Y^2 + (1 + b)XY + 5bY = X^3 + 7bX^2 + 6(b^3 + b^2 - b)X + b^5 + b^4 - 10b^3 - 29b^2 - b.$$

The  $X$ -coordinate of the map  $\psi : E_5(b) \rightarrow E_{5,5}(b)$  is given by

$$X(\psi(P)) = \frac{b^4 + (3b^3 + b^4)x + (3b^2 + b^3)x^2 + (b - b^2 - b^3)x^3 + x^5}{x^2(x + b)^2}, \quad b = \eta^5,$$

with  $x = X(P)$ . Note that  $\ker(\psi) = \langle(0, 0)\rangle$ , and  $\psi$  is defined over  $\mathbb{Q}(b)$ . (See [11, p. 259].)

The relation  $\xi^5 = \tau(\eta^5)$  implies that there is an isogeny  $\phi : E_5(\eta^5) \rightarrow E_5(\tau(\eta^5)) = E_5(\xi^5)$ . This is because the  $j$ -invariant of  $E_5(\xi^5)$  is

$$\begin{aligned} j_\xi &= \frac{(1 - 12\xi^5 + 14\xi^{10} + 12\xi^{15} + \xi^{20})^3}{\xi^{25}(1 - 11\xi^5 - \xi^{10})} \\ &= \frac{(1 + 228\eta^5 + 494\eta^{10} - 228\eta^{15} + \eta^{20})^3}{\eta^5(1 - 11\eta^5 - \eta^{10})^5}, \end{aligned}$$

where the latter value is  $j(E_{5,5}(\eta^5))$ . Thus,  $E_{5,5}(\eta^5) \cong E_5(\xi^5)$  by an isomorphism  $\iota_1$ . Composing  $\psi$  (for  $b = \eta^5$ ) with this isomorphism gives the isogeny  $\phi = \iota_1 \circ \psi$ . Furthermore,  $j(E_{5,5}(\eta^5))$  is invariant under the substitution  $\eta \rightarrow T(\eta) = \xi^{\sigma^{-1}}$ , so

$$\begin{aligned} j_\xi &= \left( \frac{(1 + 228\xi^5 + 494\xi^{10} - 228\xi^{15} + \xi^{20})^3}{\xi^5(1 - 11\xi^5 - \xi^{10})^5} \right)^{\sigma^{-1}} \\ &= \left( \frac{(1 - 12\eta^5 + 14\eta^{10} + 12\eta^{15} + \eta^{20})^3}{\eta^{25}(1 - 11\eta^5 - \eta^{10})} \right)^{\sigma^{-1}} \\ &= j_{\eta^{\sigma^{-1}}}. \end{aligned}$$

It follows that  $E_5(\xi^5) \cong E_5((\eta^{\sigma^{-1}})^5)$  by an isomorphism  $\iota_2$ . Composing  $\iota_2$  with  $\phi$  gives an isogeny  $\iota_2 \circ \phi = \phi_1 : E_5(\eta^5) \rightarrow E_5(\eta^5)^{\sigma^{-1}}$  of degree 5. Applying  $\sigma^{-i+1}$  to the coefficients of  $\phi_1$  gives an isogeny

$$\phi_i : E_5(\eta^5)^{\sigma^{-(i-1)}} \rightarrow E_5(\eta^5)^{\sigma^{-i}}, \quad 1 \leq i \leq n,$$

which also has degree 5. Hence,  $\iota = \phi_n \circ \phi_{n-1} \circ \cdots \circ \phi_1$  is an isogeny from  $E_5(\eta^5)$  to  $E_5(\eta^5)^{\sigma^{-n}}$  of degree  $5^n$ . But  $\sigma^n$  is trivial on  $\mathbb{Q}_5(\eta, \sqrt{5})$ , since  $T_5^n(\eta) = \eta$ . Hence,  $\iota : E_5(\eta^5) \rightarrow E_5(\eta^5)$ .

We will show that  $\iota$  is a cyclic isogeny by showing that some point  $P \in E_5(\eta^5)[5]$  is not in  $\ker(\iota)$ . The following formula from [15] gives the  $X$ -coordinate on  $E_5(b)$  for a point  $P$  of order 5, which does not lie in  $\langle(0, 0)\rangle$ :

$$X(P) = \frac{-\varepsilon^4(-2u^2 + (1 + \sqrt{5})u - 3\sqrt{5} - 7)(2u^2 + (2\sqrt{5} + 4)u + 3\sqrt{5} + 7)}{2(-2u^2 + (\sqrt{5} + 1)u - 2)(u + 1)^2},$$

where

$$u^5 = -\frac{b - \bar{\varepsilon}^5}{b - \varepsilon^5}, \quad b = \eta^5, \quad \bar{\varepsilon} = -\frac{1 + \sqrt{5}}{2}.$$

A calculation on Maple shows that

$$X_1 = X(\psi(P)) = \frac{-5 + \sqrt{5}}{10}(b^2 + \varepsilon^4 b + \bar{\varepsilon}^2), \quad b = \eta^5.$$

This is the  $X$ -coordinate of the point  $P' = \psi(P)$  on  $E_{5,5}(b)$ . On the other hand, an isomorphism  $\iota_1 : E_{5,5}(b) \rightarrow E_5(\tau(b))$  is given by  $\iota_1(X_1, Y_1) = (X_2, Y_2)$ , where

$$X_2 = \lambda_1^2 X_1 + \lambda_1^2 \frac{b^2 + 30b + 1}{12} - \frac{\tau(b)^2 + 6\tau(b) + 1}{12},$$

and

$$\lambda_1^2 = \frac{\sqrt{5}\varepsilon^5}{(b - \varepsilon^5)^2} = \frac{\sqrt{5}\varepsilon^5}{(\eta^5 - \varepsilon^5)^2}.$$

Under this isomorphism,  $X_1 = X(\psi(P))$  maps to  $X_2 = 0$ , whence  $\phi(P) = \iota_1 \circ \psi(P) = \pm(0, 0)$  on  $E_5(\tau(b)) = E_5(\xi^5)$ . Note that the map  $\phi$  is defined over  $\Lambda = \mathbb{Q}(\eta, \sqrt{\sqrt{5}\varepsilon}) = \mathbb{Q}(\eta, \sqrt{\frac{-5-\sqrt{5}}{2}})$ , since  $\lambda_1$  lies in this field.

Now we find an explicit formula for the isomorphism  $\iota_2$  between  $E_5(\xi^5)$  and  $E_5(\eta^{5\sigma^{-1}})$ . The Weierstrass normal form  $Y^2 = 4X^3 - g_2X - g_3$  of  $E_5(b)$  has coefficients

$$g_2(b) = \frac{1}{12}(b^4 + 12b^3 + 14b^2 - 12b + 1),$$

$$g_3(b) = \frac{-1}{216}(b^2 + 1)(b^4 + 18b^3 + 74b^2 - 18b + 1).$$

An isomorphism  $\iota_2 : E_5(\xi^5) \rightarrow E_5(\eta^{5\sigma^{-1}})$  is determined by a number  $\lambda_2$  satisfying the equations

$$g_2(\eta^{5\sigma^{-1}}) = \lambda_2^4 \cdot g_2(\xi^5), \quad g_3(\eta^{5\sigma^{-1}}) = \lambda_2^6 \cdot g_3(\xi^5).$$

We now use computations analogous to those in Lemma 4.1, obtaining

$$\eta^{5\sigma^{-1}} = \tau(\xi^5)^{\sigma^{-1}} = \tau\left((\xi^{\sigma^{-1}})^5\right) = \tau(T(\eta)^5) = \mathfrak{r}(\eta).$$

Then we solve for  $\lambda_2^2$  from

$$\lambda_2^2 = \frac{g_3(\mathfrak{r}(\eta))g_2(\tau(\eta^5))}{g_2(\mathfrak{r}(\eta))g_3(\tau(\eta^5))}$$

and find that

$$\lambda_2^2 = \frac{(11\sqrt{5} - 25)(2\eta + 1 + \sqrt{5})^2(-2\eta^2 + (3 + \sqrt{5})\eta - 3 - \sqrt{5})^2}{40(-2\eta^2 - 2\eta - 3 + \sqrt{5})^2}.$$

Here,  $\lambda_2$  lies in the field  $\mathbb{Q}(\eta, \sqrt{-\sqrt{5}\varepsilon}) = \mathbb{Q}(\eta, \sqrt{\frac{-5+\sqrt{5}}{2}})$ , which coincides with the field  $\Lambda$  above. Hence, the desired isomorphism is given on  $X$ -coordinates by

$$X_3 = \iota_2(X_2) = \lambda_2^2 X_2 + \lambda_2^2 \frac{\tau(\eta^5)^2 + 6\tau(\eta^5) + 1}{12} - \frac{\mathfrak{r}(\eta)^2 + 6\mathfrak{r}(\eta) + 1}{12},$$

if  $(X_2, Y_2)$  are the coordinates on  $E_5(\xi^5)$  and  $(X_3, Y_3)$  are the coordinates on  $E_5(\eta^{5\sigma^{-1}})$ . Therefore, the points with  $X_2 = 0$  map to points with

$$X_3 = \frac{(-5 + \sqrt{5})(\eta\sqrt{5} + 2\eta^2 - \sqrt{5} - 3\eta + 3)(\eta\sqrt{5} - 2\eta^2 - \sqrt{5} + 3\eta - 3)}{20(-2\eta^2 + \sqrt{5} - 2\eta - 3)}.$$

Finally, we choose  $u = \frac{1}{\varepsilon\xi} \in \mathbb{K}_5(\sqrt{5})$ , so that

$$u^5 = \frac{1}{\varepsilon^5\xi^5} = -\varepsilon^5 \frac{\varepsilon^5\eta^5 + 1}{-\eta^5 + \varepsilon^5} = -\frac{\eta^5 - \varepsilon^5}{\eta^5 - \varepsilon^5},$$

as required above for the formula  $X(P)$ . Then we compute that

$$u^{\sigma^{-1}} = \frac{1}{\varepsilon\xi^{\sigma^{-1}}} = \frac{1}{\varepsilon T(\eta)},$$

which implies that  $\eta = T(\varepsilon^{-1}u^{-\sigma^{-1}})$ . Substituting this expression for  $\eta$  in  $X_3$  gives

$$X_3 = \frac{-\varepsilon^4(-2u_1^2 + (1 + \sqrt{5})u_1 - 3\sqrt{5} - 7)(2u_1^2 + (2\sqrt{5} + 4)u_1 + 3\sqrt{5} + 7)}{2(-2u_1^2 + (\sqrt{5} + 1)u_1 - 2)(u_1 + 1)^2},$$

with  $u_1 = u^{\sigma^{-1}}$ . Comparing with the above formula for  $X(P)$  shows that  $X_3 = X(P)^{\sigma^{-1}}$  and therefore the points  $\pm(0, 0)$  on  $E_5(\xi^5)$  map to  $\pm P^{\sigma^{-1}}$  on  $E_5(\eta^{5\sigma^{-1}})$ .

This discussion shows that the isogeny  $\phi_1 = \iota_2 \circ \iota_1 \circ \psi$  from  $E_5(\eta^5)$  to  $E_5(\eta^5)^{\sigma^{-1}}$  satisfies

$$\phi_1(P) = \pm P^{\sigma^{-1}}.$$

Applying  $\sigma^{-i+1}$  to this gives  $\phi_i(P^{\sigma^{-i+1}}) = \pm P^{\sigma^{-i}}$ , and therefore

$$\iota(P) = \phi_n \circ \phi_{n-1} \circ \cdots \circ \phi_1(P) = \pm P^{\sigma^{-n}} = \pm P.$$

Since  $P$  is a point of order 5 on  $E_5(\eta^5)$ , and  $P$  does not lie in  $\ker(\iota)$ , we see that  $\iota$  is indeed a cyclic isogeny.

From this and the fact that  $\deg(\iota) = 5^n$  we conclude that the  $j$ -invariant  $j_\eta = j(E_5(\eta^5))$  satisfies the modular equation

$$\Phi_{5^n}(j_\eta, j_\eta) = 0.$$

On the other hand, from [4, p. 263],

$$\Phi_{5^n}(X, X) = c_n \prod_{-d} H_{-d}(X)^{r(d, 5^n)},$$

where the product is over the discriminants of orders  $\mathcal{R}_{-d}$  of imaginary quadratic fields and

$$r(d, 5^n) = |\{\alpha \in \mathcal{R}_{-d} : \alpha \text{ primitive, } N(\alpha) = 5^n\} / \mathcal{R}_{-d}^\times|.$$

Thus,  $r(d, 5^n)$  is nonzero only when the equation  $4^k \cdot 5^n = x^2 + dy^2$ , ( $k = 0, 1$ ), has a primitive solution. Now the polynomial  $P_n(x) \in \mathbb{Z}[x]$  splits completely in  $\mathbb{K}_5(\sqrt{5})$ , and its “unramified” roots all lie in  $\mathbb{K}_5$ . Furthermore the “ramified” roots all have the form  $\xi = T(\eta^\sigma)$  for some unramified root  $\eta$ , and the corresponding  $j$ -invariants have the form

$$j_\xi = \frac{(1 - 12\xi^5 + 14\xi^{10} + 12\xi^{15} + \xi^{20})^3}{\xi^{25}(1 - 11\xi^5 - \xi^{10})},$$

which equals

$$j_\xi = \frac{(1 + 228\eta^5 + 494\eta^{10} - 228\eta^{15} + \eta^{20})^3}{\eta^5(1 - 11\eta^5 - \eta^{10})^5}.$$



It follows that all the  $j$ -invariants  $j_\eta, j_\xi$  lie in  $\mathbb{K}_5$ . Hence, the value  $d$  for which  $H_{-d}(j_\eta) = 0$  is not divisible by 5. Thus,  $(5, xyd) = 1$ , and therefore  $(\frac{-d}{5}) = +1$ .

From  $H_{-d}(j_\eta) = H_{-d}((j_\eta)^\sigma) = H_{-d}(j_\xi) = 0$  we see that the periodic point  $\eta$  is a root of both polynomials  $F_d(x^5), G_d(x^5)$ , where

$$F_d(x) = x^{5h(-d)}(1 - 11x - x^2)^{h(-d)}H_{-d} \left[ \frac{(x^4 + 12x^3 + 14x^2 - 12x + 1)^3}{x^5(1 - 11x - x^2)} \right]$$

and

$$G_d(x) = x^{h(-d)}(1 - 11x - x^2)^{5h(-d)}H_{-d} \left[ \frac{(x^4 - 228x^3 + 494x^2 + 228x + 1)^3}{x(1 - 11x - x^2)^5} \right].$$

Now the roots of the polynomial  $G_d(x^5)$  are invariant under the action of the icosahedral group  $G_{60} = \langle S, T \rangle$ , where  $T$  is as before and  $S(z) = \zeta z$ , with  $\zeta = e^{2\pi i/5}$ . (See [11], [17].) Since  $H_{-d}(X)$  is irreducible over the field  $L = \mathbb{Q}(\zeta)$ , containing the coefficients of all the maps in  $G_{60}$ , the polynomial  $G_d(x^5)$  factors over  $L$  into a product of irreducible polynomials of the same degree. (See the similar argument in [12, p. 864].) By the results of [14, pp. 1193, 1202], one of these irreducible factors is  $p_d(x)$ , whose degree is  $4h(-d)$ , and  $p_d(x)$  is invariant under the action of the subgroup

$$H = \langle U, T \rangle, \quad U(z) = \frac{-1}{z},$$

a Klein group of order 4. The normalizer of  $H$  in  $G_{60}$  is  $N = \langle A, H \rangle \cong A_4$ , where  $A = STS^{-2}$  is the map

$$A(z) = \zeta^3 \frac{(1 + \zeta)z + 1}{z - 1 - \zeta^4}$$

of order 3, and  $ATA^{-1} = U, AUA^{-1} = T_2 = TU$ . The distinct left cosets of  $H$  in  $G_{60}$  are represented by the elements

$$M_{ij} = S^j A^i, \quad 0 \leq i \leq 2, \quad 0 \leq j \leq 4.$$

(See [17, Prop. 3.3].) We would like to show that  $\eta$  is a root of the factor  $p_d(x)$ .

Since all the roots of  $G_d(x^5)$  have the form  $M_{ij}(\alpha)$ , for some root  $\alpha$  of  $p_d(x)$  ([14, p. 1203]), the factors of  $G_d(x^5)$  over  $L$  have the form

$$p_{i,j}(x) = (cx + d)^{4h(-d)} p_d(A^i S^j(x)),$$

where  $A^i S^j(x) = \frac{ax+b}{cx+d}$ . The stabilizer of this polynomial in  $G_{60}$  is

$$(A^i S^j)^{-1} H A^i S^j = S^{-j} H S^j,$$

which contains the map  $S^{-j} U S^j(x) = \frac{-\zeta^{-2j}}{x}$ . If  $p_{i,j}(\eta) = 0$ , where  $j \neq 0$ , then both  $\eta$  and  $\frac{-\zeta^{-2j}}{\eta}$  are roots of  $p_{i,j}(x)$ , which would imply that  $\zeta^{-2j}$  is contained in the splitting field of  $P_n(x)$  over  $\mathbb{Q}$ , and is therefore contained in  $\mathbb{K}_5(\sqrt{5})$ , which is not the case. Hence,  $\eta$  can only be a root of  $p_{i,0}(x) =$

$(c_i x + d_i)^{4h(-d)} p_d(A^i(x))$ , for some  $i$ . But then the elements in  $HA^i(\eta)$  are roots of  $p_d(x)$ . Assume  $i = 1$ . Since  $A(\eta)$  is a root of  $p_d(x)$ , so is  $A^{\rho^j}(\eta)$ , where  $\rho$  is the automorphism of  $\mathbb{K}_5(\zeta)/\mathbb{K}_5$  for which  $\zeta^\rho = \zeta^2$ . But  $A^\rho = A^{-1}U$ , so that  $A^{\rho^2} = A^{-\rho}U = UAU$  and  $A^{\rho^3} = UA^\rho U = UA^{-1}$ . Thus,  $A^{\rho^3}(\eta)$  being a root of  $p_d(x)$  and  $U \in H$  imply that  $A^{-1}(\eta)$  is also a root of  $p_d(x)$ . But then  $\eta$  is a common root of  $p_{1,0}(x) = (c_1 x + d_1)^{4h(-d)} p_d(A(x))$  and  $p_{2,0}(x) = (c_2 x + d_2)^{4h(-d)} p_d(A^{-1}(x))$ , which is impossible, since these are two of the irreducible factors of  $G_d(x^5)$  over  $L$ , and the latter polynomial has no multiple roots, for  $d \neq 4$ . (See [17, §2.2].) A similar argument works if  $i = 2$ , since  $A^2 = A^{-1}$  and  $A = UA^{-\rho}$ . For  $d = 4$ , we have

$$\begin{aligned} G_4(x^5) &= (x^{20} - 228x^{15} + 494x^{10} + 228x^5 + 1)^3 - 1728x^5(1 - 11x^5 - x^{10})^5 \\ &= (x^2 + 1)^2(x^4 + 2x^3 - 6x^2 - 2x + 1)^2(x^8 - x^6 + x^4 - x^2 + 1)^2 \\ &\quad \times (x^8 + 4x^7 + 17x^6 + 22x^5 + 5x^4 - 22x^3 + 17x^2 - 4x + 1)^2 \\ &\quad \times (x^8 - 6x^7 + 17x^6 - 18x^5 + 25x^4 + 18x^3 + 17x^2 + 6x + 1)^2, \end{aligned}$$

and the only periodic point  $\eta \in \mathbb{D}_5$  which is a root of  $G_4(x^5)$  is the fixed point

$$\eta = i = 3 + 3 \cdot 5 + 2 \cdot 5^2 + 3 \cdot 5^3 + 5^4 + \dots \in \mathbb{Q}_5.$$

Thus,  $d = 4$  does not occur when  $n \geq 2$ . (Except for the primitive 20-th roots of unity, which do not lie in  $\mathbb{K}_5(\sqrt{5})$ , the other roots of  $G_4(x^5) = 0$  satisfy  $x \equiv 2 \pmod{5}$ , and so do not lie in  $\mathbb{D}_5$ .)

Hence, the only possibility is that  $p_d(\eta) = 0$ . This shows that all periodic points of  $T_5(x)$  in  $\mathbb{D}_5$  are roots of some  $p_d(x)$  for which  $(-d/5) = +1$ . Since  $T_5(\eta) = \eta^{\tau_5}$  for such a root by (3.6), it is clear that  $\tau_5$  has order  $n$  in the corresponding Galois group  $\text{Gal}(F_1/\mathbb{Q})$ , as well. All the roots of  $P_n(x)$  which do not lie in  $\mathbb{D}_5$  have the form  $T(\eta)$ , for  $\eta \in \mathbb{D}_5$ , by the discussion in Section 2, and are also roots of  $p_d(x)$  for one of these integers  $d$ , since  $T(x)$  stabilizes the roots of  $p_d(x)$ .

Thus, if  $n \geq 2$ , the only irreducible factors of  $P_n(x)$  over  $\mathbb{Q}$  are the polynomials  $p_d(x)$  for which  $(-d/5) = +1$  and  $\tau_5 \in \text{Gal}(F_1/\mathbb{Q})$  has order  $n$ . This proves (4.1).  $\square$

For use in the following corollary, note that the substitution  $(X, Y) \rightarrow (\frac{-1}{X}, \frac{-1}{Y})$  represents an automorphism of the curve  $g(X, Y) = 0$ , since

$$X^5 Y^5 g\left(\frac{-1}{X}, \frac{-1}{Y}\right) = g(X, Y). \quad (4.2)$$

As in [14], put

$$g_1(X, Y) = Y^5 g\left(X, \frac{-1}{Y}\right). \quad (4.3)$$

In the following corollary, we prove the claim stated in the last paragraph of [14, p. 1212]. In that paragraph, the polynomial  $x^2 + x - 1$  should have

also been listed along with  $x, x^2 + 1$  and  $p_d(x)$  as factors of the resultants  $R_n(x)$ . As we will see below, however,  $x^2 + x - 1$  never divides  $\tilde{R}_n(x)$ .

**Corollary 4.3.** *Let  $\tilde{R}_n(x)$  be the  $(n - 1)$ -fold iterated resultant*

$$Res_{x_{n-1}}(\dots(Res_{x_2}(Res_{x_1}(g(x, x_1), g(x_1, x_2)), g(x_2, x_3)), \dots, g_1(x_{n-1}, x)))$$

for  $n \geq 2$ . If  $\alpha \neq 0$  is a root of  $\tilde{R}_n(x)$ , then  $\alpha$  is either  $\pm i$  or a root of some polynomial  $p_d(x)$ , where  $p_d(x) \mid R_{2n}(x)$ .

**Proof.** A root  $\alpha \neq 0$  of  $\tilde{R}_n(x)$  satisfies the simultaneous equations

$$g(\alpha, \alpha_1) = g(\alpha_1, \alpha_2) = \dots = g(\alpha_{n-2}, \alpha_{n-1}) = g_1(\alpha_{n-1}, \alpha) = 0,$$

for some elements  $\alpha_i$  in  $\overline{\mathbb{Q}}$ , the algebraic closure of  $\mathbb{Q}$ . Note that  $\alpha_i \neq 0$ , for  $1 \leq i \leq n - 1$ , because  $g(X, 0) = X^5$ , so that  $\alpha_i = 0$  implies  $\alpha_{i-1} = 0$ . But the definition of  $g_1(X, Y)$  and the final equation in the above chain give that  $g(\alpha_{n-1}, \frac{-1}{\alpha}) = 0$ . Now the identity (4.2) implies, using the above simultaneous equations, that

$$g\left(\frac{-1}{\alpha}, \frac{-1}{\alpha_1}\right) = g\left(\frac{-1}{\alpha_1}, \frac{-1}{\alpha_2}\right) = \dots = g\left(\frac{-1}{\alpha_{n-1}}, \alpha\right) = 0.$$

Tacking this chain of equations onto the first chain following the equation  $g(\alpha_{n-1}, \frac{-1}{\alpha}) = 0$  shows that  $\alpha$  is a root of  $R_{2n}(x) = 0$ . Setting  $p_4(x) = x^2 + 1$  (see below), we only have to verify that  $\alpha$  is not a root of  $x^2 + x - 1$  to conclude that  $\alpha$  is a root of some polynomial  $p_d(x)$ , because

$$P_1(x) = x(x^2 + 1)(x^2 + x - 1)(x^4 + x^3 + 3x^2 - x + 1) = x(x^2 + x - 1)p_4(x)p_{19}(x).$$

For in that case  $\alpha$  is either a root of  $p_4(x)p_{19}(x)$  or a root of some  $P_m(x)$ , for  $m > 1$ . But if  $\alpha = \frac{-1 \pm \sqrt{5}}{2}$ , then  $\alpha$  is a fixed point,  $g(\alpha, y) = 0 \Rightarrow y = \alpha$ , but

$$g_1(\alpha, \alpha) = \alpha^5 g(\alpha, \bar{\alpha}) = \frac{625 - 275\sqrt{5}}{2} \neq 0.$$

Thus,  $\alpha$  cannot be a root of  $\tilde{R}_n(x)$  for any  $n \geq 1$ . □

**Remark.** This justifies the claims made in Section 5 of Part II about the resultant  $\tilde{R}_n(x)$ . In particular, all its irreducible factors are  $x^2 + 1$  and polynomials of the form  $p_d(x)$ . This shows also that the polynomial in Example 2 of that section (pp. 1210-1211) is indeed  $p_{491}(x)$ . The computation of the degree  $\tilde{R}_3(x)$  was in error, however, at the beginning of that example. In fact the degree is 250, and there are five factors of degree 12, not three, as was claimed before: these factors are the polynomials  $p_d(x)$  for  $d = 31, 44, 124, 211, 331$ .

Note that the root  $-i = r\left(\frac{-7+i}{5}\right)$ , so  $p_4(x)$  is the minimal polynomial of a value  $r(w_4/5)$ , with  $w_4 = -7 + i \in \mathbb{Q}(\sqrt{-4})$  and  $\wp_5^2 = (-2 + i)^2 \mid w_4$ . This justifies the notation  $p_4(x)$ . See [7, p. 139].

The following theorem is immediate from Theorem 4.2 and the computations of Section 2.

**Theorem 4.4.** *The set of periodic points in  $\overline{\mathbb{Q}}$  (or  $\overline{\mathbb{Q}}_5$  or  $\mathbb{C}$ ) of the multi-valued algebraic function  $\mathfrak{g}(z)$  defined by the equation  $g(z, \mathfrak{g}(z)) = 0$  consists of  $0, \frac{-1 \pm \sqrt{5}}{2}$ , and the roots of the polynomials  $p_d(x)$ , for negative discriminants  $-d$  satisfying  $\left(\frac{-d}{5}\right) = +1$ . Over  $\overline{\mathbb{Q}}$  or  $\mathbb{C}$  the latter values coincide with the values  $\eta = r(w_d/5)$  and their conjugates over  $\mathbb{Q}$ , where  $r(\tau)$  is the Rogers-Ramanujan continued fraction and the argument  $w_d \in K = \mathbb{Q}(\sqrt{-d})$  satisfies*

$$w_d = \frac{v + \sqrt{-d}}{2} \in R_K, \quad \wp_5^2 \mid w_d, \quad \text{and } (N(w_d), f) = 1.$$

The fixed points  $0, \frac{-1 \pm \sqrt{5}}{2}$  come from the factors  $x, x^2 + x - 1$  of the polynomial  $P_1(x)$ .

Equating degrees in the formula (4.1) yields

$$\deg(P_n(x)) = \sum_{-d \in \mathfrak{D}_{n,5}} 4h(-d), \quad n > 1.$$

From (2.7) we get the following class number formula.

**Theorem 4.5.** *For  $n > 1$  we have*

$$\sum_{-d \in \mathfrak{D}_{n,5}} h(-d) = \frac{1}{2} \sum_{k \mid n} \mu(n/k) 5^k,$$

where  $\mathfrak{D}_{n,5}$  has the meaning given in Theorem 1.3.

This proves Theorem 1.3, where the field  $F_1$  has been denoted as  $F_{d,5}$ , to indicate its dependence on  $d$ . Note that the corresponding formula for  $n = 1$  reads

$$\sum_{-d \in \mathfrak{D}_{1,5}} h(-d) = h(-4) + h(-19) = 2 = \frac{1}{2}(5 - 1).$$

## 5. Ramanujan's modular equations for $r(\tau)$

In this section we take a slight detour to show how the polynomials  $p_{4d}(x)$ ,  $p_{9d}(x)$  and  $p_{49d}(x)$  can be computed, if the polynomial  $p_d(x)$  is known.

From Berndt's book [2, p. 17] we take the following identity relating  $u = r(\tau)$  and  $v = r(3\tau)$ :

$$(v - u^3)(1 + uv^3) = 3u^2v^2. \quad (5.1)$$

Let

$$P_3(u, v) = (v - u^3)(1 + uv^3) - 3u^2v^2.$$

This polynomial satisfies the identity

$$v^4 P_3\left(u, \frac{-1}{v}\right) = P_3(v, u).$$

The following theorem gives a simple method of calculating  $p_{9d}(x)$  from  $p_d(x)$ .

**Theorem 5.1.** *For any negative discriminant  $-d \equiv \pm 1 \pmod{5}$ , the polynomial  $p_{9d}(x)$  divides the resultant*

$$\text{Res}_y(P_3(y, x), p_d(y)).$$

**Proof.** Let  $-d = d_K f^2$ , where  $d_K$  is the discriminant of  $K = \mathbb{Q}(\sqrt{-d})$ . One of the roots of  $p_{9d}(x)$  is  $\eta' = r(w_{9d}/5)$ , where  $w_{9d} = \frac{v+\sqrt{-9d}}{2} \in \mathbb{R}_{-9d}$ ,  $\wp_5^2 \mid w_{9d}$  and  $N(w_{9d}) = \frac{v^2+9d}{4}$  is prime to  $3f$ . Let  $f = 3^s f'$ , with  $(f', 3) = 1$ . For some integer  $k$ ,  $w_{9d} + 25f'k = \frac{v+50f'k+\sqrt{-9d}}{2}$  satisfies  $v + 50f'k \equiv v - 4f'k \equiv 3 \pmod{9}$ . Furthermore,

$$\eta' = r\left(\frac{w_{9d} + 25f'k}{5}\right) = r\left(\frac{w_{9d}}{5} + 5f'k\right) = r\left(\frac{w_{9d}}{5}\right).$$

Thus, we may assume  $3 \parallel v$ , and then  $9 \mid N(w_{9d})$ . In that case  $w_d = \frac{w_{9d}}{3} \in \mathbb{R}_{-d}$ , where  $(N(w_d), f) = 1$ , even when  $3 \mid f$ . Furthermore,  $\wp_5^2 \mid w_d$ . Hence,  $\eta = r(w_d/5)$  is a root of  $p_d(x)$ . From (5.1) we have

$$P_3(\eta, \eta') = P_3(r(w_d/5), r(w_{9d}/5)) = P_3(r(w_d/5), r(3w_d/5)) = 0.$$

Hence,  $\eta'$  is a root of the resultant, which therefore has its minimal polynomial  $p_{9d}(x)$  as a factor. □

**Example 1.** We compute

$$\text{Res}_y(P_3(y, x), p_4(y)) = \text{Res}_y(P_3(y, x), y^2+1) = x^8+x^6-6x^5+9x^4+6x^3+x^2+1.$$

Since the latter polynomial is irreducible, the theorem shows that it equals  $p_{36}(x)$ :

$$p_{36}(x) = x^8 + x^6 - 6x^5 + 9x^4 + 6x^3 + x^2 + 1.$$

This verifies once again the entry for  $d = 36$  in Table 1 of [14], which we used in Example 1 of that paper (p. 1208). In the same way, we compute

$$\begin{aligned} \text{Res}_y(P_3(y, x), p_{36}(y)) &= (x^2 + 1)^4(x^{24} - 18x^{23} + 81x^{22} - 60x^{21} + 594x^{20} \\ &\quad + 1074x^{19} + 118x^{18} - 1002x^{17} - 261x^{16} + 6882x^{15} + 12078x^{14} \\ &\quad + 1014x^{13} - 18585x^{12} - 1014x^{11} + 12078x^{10} - 6882x^9 - 261x^8 \\ &\quad + 1002x^7 + 118x^6 - 1074x^5 + 594x^4 + 60x^3 + 81x^2 + 18x + 1) \\ &= p_4(x)^4 p_{324}(x). \end{aligned}$$

There is also the identity from [2, p. 12] relating  $u = r(\tau)$  and  $v = r(2\tau)$ :

$$(v - u^2) = (v + u^2) \cdot uv^2. \tag{5.2}$$

Setting

$$P_2(u, v) = (v + u^2) \cdot uv^2 - (v - u^2),$$

we have the following identity, analogous to the identity for  $P_3(u, v)$ .

$$v^3 P_2\left(u, \frac{-1}{v}\right) = P_2(v, u).$$

An argument similar to the proof of Theorem 5.1 yields

**Theorem 5.2.** *For any negative discriminant  $-d \equiv \pm 1 \pmod{5}$ , the polynomial  $p_{4d}(x)$  divides the resultant*

$$\text{Res}_y(P_2(y, x), p_d(y)).$$

**Proof.** Again, let  $-d = d_K f^2$ , where  $d_K$  is the discriminant of  $K = \mathbb{Q}(\sqrt{-d})$ . One of the roots of  $p_{4d}(x)$  is  $\eta' = r(w_{4d}/5)$ , where  $w_{4d} = \frac{v+\sqrt{-4d}}{2} \in \mathbb{R}_{-4d}$ ,  $\wp_5^2 \mid w_{4d}$  and  $N(w_{4d}) = \frac{v^2+4d}{4}$  is prime to  $2f$ . Thus,  $v \equiv 2d + 2 \pmod{4}$ . If  $f$  is odd, we set

$$w' = w_{4d} + 25f = \left(\frac{v}{2} + 25f\right) + \sqrt{-d} = v' + \sqrt{-d}.$$

Then,

$$r\left(\frac{w'}{5}\right) = r\left(\frac{w_{4d}}{5} + 5f\right) = r\left(\frac{w_{4d}}{5}\right) = \eta'.$$

Moreover,  $v' \equiv \frac{v}{2} + 1 \equiv d \pmod{2}$ . Now let  $w_d = \frac{w'}{2} = \frac{v'+\sqrt{-d}}{2} \in \mathbb{R}_{-d}$ , where  $(N(w_d), f) = 1$ . Then  $\wp_5^2 \mid w_d$  and  $\eta = r(w_d/5)$  is a root of  $p_d(x)$ . From (5.2) we have

$$P_2(\eta, \eta') = P_2(r(w_d/5), r(w_{4d}/5)) = P_2(r(w_d/5), r(2w_d/5)) = 0.$$

Hence,  $\eta'$  is a root of the resultant, which therefore has its minimal polynomial  $p_{4d}(x)$  as a factor.

On the other hand, if  $f$  is even, let  $f = 2^s f'$ , with  $f'$  odd. Then  $d$  is even, so  $v/2$  is odd. In this case we choose  $k$  so that

$$v' = \frac{v}{2} + 25f'k \equiv \begin{cases} 0 \pmod{4}, & \text{if } 4 \parallel d; \\ 2 \pmod{4}, & \text{if } 8 \mid d. \end{cases}$$

With this choice of  $k$  we have  $v' \equiv d \pmod{2}$ , so letting  $w' = v' + \sqrt{-d} = w_{4d} + 25f'k$  and  $w_d = \frac{w'}{2}$ , we have  $w_d \in \mathbb{R}_{-d}$  and

$$N(w_d) = \frac{v'^2 + d}{4} \equiv \begin{cases} \frac{d}{4} \equiv 1 \pmod{2}, & \text{if } 4 \parallel d; \\ \frac{v'^2}{4} \equiv 1 \pmod{2}, & \text{if } 8 \mid d. \end{cases}$$

In either case, we get that  $(N(w_d), f) = 1$ . We have  $r(w'/5) = r(w_{4d}/5)$ , as before, and letting  $\eta = r(w_d/5)$  be a root of  $p_d(x)$ , we obtain  $P_2(\eta, \eta') = 0$  as above, and the assertion of the theorem follows.  $\square$

**Example 2.** We have

$$\begin{aligned} \text{Res}_y(P_2(y, x), p_{36}(y)) &= (x^8 + x^6 - 6x^5 + 9x^4 + 6x^3 + x^2 + 1) \\ &\quad \times (x^{16} - 2x^{15} + 18x^{14} + 24x^{13} + 83x^{12} + 78x^{11} + 74x^{10} + 40x^9 \\ &\quad + 9x^8 - 40x^7 + 74x^6 - 78x^5 + 83x^4 - 24x^3 + 18x^2 + 2x + 1) \\ &= p_{36}(x)p_{144}(x) \end{aligned}$$

and

$$\begin{aligned} \text{Res}_y(P_2(y, x), p_{144}(y)) &= (x^8 + x^6 - 6x^5 + 9x^4 + 6x^3 + x^2 + 1)^2 \\ &\quad \times (x^{32} - 32x^{31} + 586x^{30} - 2856x^{29} + 5818x^{28} - 160x^{27} - 23408x^{26} \\ &\quad + 41964x^{25} - 6573x^{24} - 63520x^{23} + 64426x^{22} + 12736x^{21} - 38746x^{20} \\ &\quad - 11464x^{19} + 55416x^{18} - 38148x^{17} - 5743x^{16} + 38148x^{15} + 55416x^{14} \\ &\quad + 11464x^{13} - 38746x^{12} - 12736x^{11} + 64426x^{10} + 63520x^9 - 6573x^8 \\ &\quad - 41964x^7 - 23408x^6 + 160x^5 + 5818x^4 + 2856x^3 + 586x^2 + 32x + 1) \\ &= p_{36}(x)^2 p_{576}(x). \end{aligned}$$

We can use Theorems 5.1 and 5.2 to construct polynomials  $p_d(x)$  for which the Conjecture (1) in [14, p. 1199] does not hold. For example, starting with

$$p_{51}(x) = x^8 + x^7 + x^6 - 7x^5 + 12x^4 + 7x^3 + x^2 - x + 1,$$

applying Theorem 5.2 once gives that

$$\begin{aligned} p_{204}(x) &= x^{24} - x^{23} + 38x^{22} + 36x^{21} + 166x^{20} + 33x^{19} + 57x^{18} + 22x^{17} \\ &\quad + 573x^{16} + 1603x^{15} + 2465x^{14} + 1225x^{13} + 1768x^{12} - 1225x^{11} \\ &\quad + 2465x^{10} - 1603x^9 + 573x^8 - 22x^7 + 57x^6 - 33x^5 + 166x^4 - 36x^3 \\ &\quad + 38x^2 + x + 1, \end{aligned}$$

whose discriminant is exactly divisible by  $17^{12}$ , in accordance with Conjecture (1). Applying Theorem 5.2 to this polynomial yields the polynomial  $p_{816}(x)$ , of degree 48, whose discriminant is exactly divisible by  $17^{40}$ :

$$\text{disc}(p_{816}(x)) = 2^{160} 3^{120} 5^{276} 7^{40} 17^{40} 31^{24} 47^8 79^8 179^4 191^{12} 241^8 491^8 541^8 691^8;$$

whereas Conjecture (1) predicts that  $17^{24}$  should be the power of 17 dividing  $\text{disc}(p_{816}(x))$ .

Note that the period of the roots of  $p_{51}(x)$  is 4, whereas the period of the roots of  $p_{204}(x)$  and  $p_{816}(x)$  is 12.

We modify the statement of Conjecture (1) in [14, p. 1199] as follows.

**Conjecture 2.** *If  $q > 5$  is a prime which divides the field discriminant  $d_K$  of  $K = \mathbb{Q}(\sqrt{-d})$ , then  $q^{2h(-d_K)}$  exactly divides  $\text{disc}(p_{d_K}(x))$ .*

Now define the polynomial  $P_7(u, v)$  by

$$P_7(u, v) = u^8 v^7 + (-7v^5 + 1)u^7 + 7u^6 v^3 + 7(-v^6 + v)u^5 + 35u^4 v^4 \\ + 7(v^7 + v^2)u^3 - 7u^2 v^5 - (v^8 + 7v^3)u - v.$$

Note that  $P_7(u, v)$  satisfies the polynomial identity

$$v^8 P_7\left(u, \frac{-1}{v}\right) = P_7(v, u).$$

From [22, Thm. 3.3] we have the following fact.

**Proposition (Yi).** The Rogers-Ramanujan continued fraction  $r(\tau)$  satisfies the equation  $P_7(r(\tau), r(7\tau)) = 0$ .

**Theorem 5.3.** For any negative discriminant  $-d \equiv \pm 1 \pmod{5}$ , the polynomial  $p_{49d}(x)$  divides the resultant

$$\text{Res}_y(P_7(y, x), p_d(y)).$$

The proof is the same, mutatis mutandis, as the proof of Theorem 5.1, on replacing the prime 3 by 7.

**Example 3.** We compute that

$$\text{Res}_y(P_7(y, x), p_4(y)) = p_{196}(x) \\ = x^{16} + 14x^{15} + 64x^{14} + 84x^{13} - 35x^{12} - 14x^{11} + 196x^{10} \\ + 672x^9 + 1029x^8 - 672x^7 + 196x^6 + 14x^5 - 35x^4 \\ - 84x^3 + 64x^2 - 14x + 1.$$

As a check, note that  $h(-4 \cdot 7^2) = 4$  and the discriminant of  $p_{196}(x)$  is

$$\text{disc}(p_{196}(x)) = 2^{32} \cdot 3^{12} \cdot 5^{28} \cdot 7^{14} \cdot 19^4 \cdot 71^8,$$

all of whose prime factors are less than  $d = 196 = 4 \cdot 7^2$ .

## 6. Periodic points for $h(t, u)$

**6.1. Reduction to periodic points of  $g(x, y)$ .** From [14] the equation connecting  $t = X - \frac{1}{X}$  and  $u = Y - \frac{1}{Y}$  in the function field of the curve  $g(X, Y) = 0$  is

$$h(t, u) = u^5 - (6 + 5t + 5t^3 + t^5)u^4 + (21 + 5t + 5t^3 + t^5)u^3 \\ - (56 + 30t + 30t^3 + 6t^5)u^2 + (71 + 30t + 30t^3 + 6t^5)u \\ - 120 - 55t - 55t^3 - 11t^5.$$

On this curve  $v = \eta - \frac{1}{\eta} \in \Omega_f$ , with  $\eta = r(w_d/5)$ , satisfies

$$h(v, v^{\tau_5}) = 0, \quad \tau_5 = \left( \frac{\Omega_f/\mathbb{Q}(\sqrt{-d})}{\wp_5} \right).$$



This yielded the following theorem.

**Theorem 6.1.** *If  $\Omega_f$  is the ring class field of conductor  $f$  (relatively prime to 5) over the field  $K = \mathbb{Q}(\sqrt{-d})$ , where  $-d = d_K f^2$  and  $(\frac{-d}{5}) = +1$ , then  $\Omega_f = K(v)$ , where  $v = \eta - \frac{1}{\eta}$  is a periodic point of the algebraic function  $f(z)$  defined by  $h(z, f(z)) = 0$ .*

Note the identity

$$X^5 Y^5 h\left(X - \frac{1}{X}, Y - \frac{1}{Y}\right) = -g(X, Y)g_1(X, Y), \tag{6.1}$$

where  $g(X, Y)$  is given by (2.1) and  $g_1(X, Y)$  is defined in (4.3). Also, recall that

$$X^5 Y^5 g\left(\frac{-1}{X}, \frac{-1}{Y}\right) = g(X, Y), \quad X^5 Y^5 g_1\left(\frac{-1}{X}, \frac{-1}{Y}\right) = g_1(X, Y), \tag{6.2}$$

where the second identity is an easy consequence of the first. Using these facts we can prove the following.

**Theorem 6.2.** *If  $v \neq -1$  is any periodic point of the algebraic function  $f(z)$  in Theorem 6.1, then*

$$v = \eta - \frac{1}{\eta},$$

for some periodic point  $\eta$  of  $g(z)$ , and  $v$  generates a ring class field  $\Omega_f$  over some field  $K = \mathbb{Q}(\sqrt{-d})$ , where  $-d = d_K f^2$  and  $(\frac{-d}{5}) = +1$ .

**Proof.** Assume that there exist elements  $v_i$  for which

$$h(v, v_1) = h(v_1, v_2) = \dots = h(v_{n-1}, v) = 0. \tag{6.3}$$

Since the substitution  $x = y - \frac{1}{y}$  transforms the polynomial

$$h(x, x) = -(x + 1)(x^2 + 4)(x^2 - x + 3)(x^2 - 2x + 2)(x^2 + x + 5),$$

(after multiplying by  $y^9$ ) into the product

$$\begin{aligned} & -(y^2 + y - 1)(y^2 + 1)^2(y^4 - y^3 + y^2 + y + 1)(y^4 - 2y^3 + 2y + 1) \\ & \quad \times (y^4 + y^3 + 3y^2 - y + 1) \\ & = -(y^2 + y - 1)p_4(y)^2 p_{11}(y)p_{16}(y)p_{19}(y), \end{aligned}$$

we may assume  $n \geq 2$ . Set  $g_0(X, Y) = g(X, Y)$  and write  $v = \eta - \frac{1}{\eta}$  and  $v_i = \eta_i - \frac{1}{\eta_i}$ . By (6.1), equation (6.3) is equivalent to a set of simultaneous equations

$$g_{i_1}(\eta, \eta_1) = g_{i_2}(\eta_1, \eta_2) = \dots = g_{i_n}(\eta_{n-1}, \eta) = 0, \tag{6.4}$$

where each  $i_k = 0$  or 1. Using the same idea as in the proof of Corollary 4.3, we will transform this set of equations into a set of equations which only involve the polynomial  $g = g_0$ . Assume first that  $i_1 = 1$ . Then

$$0 = g_1(\eta, \eta_1) = g\left(\eta, \frac{-1}{\eta_1}\right).$$

Now we use (6.2) to rewrite the remaining equations, so that we have

$$0 = g\left(\eta, \frac{-1}{\eta_1}\right) = g_{i_2}\left(\frac{-1}{\eta_1}, \frac{-1}{\eta_2}\right) = \cdots = g_{i_n}\left(\frac{-1}{\eta_{n-1}}, \frac{-1}{\eta}\right),$$

with the same subscripts  $i_r$ , for  $r \geq 2$ , as before. Now assume we have transformed the first  $k-1$  equations so that only the polynomial  $g(X, Y)$  appears. Then, on renaming the elements  $\pm\eta_i^{\pm 1}$  as  $\eta_i$ , we have the simultaneous equations

$$0 = g(\eta, \eta_1) = \cdots = g(\eta_{k-2}, \eta_{k-1}) = g_{i_k}(\eta_{k-1}, \eta_k) = \cdots = g_{i_n}(\eta_{n-1}, \pm\eta^{\pm 1}).$$

If  $i_k = 0$  we replace  $k$  by  $k+1$  and continue. If  $i_k = 1$  we replace  $g_{i_k}(\eta_{k-1}, \eta_k)$  by  $g(\eta_{k-1}, -1/\eta_k)$  and use (6.2) to replace  $\eta_r$  in the remaining equations by  $-1/\eta_r$ ,  $r \geq k$ . Then, on renaming the  $\eta$ 's again, we get a chain of equations

$$0 = g(\eta, \eta_1) = \cdots = g(\eta_{k-1}, \eta_k) = \cdots = g_{i_n}(\eta_{n-1}, \pm\eta^{\pm 1}).$$

Thus, by induction, we see that (6.4) is equivalent to a chain of equations

$$0 = g(\eta, \eta_1) = \cdots = g(\eta_{n-1}, \pm\eta^{\pm 1})$$

only involving the polynomial  $g$ . If the final  $\eta$  is simply  $\eta$ , then  $\eta$  is a periodic point of  $g$  having period  $n$ . On the other hand, if the final  $\eta$  appearing in these equations is  $-\eta^{-1}$ , then we use the same argument as in Corollary 4.3 to show that  $\eta$  is a periodic point of period  $2n$ . Then we know  $\eta$  is not 0 or a root of  $x^2 + x - 1$ , and therefore must be a root of some  $p_d(x)$ . By Theorem 6.1, this implies that  $K(v) = \Omega_f$ , for  $K = \mathbb{Q}(\sqrt{-d})$  and  $-d = d_K f^2$ . This proves the theorem.  $\square$

Taken together, Theorems 6.1 and 6.2 verify Conjecture 1(b) of Part I for the case  $p = 5$ . To verify Conjecture 1(a), we define the function

$$\mathsf{T}_5(z) = T_5(\eta) - \frac{1}{T_5(\eta)}, \quad \eta = \frac{z \pm \sqrt{z^2 + 4}}{2}.$$

We can also write

$$\mathsf{T}_5(z) = \phi \circ T_5 \circ \phi^{-1}(z), \quad \phi(z) = z - \frac{1}{z},$$

where  $\phi^{-1}(z) \in \left\{\frac{z \pm \sqrt{z^2 + 4}}{2}\right\}$  is two-valued. Since

$$g(z, T_5(z)) = 0 \Rightarrow g\left(\frac{-1}{z}, \frac{-1}{T_5(z)}\right) = 0,$$

it follows from Proposition 3.2 that

$$T_5\left(\frac{-1}{z}\right) = \frac{-1}{T_5(z)}, \quad \text{for } z \in \mathbb{D}_5 \cap \{z : |z|_5 = 1\}.$$

Since the two solutions  $\eta^{(+)}, \eta^{(-)}$  of  $\phi(\eta^{(\pm)}) = z$  satisfy  $\eta^{(+)}\eta^{(-)} = -1$ , the value taken for  $\phi^{-1}(z)$  does not affect the value of  $\mathsf{T}_5(z)$ . In other words,

we have the symmetric formula

$$T_5(z) = T_5(\eta^{(+)}) + T_5(\eta^{(-)}), \quad \eta^{(\pm)} = \frac{z \pm \sqrt{z^2 + 4}}{2}.$$

Then from  $T_5(\eta^{(+)}) \cdot T_5(\eta^{(-)}) = -1$  and (3.3) it follows that  $T_5(z) \in \phi(D_5 \cap \{z : |z|_5 = 1\})$ , which implies that

$$T_5^n(z) = T_5^n(\eta^{(+)}) + T_5^n(\eta^{(-)}), \quad n \geq 1, \quad \eta^{(\pm)} = \frac{z \pm \sqrt{z^2 + 4}}{2}.$$

Furthermore,  $g(z, T_5(z)) = 0$  implies that

$$h(z - 1/z, T_5(z - 1/z)) = -g(z, T_5(z))g_1(z, T_5(z)) = 0.$$

We deduce the following.

**Theorem 6.3.** *For any negative discriminant  $-d = d_K f^2$  with  $(\frac{-d}{5}) = +1$ , and for  $\eta = r(w_d/5)$ , as in Part II, the  $h(-d)$  distinct conjugate values*

$$v^\tau = \eta^\tau - \frac{1}{\eta^\tau}, \quad \tau \in \text{Gal}(F_1/K),$$

*lying in the ring class field  $\Omega_f$  of  $K = \mathbb{Q}(\sqrt{-d})$ , are periodic points of the 5-adic algebraic function  $T_5(z)$  in the 5-adic domain*

$$\tilde{D}_5 = \phi(D_5 \cap \{z \in K_5 : |z|_5 = 1\}).$$

*The period of  $v^\tau$  is equal to the order of the automorphism  $\tilde{\tau}_5 = (\frac{\Omega_f/K}{\wp_5})$ .*

**Proof.** This is immediate from

$$T_5(v^\tau) = T_5\left(\eta^\tau - \frac{1}{\eta^\tau}\right) = T_5(\eta^\tau) - \frac{1}{T_5(\eta^\tau)} = \eta^{\tau\tau_5} - \frac{1}{\eta^{\tau\tau_5}} = v^{\tau\tau_5},$$

where the third equality above follows from  $g(\eta^\tau, \eta^{\tau\tau_5}) = 0$ . The fact that the period is the order of  $\tilde{\tau}$  is a consequence of the fact that  $\mathbb{Q}(v) = \Omega_f$  and that

$$\tilde{\tau}_5 = \tau_5|_{\Omega_f}, \quad \tau_5 = \left(\frac{F_1/K}{\wp_5}\right).$$

□

**Corollary 6.4.** *Conjecture 1(a) of [13] holds for the prime  $p = 5$ : Every ring class field  $\Omega_f$  over  $K = \mathbb{Q}(\sqrt{-d})$ , with  $(\frac{-d}{5}) = +1$  and  $(f, 5) = 1$ , is generated over  $\mathbb{Q}$  by a periodic point of the 5-adic algebraic function  $T_5(z)$  which is contained in the domain  $\tilde{D}_5 = \phi(D_5 \cap \{z \in K_5 : |z|_5 = 1\}) \subset K_5$ .*

Note: it is clear that  $T_5(\tilde{D}_5) \subseteq \tilde{D}_5$ , since  $T_5(x)$  maps the set  $D_5 \cap \{z \in K_5 : |z|_5 = 1\}$  into itself, by Corollary 3.3 and equation (3.3).

The values  $v^\tau$  and their complex conjugates coincide with the roots of the polynomial  $t_d(x)$ , for which

$$x^{2h(-d)} t_d\left(x - \frac{1}{x}\right) = p_d(x), \quad d > 4. \tag{6.5}$$

Theorem 6.2 shows that every periodic point  $v \neq -1, \pm 2i$  of  $f(z)$  is a root of some polynomial  $t_d(x)$  with  $d > 4$ .

**6.2. Deuring's class number formula.** Let

$$S^{(1)}(t, t_1) := h(t, t_1) \equiv 4(t_1 + 1)^4(t^5 - t_1) \pmod{5}$$

and

$$S^{(n)}(t, t_n) := \text{Resultant}_{t_{n-1}}(S^{(n-1)}(t, t_{n-1}), h(t_{n-1}, t_n)), \quad n \geq 2.$$

Then it follows by induction that

$$S^{(n)}(t, t_n) \equiv 4(t_n + 1)^{5^n - 1}(t^{5^n} - t_n) \pmod{5}, \quad n \geq 1.$$

Hence, the polynomial  $S_n(t) := S^{(n)}(t, t)$  satisfies the congruence

$$S_n(t) \equiv 4(t + 1)^{5^n - 1}(t^{5^n} - t) \pmod{5}. \quad (6.6)$$

It follows that

$$\deg(S_n(t)) = 2 \cdot 5^n - 1, \quad n \geq 1.$$

(See the Lemma on pp. 727-728 of Part I, [13].)

Let  $L(z) = \frac{-z+4}{z+1}$ . Then

$$L\left(x - \frac{1}{x}\right) = \frac{-x^2 + 4x + 1}{x^2 + x - 1} = T(x) - \frac{1}{T(x)},$$

and we have the identity

$$(x + 1)^5(y + 1)^5 h(L(x), L(y)) = 5^5 h(y, x). \quad (6.7)$$

Moreover,

$$L(z) + 1 = \frac{5}{z + 1}. \quad (6.8)$$

Using (6.6), (6.7) and (6.8), it follows by the same reasoning as in Section 2 that  $S_n(x)$  has distinct roots and that

$$\mathbf{Q}_n(x) = \prod_{k|n} S_k(x)^{\mu(n/k)} \quad (6.9)$$

is a polynomial. Furthermore, all of the roots of  $\mathbf{Q}_n(x)$  lie in  $\mathbf{K}_5$ . From Theorem 6.3 we see that the polynomial  $t_d(x)$  divides  $\mathbf{Q}_n(x)$  whenever the automorphism  $\tilde{\tau}_5$  has order  $n$ , and from Theorem 6.2, we see that these are the only irreducible factors of  $\mathbf{Q}_n(x)$  over  $\mathbb{Q}$ . This gives

**Theorem 6.5.** *For  $n > 1$ , the polynomial  $\mathbf{Q}_n(x)$  is given by the product*

$$\mathbf{Q}_n(x) = \pm \prod_{-d \in \mathfrak{D}_n^{(5)}} t_d(x),$$

where  $t_d(x)$  is defined by (6.5) and  $\mathfrak{D}_n^{(5)}$  is the set of negative quadratic discriminants  $-d$  with  $\left(\frac{-d}{5}\right) = +1$ , for which the automorphism  $\tilde{\tau}_{5,d} = \tilde{\tau}_5 = \left(\frac{\Omega_f/K}{\wp_5}\right)$  has order  $n$  in  $\text{Gal}(\Omega_f/K)$ , the Galois group of the ring class field  $\Omega_f$  over  $K = \mathbb{Q}(\sqrt{-d})$ .

For  $Q_1(x)$  we have the factorization

$$\begin{aligned} Q_1(x) &= -(x+1)(x^2+4)(x^2-x+3)(x^2-2x+2)(x^2+x+5) \\ &= -(x+1)t_4(x)t_{11}(x)t_{16}(x)t_{19}(x), \end{aligned}$$

where  $t_4(x)$  satisfies

$$x^2 t_4 \left( x - \frac{1}{x} \right) = (x^2 + 1)^2 = p_4(x)^2.$$

Since  $\deg(t_d(x)) = 2h(-d)$ , Theorem 6.3 shows that half of the roots of  $t_d(x)$  lie in the domain  $\tilde{D}_5$ , while the other roots  $\xi$  satisfy  $\xi \equiv -1 \pmod{5}$  in  $K_5$ , a fact which follows from (6.7) and (6.8). Also see eq. (32) in [14].

The fact that  $\deg(t_d(x)) = 2h(-d)$  now implies the following class number formula.

**Corollary 6.6.** *For  $n > 1$  we have*

$$\sum_{-d \in \mathfrak{D}_n^{(5)}} h(-d) = \sum_{k|n} \mu(n/k) 5^k.$$

This formula is equivalent to Deuring's formula for the prime  $p = 5$  from [5], [6], as in [16].

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