

HAHN–BANACH THEOREM IMPLIES RIESZ THEOREM

DANIEL CRESPIN

Presented by Leopoldo Nachbin

The following result is proved in almost all textbooks on Functional Analysis. See [2], [3] or [4]:

Riesz Theorem. *Let E be a normed space. If E is locally compact then it is finite dimensional.*

Proof: We present here what seems to be a new and more natural (than the usual one) proof. Use will be made of the Hahn–Banach Theorem.

Let $S^1 = \{v \in E \mid \|v\| = 1\}$ be the unit sphere in E and let \mathbf{H} be the family of all closed hyperplanes in E . A well known geometric corollary of Hahn–Banach Theorem states that $\bigcap \mathbf{H} = \{0\}$. Therefore $\mathbf{H}^1 = \{H \cap S^1 \mid H \in \mathbf{H}\}$ is a family of closed sets in S^1 with $\bigcap \mathbf{H}^1 = \emptyset$; the local compactness of E implies that S^1 is compact and therefore there are finitely many closed hyperplanes H_1, \dots, H_n such that $H_1 \cap \dots \cap H_n \cap S^1 = \emptyset$; this is equivalent to $H_1 \cap \dots \cap H_n = \{0\}$. But then, since hyperplanes have codimension one, E has dimension at most n . ■

More generally, it is well known that any locally compact Hausdorff topological vector space is finite dimensional ([1], Chap. I, §2, Thm. 3). Our proof generalizes but we need to assume local convexity.

Riesz Theorem (Locally convex case). *Let E be a Hausdorff locally convex space. If E is locally compact then E is finite dimensional.*

Proof: Note first that if U is a compact nbhd of 0 and F is a closed vector subspace of E such that $F \subseteq U$ then F is compact; but then any nbhd of 0 in F absorbs F and the nbhd has to be equal to F . Therefore F is indiscrete and because it is Hausdorff necessarily $F = \{0\}$. Hence $F \neq \{0\}$ implies $F \cap \text{int}(U) \neq \emptyset$ and $F \cap (E - U) \neq \emptyset$; but, since F is connected, $F \cap \partial U \neq \emptyset$. Let \mathbf{H} be as before.

By the locally convex version of the Hahn–Banach Theorem ([1], Chap. II, §3, Cor. 3), $\bigcap \mathbf{H} = \{0\}$. Therefore $\mathbf{H}^U = \{H \cap \partial U \mid H \in \mathbf{H}\}$ is a family of closed sets in ∂U with $\bigcap \mathbf{H}^U = \emptyset$. By the compactness of ∂U there exist H_1, \dots, H_n in \mathbf{H} such that $H_1 \cap \dots \cap H_n \cap \partial U = \emptyset$; therefore $H_1 \cap \dots \cap H_n = \{0\}$ and again E has dimension at most n . ■

The same proof applies replacing local convexity by the slightly more general hypothesis that the dual of E separates points, this being equivalent to $\bigcap \mathbf{H} = \{0\}$.

It would be nice to have a simple proof that a locally compact Hausdorff topological vector space is locally convex since that, taken with the present results, would provide a simple proof of the general case of Riesz Theorem.

REFERENCES

- [1] BOURBAKI, N. – *Espaces Vectoriels Topologiques*, Chaps I, II, Hermann, Paris, 1953.
- [2] COTLAR, M. and CIGNOLI, R. – *An Introduction to Functional Analysis*, North-Holland, Amsterdam, 1974.
- [3] HORVÁTH, J. – *Topological Vector Spaces and Distributions*, Vol. I, Addison-Wesley, Reading, Mass., 1966.
- [4] NACHBIN, L. – *Introduction to Functional Analysis: Banach Spaces and Differential Calculus*, Marcel Dekker, New York, 1981.

Daniel Crespín,
 Universidad Central de Venezuela, Escuela de Física y Matemáticas,
 Universidad Central de Venezuela, Caracas – VENEZUELA
 and
 Department of Mathematics, University of Rochester,
 Rochester, NY 14627 – USA