# GRAPHICAL METHODS FOR TANNAKA DUALITY OF WEAK BIALGEBRAS AND WEAK HOPF ALGEBRAS

## MICAH BLAKE MCCURDY

ABSTRACT. Tannaka duality describes the relationship between algebraic objects in a given category and functors into that category; an important case is that of Hopf algebras and their categories of representations; these have strong monoidal forgetful "fibre functors" to the category of vector spaces. We simultaneously generalize the theory of Tannaka duality in two ways: first, we replace Hopf algebras with *weak Hopf algebras* and strong monoidal functors with *separable Frobenius monoidal functors*; second, we replace the category of vector spaces with an arbitrary braided monoidal category. To accomplish this goal, we make use of a graphical notation for functors between monoidal categories, using string diagrams with *coloured regions*. Not only does this notation extend our capacity to give simple proofs of complicated calculations, it makes plain some of the connections between Frobenius monoidal or separable Frobenius monoidal functors and the topology of the axioms defining certain algebraic structures. Finally, having generalized Tannaka duality to an arbitrary base category, we briefly discuss the functoriality of the construction as this base is varied.

# 1. Introduction

Tannaka duality describes the relationship between algebraic objects in a given category and functors into that category; for an excellent introduction, see the survey of Joyal and Street [JS91]. On the one hand, given an algebraic object H in a monoidal category  $\mathcal{V}$ (for instance, a Hopf algebra in the category  $\operatorname{Vec}_k$  of vector spaces over a field k), one can consider the functor which takes the algebraic object to its category of representations,  $H - \operatorname{mod}$ , equipped with its canonical forgetful functor back to  $\mathcal{V}$ . This process is representation and it can be defined in a great variety of situations, with very mild assumptions on  $\mathcal{V}$ .

On the other hand, given a suitable functor  $F: A \longrightarrow \mathcal{V}$ , we can try to use the properties of F (which of course include those of A and  $\mathcal{V}$ ) to build an algebraic object in  $\mathcal{V}$ ; this is a generalization of what has been called *Tannaka reconstruction*. The classical paper

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of Tannaka [Tan38] describes the reconstruction of a compact group from its representations, and is the starting point for the theory which bears his name. Crucially, for a given algebraic object, the forgetful functor from its category of representations to  $\mathbf{Vec}_k$  is considered the starting point for the project of reconstruction—such functors are known as "fibre functors". Reconstruction of algebraic objects requires more stringent assumptions on  $\mathcal{V}$  and F—certainly  $\mathcal{V}$  must be braided; objects in the image of F must have duals; and  $\mathcal{V}$  must admit certain ends or coends which must cohere with the monoidal structure.

In this paper, we show that the theory of Tannaka duality can be extended to an adjunction between a suitable category of *separable Frobenius monoidal functors* into an arbitrary base category  $\mathcal{V}$  and a suitable category of *weak bialgebras* in  $\mathcal{V}$ . We describe the restriction of this adjunction to *weak Hopf algebras*; and we show that our constructions coincide with the existing theory of Tannaka duality where applicable. In a sequel [McC12] to the present paper, we will show that this theory can be refined to include various sorts of structured weak bialgebras and their correspondingly structured (generalized) fibre functors.

## 1.1. EXISTING WORK

Many people have devoted considerable effort to various treatments of Tannaka duality, at various levels of generality. Mostly, attention has been confined to fibre functors which are strong monoidal and which have codomain  $\mathcal{V} = \mathbf{Vec}_k$ . A landmark paper is that of Ulbrich [Ulb90], who showed that one can obtain a Hopf algebra from a strong monoidal functor  $A \longrightarrow \mathbf{Vec}_k$ , where A is an autonomous-but-not-necessarily-symmetric monoidal category. The case of not-necessarily-coherent strong monoidal functors into  $\mathbf{Vec}_k$  has been shown by Majid [Maj92] to result in a quasi-Hopf algebra in the sense of Drinfeld [Dri89] this was extended by Häring [HO97] to cover the case of not-necessarily-coherent weak monoidal functors into  $\mathbf{Vec}_k$ . The reader should note that the sense of "weak" Hopf algebra in [HO97] is slightly different from that of Böhm, Nill, and Szlachányi [BNS99] (whom we follow here); but the core idea is the same—namely, that "weak" Hopf algebras should be bialgebras in which the unit is not strictly grouplike. (See discussion after Definition 2.11).

The generalization of Tannaka duality to an arbitrary base category  $\mathcal{V}$  (instead of merely  $\operatorname{Vec}_k$ ) was done by Schauenburg [Sch92], followed slightly later by Majid [Maj93]. A more abstract approach to the Tannaka construction, still using strong monoidal fibre functors, was initiated by Day [Day96], who considered the case of  $\mathcal{V}$  a suitable enriched category. This abstract line of thinking was extended by McCrudden in [McC00] and [McC02] and more recently by Schäppi [Sch09].

However, for our purposes, the most closely related work is that of Szlachányi [Szl05], who discusses separable Frobenius monoidal functors into  $\mathcal{V} = \mathbf{mod}_R$ , for R a commutative ring. On the one hand, our work is slightly more general in certain aspects—for instance, we work with braided  $\mathcal{V}$ , whereas  $\mathbf{mod}_R$  is symmetric. However, the treatment in [Szl05] is much more sophisticated than ours, encompassing the more general notion of algebroids as well as tackling the Krein recognition problem, which we do not discuss.

Finally, Pfeiffer [Pfe09] has shown that every *modular* category admits a generalized fibre functor into the field of endomorphisms of its tensor unit; this functor is separable Frobenius monoidal and he shows that the Tannaka construction makes it into a weak Hopf algebra of a particular type.

## 1.2. Structure

In Section 2, we rehearse the basic algebraic notions of bialgebras, weak bialgebras, Hopf algebras, and weak Hopf algebras, together with the string diagrams which will be used throughout. In Section 3, we introduce the graphical language we shall use for functors between monoidal categories which will be the key technical tool for all of our proofs. In Section 4, we define Tannaka reconstruction for separable Frobenius monoidal functors into a monoidal category  $\mathcal{V}$ , obtaining weak bialgebras and weak Hopf algebras in  $\mathcal{V}$ . In Section 5, we recall the representation theory of weak bialgebras and weak Hopf algebras. In Section 6, we show that these constructions form an adjunction where the reconstruction of algebras in  $\mathcal{V}$  is left adjoint to the reconstruction of functors into  $\mathcal{V}$ . Finally, in Section 7, we consider varying the base category,  $\mathcal{V}$ , through a suitable 2-category of braided monoidal categories.

# 2. Graphical Notation for Algebraic Objects

We make extensive use of the now-standard string diagram calculus for depicting morphisms in monoidal categories. Our convention is to depict composition from left-to-right and to depict the tensor product from top-to-bottom; so for instance we depict a composite  $a \otimes b \xrightarrow{f} c \xrightarrow{g} e \otimes d$  as:



## 2.1. BASIC NOTIONS

We recall the notions of *weak bialgebra* and *weak Hopf algebra*, to fix notation.

2.2. DEFINITION. [Algebras] An algebra or monoid H in a monoidal category  $\mathcal{V}$  is an object H equipped with a unit  $\eta: \top \longrightarrow H$  and a multiplication  $\mu: H \otimes H \longrightarrow H$ , which

must be associative and unital:



2.3. DEFINITION. [Coalgebras] Dually, a coalgebra or comonoid C is an object C of  $\mathcal{V}$  equipped with a counit  $\epsilon: C \longrightarrow \top$  and a comultiplication  $\Delta: C \longrightarrow C \otimes C$  and which must be coassociative and counital:



2.4. DEFINITION. [Convolution] If  $(A, \mu, \eta)$  is an algebra in a monoidal category  $\mathcal{V}$ , and  $(C, \Delta, \epsilon)$  a coalgebra, then the set of arrows  $\mathcal{V}(A, C)$  bears a canonical monoid structure, known as convolution, defined by:

$$f \star g = \mu(f \otimes g)\Delta$$

The neutral element for  $\star$  is given by  $\eta \epsilon$ .

We can consider an object H which is both an algebra and a coalgebra at once, and we can ask these two structures to cohere in various different ways. For the moment we consider four such ways:

2.4.1. FROBENIUS ALGEBRAS

2.5. DEFINITION. [Frobenius Algebras] An object H equipped with both an algebra and a coalgebra structure is said to be a Frobenius algebra if it satisfies:

$$(H \otimes \mu)(\Delta \otimes H) = \Delta \mu = (\mu \otimes H)(H \otimes \Delta)$$

That is:



A Frobenius algebra for which  $\mu \Delta = H$  is said to be separable:



Note that the separability equation is precisely the assertion that the identity  $H: H \longrightarrow H$  is a convolution idempotent  $H \star H = H$ .

2.5.1. BIALGEBRAS

2.6. DEFINITION. [The Barbell] Suppose that H is an object in a monoidal category, equipped with an algebra structure  $(\mu, \eta)$  and a coalgebra structure  $(\Delta, \epsilon)$ . We call the composite  $\epsilon\eta$  the barbell, because of its depiction:  $\circ$ — $\circ$ 

2.7. DEFINITION. An object in a braided category bearing an algebra and coalgebra structure is said to be a bialgebra if it satisfies the following four axioms:

The Barbell Axiom:  $\longrightarrow$  = (1)

The (Strong) Unit Axiom:  $\sim =$  (2)

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The (Strong) Counit Axiom:  $\__{\circ} = \__{\circ}$  (3)

The Bialgebra Axiom: 
$$(4)$$

Note that the empty space on the right-hand side of the Barbell axiom depicts the identity on the tensor unit  $\top: \top \longrightarrow \top$ .

2.8. DEFINITION. Let H and J be bialgebras in a braided monoidal category  $\mathcal{V}$ . Define a (non-weak) morphism of bialgebras from H to J to be an arrow from H to J which commutes strictly with the multiplication, unit, comultiplication, and counit. In this way we obtain a category of bialgebras in  $\mathcal{V}$  which we denote **ba**  $\mathcal{V}$ .

## 2.8.1. Weak Bialgebras

To move from a non-weak bialgebra to a weak bialgebra, we retain only the Bialgebra Axiom, replacing the other three axioms with weaker versions.

2.9. DEFINITION. [Weak Bialgebras] An object in a braided category bearing an algebra

and coalgebra structure is said to be a weak bialgebra if it satisfies:



Note that the braiding which occurs in the Weak Unit and Weak Counit Axioms is the inverse of the one which appears in the Bialgebra Axiom.

The notion of weak bialgebra was introduced by Böhm, Nill, and Szlachíyi [BNS99], but see also the treatment of Pastro and Street [PS09]. We defer discussion of morphisms of weak bialgebra until Section 5.1, but we permit ourselves a brief discussion of the (perhaps unfamiliar) unit and counit conditions for bialgebras and weak bialgebras. First, we recall some definitions:

2.10. DEFINITION. An element  $c: \top \longrightarrow H$  of a bialgebra or weak bialgebra is said to be grouplike if  $\Delta c = c \otimes c$ . Graphically, this condition is:



The monoidal unit  $\top$  bears a canonical (trivial) algebra structure, as well as a trivial coalgebra structure. Furthermore, since  $\mathcal{V}$  is braided, every tensor power of an algebra in  $\mathcal{V}$  bears a canonical induced algebra structure; similarly, tensor powers of coalgebras are naturally also coalgebras. Thus, we can make sense of the convolution of two elements of  $H \otimes H$ , as in the following:

2.11. DEFINITION. An element  $c: \top \longrightarrow H$  of a bialgebra or weak bialgebra H is said to be almost grouplike if  $\Delta c = (\Delta \eta) \star (c \otimes c) = (c \otimes c) \star (\Delta \eta)$ . Graphically:



In a bialgebra, where the unit itself is grouplike by definition, the two notions coincide. In a weak bialgebra, it is always true that grouplike elements are almost grouplike, as an easy lemma shows, but the converse is not always true. Intuitively, we think of almost

grouplike elements in a weak bialgebra as those elements which are "as grouplike as the unit is".

We can discuss the unit axioms for weak and non-weak bialgebras in terms of convolutions. As an algebra,  $H \otimes H$  has two distinguished elements, namely,  $\eta \otimes \eta$  and  $\Delta \eta$ . In a non-weak bialgebra, we demand that these two be equal, but we resist making this demand for a weak bialgebra. If H is a weak bialgebra, then there are four distinguished elements of  $H \otimes H \otimes H$ , namely:

$$\eta\otimes\eta\otimes\eta$$
  $\Delta\eta\otimes\eta$   $\eta\otimes\Delta\eta$   $\eta\otimes\Delta\eta$   $\Delta_3\eta$ 

where  $\Delta_3$  is the common value of  $(\Delta \otimes H)\Delta = (H \otimes \Delta)\Delta$ . Insisting that these four distinguished elements should be equal is much too strong, instead, the weak unit axioms (Equation 5) amount to the following:

$$(\Delta \eta \otimes \eta) \star (\eta \otimes \Delta \eta) = \Delta_3 \eta = (\eta \otimes \Delta \eta) \star (\Delta \eta \otimes \eta)$$

Similarly, the weak counit axioms (Equation 6) can be given as:

$$(\epsilon\mu\otimes\epsilon)\star(\epsilon\otimes\epsilon\mu)=\epsilon\mu_3=(\epsilon\otimes\epsilon\mu)\star(\epsilon\mu\otimes\epsilon)$$

Written in this form, as in the graphical form, the duality between the weak unit and weak counit axioms is apparent. In Sweedler's notation for weak bialgebras in  $\mathbf{Vec}_k$  (where we adopt the conventional  $\eta = 1$ ), these identities appear as  $1_1 \otimes 1_2 1_{1'} \otimes 1_{2'} = 1_1 \otimes 1_2 \otimes 1_3 = 1_1 \otimes 1_{1'} 1_2 \otimes 1_{2'}$  and  $\epsilon(ab_1)\epsilon(b_2c) = \epsilon(abc) = \epsilon(ab_2)\epsilon(b_1c)$ , and the duality is obfuscated.

2.12. The Canonical Idempotents on a Weak Bialgebra

2.13. DEFINITION. There are four canonical idempotents on a weak bialgebra, namely:



Checking that they are idempotents is an exercise in applying the weak unit and weak counit axioms.

2.14. DEFINITION. Let C be a category. The idempotent-splitting completion or Cauchy completion or Karoubi envelope of C is written as KC. It is defined as having objects pairs (A, a), where  $a: A \longrightarrow A$  is an idempotent in C, and morphisms  $f: (A, a) \longrightarrow (B, b)$ , where  $f: A \longrightarrow B$  is a morphism in C such that bfa = f. Note that the identity on (A, a) is the morphism  $a: A \longrightarrow A$ , not the identity on A.

2.15. PROPOSITION. Let H be a weak bialgebra in a monoidal category  $\mathcal{V}$ . As objects in  $K\mathcal{V}$ , all four canonical idempotents on H are isomorphic.

**PROOF.** The four maps:

$$(H,s) \xrightarrow{t} (H,t) \xrightarrow{t} (H,z) \xrightarrow{r} (H,r) \xrightarrow{r} (H,s)$$

are isomorphisms in  $K\mathcal{V}$  with inverses

$$(H,s) \xleftarrow{s} (H,t) \xleftarrow{z} (H,z) \xleftarrow{z} (H,r) \xleftarrow{s} (H,s)$$

which may be readily checked by the reader.

2.16. HOPF NOTIONS

2.17. DEFINITION. [Hopf Algebras] A Hopf algebra is a bialgebra, H, equipped with an antipode  $S: H \longrightarrow H$  which is a convolution inverse to the identity; that is, such that:

$$H \longrightarrow H = H \longrightarrow 0 \quad O \longrightarrow H = H \longrightarrow H \quad (8)$$

2.18. DEFINITION. Given two Hopf algebras H and J in a monoidal category  $\mathcal{V}$ , we define a morphism of Hopf algebras from H to J to be merely a morphism of their underlying bialgebras; it can be shown that such morphisms necessarily commute with the antipodes of H and J. We obtain in this way a category  $\mathbf{ha} \mathcal{V}$  of Hopf algebras in  $\mathcal{V}$ .

2.19. DEFINITION. [Weak Hopf Algebras] A weak Hopf algebra is a weak bialgebra, H, with an antipode  $S: H \longrightarrow H$ , satisfying instead:

$$S \star H = r \qquad S \star H \star S = S \qquad H \star S = t \tag{9}$$

where r and t are the canonical idempotents mentioned above; graphically:



Note that either of  $S \star H = r$  or  $H \star S = t$  can be combined with the Bialgebra Axiom (Equation 7) to give  $H \star S \star H = H$ , and so an antipode on a weak Hopf algebra can be thought of as a well-behaved weak convolution inverse to the identity in the sense of semigroups.

For emphasis, we will sometimes describe Hopf algebras as "non-weak" Hopf algebras. We defer discussion of morphisms between weak Hopf algebras until Section 5.1.

## 3. Graphical Notation for Functors

We introduce depictions for monoidal and comonoidal structures on functors between monoidal categories. The original notion for graphically depicting monoidal functors as transparent boxes in string diagrams is due to Cockett and Seely [CS99], and has recently been revived and popularized by Melliès [Mel06] with prettier graphics and an excellent pair of example calculations which nicely show the worth of the notation. However, a small alteration improves the notation considerably. For a monoidal structure on a functor  $f: A \longrightarrow B$ , we have a natural family of maps:  $\varphi: fx \otimes fy \longrightarrow f(x \otimes y)$  and a map  $\varphi_0: \top \longrightarrow f \top$ , which we notate as follows:



Similarly, for a comonoidal structure on f, we have maps  $\psi: f(x \otimes y) \longrightarrow fx \otimes fy$  and  $\psi_0: f \top \longrightarrow \top$  which we notate in the obvious dual way, as follows:



Note that the functor symbol "f" does *not* appear in the wire labels; after all, its red color identifies it. Furthermore, the tensor unit  $\top$  is suppressed, as usual. Finally, notice that the naturality of the binary monoidal or comonoidal structure is made obvious by the depiction of the wires labelled "x" or "y" passing unperturbed from left to right.

The structural maps for a monoidal functor are required to be associative:



where, once again, the corresponding constraints for a comonoidal functor are exactly the above with composition read right-to-left instead of left-to-right. Note that flipping these axioms vertically (that is, taking  $\otimes = \otimes^{\text{rev}}$ ) leaves them unchanged.

The above axioms seem to indicate some sort of "invariance under continuous deformation of functor-regions". For a functor which is both monoidal and comonoidal, pursuing this line of thinking leads one to consider the following pair of axioms:



**3.1.** DEFINITION. [Definition 1 of Day and Pastro [DP08]; see also Definition 6.4 of Egger [Egg08]] A functor between monoidal categories bearing a monoidal structure and a comonoidal structure, satisfying Equations 10, is said to be Frobenius monoidal.

Note that the unadorned "Frobenius" has already been used in [CMZ97] to mean a functor possessing coinciding left and right adjoints; we will have no use of this notion.

The conditions in Equation 10 are the degenerate ( $\otimes = \oplus$ ) case of the conditions for *linear functors* between linearly distributive functors, as discussed by Cockett and Seely in [CS99]. An extremely interesting project, not discussed here, is the extension of Tannaka duality to the linear setting.

Frobenius monoidal functors are so-named because Frobenius monoidal functors from the terminal monoidal category into a category C are in bijection with Frobenius algebras in C. Furthermore, they sport two additional pleasant properties:

- Every strong monoidal functor is Frobenius monoidal (Proposition 3 of [DP08]);
- Every Frobenius monoidal functor preserves duals (Theorem 2 of [DP08]; this is a special case of Corollary A.14 of [CS99]).

For the moment, let us examine the gap between Frobenius monoidal and strong monoidal functors. To demand that a Frobenius monoidal functor be strong is to demand the following four conditions:



where the blank right-hand-side of the bottom equation denotes the identity on the tensor unit. Following the above intuition of "continuous deformation of f-region", we see that each condition here fails this intuition. Equations 12, 13, and 14 each posit an equality between two different numbers of "connected components of f-regions". Equation 11 avoids this fault but instead posits an equality between a "simply connected f-region" and a non-simply connected such region—hence, even at this qualitative topological level, we see that this condition is unlike the others. Thus, we define:

# **3.2.** DEFINITION. [Definition 6.1 of [Szl05]] A Frobenius monoidal functor is separable just when it satisfies Equation 11.

The original motivation for the word "separable" comes from the fact that separable Frobenius monoidal functors  $1 \longrightarrow C$  correspond to separable Frobenius algebras in C in the classical sense. The precise connection between the topology of the functor regions in our depictions and their algebraic properties is spelled out in [MS10].

The category of monoidal categories and Frobenius monoidal functors between them we denote by **fmon**; the lluf subcategory of separable Frobenius monoidal functors by **sfmon**, and the further lluf subcategory of *strong* monoidal functors by **strmon**. We shall have no need of strict monoidal functors.

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# 4. Reconstruction of Algebraic Objects

4.1. DEFINITION. [Categories admitting reconstruction] Let  $F: A \longrightarrow \mathcal{V}$  be a functor, where  $\mathcal{V}$  is a braided monoidal category and A is any category, not-necessarily monoidal. We say that  $\mathcal{V}$  admits reconstruction for F if:

• For every  $a \in A$ , there is a left dual (Fa) for Fa in  $\mathcal{V}$ .

• The end 
$$\tan F = \int_{a \in A} Fa \otimes {}^*(Fa)$$
 exists in  $\mathcal{V}$ .

• Tensoring with tan F preserves limits.

We call objects of the form  $\tan F$  "Tannaka objects" or "reconstruction objects".

The reader should be warned that many treatments of Tannaka duality consider coends instead of ends.

In this section, we shall prove the following:

4.2. THEOREM. Let  $F: A \longrightarrow \mathcal{V}$  be a separable Frobenius monoidal functor, and suppose that  $\mathcal{V}$  admits reconstruction for F. Then  $\tan F$  bears the structure of a weak bialgebra. Moreover, if A is autonomous, then  $\tan F$  bears the structure of a weak Hopf algebra.

In a sequel [McC12] to this paper, we shall give three refinements of this theorem; namely:

- If A is braided, then  $\tan F$  is a braided or quasitriangular weak bialgebra in  $\mathcal{V}$ , generalizing the notion of quasitriangular bialgebra [Dri87].
- If A and  $\mathcal{V}$  are both tortile categories, then  $\tan F$  is a ribbon weak bialgebra in  $\mathcal{V}$ , generalizing the notion of ribbon bialgebra [RT90].
- If A is a cyclic category in the sense of [EM12] (that is, having isomorphic left and right duals), then tan F is a cyclic weak bialgebra. This last generalizes the existing notion of sovereign bialgebra introduced in [Bic01].

4.3. PROPOSITION. The object  $\tan F$  acts universally on the functor F, with action  $\alpha$ :  $\tan F \otimes F \longrightarrow F$  is defined to have components:

$$\tan F \otimes Fx = \left(\int_{a \in A} Fa \otimes (Fa)\right) \otimes Fx \xrightarrow{\pi_x \otimes Fx} Fx \otimes (Fx) \otimes Fx \xrightarrow{Fx \otimes \epsilon_x} Fx \otimes \top \xrightarrow{\simeq} Fx$$

using the x'th projection from the end followed by the counit of the  $(Fx) \dashv Fx$  adjunction. By "universality" here, we mean that composition with  $\alpha$  mediates a bijection between maps  $X \longrightarrow \tan F$  in  $\mathcal{V}$  and natural transformations  $X \otimes F \longrightarrow F$ , which may be readily verified.

Dually, there is a canonical coaction  $\alpha' \colon F \longrightarrow F \otimes \cot F$ ; see page 254 of Ulbrich [Ulb90].

The dinaturality of the end in a gives rise to the naturality of the above defined action, which we notate as:



Given a functor  $F: A \longrightarrow \mathcal{V}$ , we write  $F_n$  for the obvious functor  $A^n \longrightarrow \mathcal{V}$  whose action on objects is given by  $(a_1, a_2, \ldots, a_n) \mapsto Fa_1 \otimes Fa_2 \otimes \cdots \otimes Fa_n$ . If  $\mathcal{V}$  admits reconstruction for F, then it also admits reconstruction for  $F_n$ , since objects in the image of F have duals and are therefore tensoring with such objects preserves ends. From the action  $\alpha$ :  $\tan F \otimes F \longrightarrow F$ , we can obtain actions of  $(\tan F)^{\otimes n}$  on  $F_n$ , written  $\alpha^n$ . Taking  $\alpha^1 = \alpha$ , we define  $\alpha^n$  recursively as follows:



4.4. PROPOSITION. For each  $n \in \mathbb{N}$ , the map  $\alpha^n \colon (\tan F)^{\otimes n} \otimes F_n \longrightarrow F_n$  exhibits  $(\tan F)^{\otimes n}$  as  $\tan F_n$ .

PROOF. Since tensoring with  $\tan F$  preserves ends, the proposition follows easily from the case n = 1 above.

4.5. DEFINITION. [Discharged forms] For any map  $f: X \longrightarrow (\tan F)^{\otimes n}$  in  $\mathcal{V}$ , we call the map

$$X \otimes F_n \xrightarrow{f \otimes F_n} (\tan F)^{\otimes n} \otimes F_n \xrightarrow{\alpha^n} F_n$$

the discharged form of f. From the above proposition, two maps are equal if and only if they have the same discharged form.

We will use this property to define algebraic structures on  $\tan F$ , as well as to verify all of the axioms of those algebraic structures.

4.6. Definition of the Structure

4.6.1. Algebra Structure

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4.7. PROPOSITION. Let  $F: A \longrightarrow \mathcal{V}$  be a functor for which  $\mathcal{V}$  admits reconstruction. Then  $\tan F$  is an algebra, with multiplication defined as having discharged form:



and unit having discharged form:



Note that this monoidal structure is associative and unital, without assuming that A is monoidal.

# 4.7.1. COALGEBRA STRUCTURE

4.8. PROPOSITION. Suppose that  $F: A \longrightarrow \mathcal{V}$  is a monoidal and comonoidal functor for which  $\mathcal{V}$  admits reconstruction. Then, without assuming any coherence between the monoidal and comonoidal structures on F, we can use Proposition 4.4 to define a coassociative comultiplication on tan F as having discharged form:



As well as a counit for  $\tan F$ :



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Verification of the coalgebra axioms is (graphically) routine and we do not include them here.

4.9. COROLLARY. These definitions imply that the discharged form of the iterated comultiplication  $\tan F \longrightarrow (\tan F)^{\otimes n}$  is obtained as:

 $\tan F \otimes Fx_1 \otimes \cdots \otimes Fx_n \xrightarrow{\tan F \otimes \varphi} \tan F \otimes F(x_1 \otimes \cdots \otimes x_n) \xrightarrow{\alpha} F(x_1 \otimes \cdots \otimes x_n) \xrightarrow{\psi} Fx_1 \otimes \cdots \otimes Fx_n$ 4.9.1. HOPF ALGEBRA STRUCTURE

4.10. PROPOSITION. Let  $F: A \longrightarrow \mathcal{V}$  be a separable Frobenius monoidal functor for which  $\mathcal{V}$  admits reconstruction, and suppose that A has left duals. Then there is a map  $S: \tan F \longrightarrow \tan F$  which we think of as a candidate for an antipode, defined with discharged form:



Notice in particular how the monoidal and comonoidal structures on F permit one to consider the application of F as not merely "boxes" but more like a flexible sheath. As motivation for this graphical notation, compare a more traditionally rendered definition of S; as the unique map satisfying:



Among other things, for S to be well-defined in this way we must show that the long lower composite is natural in x; when rendered graphically, this is immediate, even though a careful proof of this fact requires the naturality of  $\alpha$ , the naturality of the binary monoidal and comonoidal structure maps, the dinaturality of the unit and counit maps in A, and the naturality of the braid.

Different treatments disagree about whether or not is necessary for the antipode  $S: H \longrightarrow H$  of a Hopf or weak Hopf algebra to be composition invertible. The above definition seems *not* to be invertible, in general. However, if, in addition to left duals, the category A also has *right* duals, then one can define an analogous map  $S^{-1}: H \longrightarrow H$ , using a "Z-bend" instead of an "S-bend" in the functor region; which the reader may verify is an inverse to S.

# 4.11. VERIFICATION OF AXIOMS

Having defined all the various structural maps, we now see how they fit together to make bialgebras, weak bialgebras, Hopf algebras, and weak Hopf algebras; establishing the theorem promised at the beginning of the section.

4.12. THEOREM. Let  $F: A \longrightarrow \mathcal{V}$  be a separable Frobenius monoidal functor for which  $\mathcal{V}$  admits reconstruction. Then, with algebra structure defined by Equations 15 and 16 and coalgebra structure defined by Equations 17 and 18, tan F is a weak bialgebra.

**PROOF.** First, we verify the Bialgebra Axiom (Equation 7) by the following computations:



Comparing these shows that it suffices to know  $F(x \otimes y) \xrightarrow{\psi} Fx \otimes Fy \xrightarrow{\varphi} F(x \otimes y)$ should be the identity; this is separability of F.

Second, we verify the Weak Unit Axioms (Equations 5). In discharged form, the first unit expression is calculated as:



The calculations in Figure 1 show that the second and third unit expressions have the following discharged forms:



For these unit axioms, we see that it suffices to assume that F is Frobenius monoidal.

Finally, we verify the Weak Counit Axioms (Equations 6). The discharged form of the first of these is easily calculated:



The discharged forms of the second and third counit expression are computed in Figure 2; they are equal, as desired. Examining this figure shows that the counit axioms follow merely from F being both monoidal and comonoidal, without requiring F to be Frobenius monoidal or separable. This completes the proof.

This asymmetry between the verifications of the Weak Unit and the Weak Counit Axioms results from defining  $\tan F$  via ends, had we instead used coends, the situation would be reversed.

4.13. COROLLARY. Separable Frobenius monoidal functors of the form  $F: 1 \longrightarrow \mathcal{V}$  are in bijection with separable Frobenius algebras m in  $\mathcal{V}$ . Moreover,  $\mathcal{V}$  admits reconstruction for such functors precisely when the underlying objects of their corresponding algebras have left duals. In this case, the definitions of the weak Hopf algebra structure on  $\tan F = m \otimes *m$ are exactly those found in Section 5 of Pastro and Street [PS09]; see also Appendix A of Böhm, Nill, and Szlachányi [BNS99] for the same in the case where  $\mathcal{V} = \mathbf{Vec}_k$ .



Figure 1: Weak unit calculations. In both columns of calculations, the equalities hold by: definition of the multiplication of  $\tan F$ ; braid axioms; the definition of the comultiplication of  $\tan F$ ; and, finally, the definition of the unit of  $\tan F$ .



Figure 2: Weak counit calculations

4.14. COROLLARY. Let  $F: A \longrightarrow \mathcal{V}$  be a separable Frobenius monoidal functor of reconstruction type. If F is moreover strong monoidal, then the weak bialgebra  $\tan F$  constructed in Theorem 4.12 is, in fact, a (non-weak) bialgebra.

**PROOF.** As shown by Böhm, Nill, and Szlachányi ([BNS99], page 5), to show that a weak bialgebra is a bialgebra, it suffices to show that the Barbell is trivial (Equation 1) and *either* the Strong Unit Axiom (Equation 2) or the Strong Counit Axiom (Equation 3) holds.

We compute that the barbell of  $\tan F$  is:



That is, the barbell is the composite  $\top \xrightarrow{\varphi_0} F \top \xrightarrow{\psi_0} \top$ , which is the identity when F is strong.

We choose to establish the Strong Counit Axiom (Equation 3), using the following two calculations:



and we see that for these two to be equal, it suffices to have  $F \top \xrightarrow{\psi_0} \top \xrightarrow{\varphi_0} F \top$  be the identity; which is the case if F is strong.

It is equally easy (albeit longer) to verify the bialgebra axioms (Equations 1, 2, 3, and 4) directly.

#### MICAH BLAKE MCCURDY

### 4.14.1. HOPF ALGEBRAS AND WEAK HOPF ALGEBRAS

4.15. THEOREM. Let  $F: A \longrightarrow \mathcal{V}$  be a separable Frobenius monoidal functor of reconstruction type, and let  $\tan F$  be the weak bialgebra constructed as in Theorem 4.12. If A has left duals, then the definition of S in Equation 19 equips the weak bialgebra  $\tan F$  with a weak Hopf algebra structure.

PROOF. From Theorem 4.12, we know that  $\tan F$  is a weak bialgebra; we must simply verify the three Weak Antipode Axioms (Equations 9). The pair of calculations in Figure 3 compute the discharged forms of  $S \star \tan F$  and  $\tan F \star S$ ; and the discharged forms of the idempotents r and t are computed in Figure 4. Comparing the two figures shows  $S \star \tan F = r$  and  $\tan F \star S = t$  as desired.

Finally, we must show that  $S \star \tan F \star S = S$ ; this is shown in Figures 5 and 6.

4.16. COROLLARY. Let  $F: A \longrightarrow \mathcal{V}$  be a separable Frobenius monoidal functor of reconstruction type, and suppose that A has left duals. If F is moreover strong monoidal, then the weak Hopf algebra tan F constructed in Theorem 4.15 is a (non-weak) Hopf algebra.

**PROOF.** From Corollary 4, we know that  $\tan F$  is a bialgebra when F is strong monoidal. Therefore, the canonical idempotents r and t which appear in the weak antipode axioms are both equal to the convolution identity,  $\eta \epsilon$ , and thus the weak antipode axioms (Equations 9) degenerate into the non-weak antipode axioms (Equations 8).

# 5. Reconstruction of Fibre Functors

Having discussed the process of obtaining algebras in  $\mathcal{V}$  from functors into  $\mathcal{V}$ , we turn to the process of obtaining such functors from such algebras. Here we recall the theory of the representations of a weak bialgebra, adapted slightly to our purposes from Nill [Nil99], Böhm and Szlachanyi [BS00], and Pastro and Street [PS09].

We now suppose that our base category  $\mathcal{V}$  has given splittings for idempotents; that is, an equivalence  $K\mathcal{V} \simeq \mathcal{V}$ . Let a weak bialgebra H in  $\mathcal{V}$  be given. We consider the category of left H-modules, which we write as  $H - \mathbf{mod}$ ; its objects are pairs  $(a, \alpha)$ , where a is an object of  $\mathcal{V}$  and  $\alpha \colon H \otimes a \longrightarrow a$  is a unital, associative action of H on a. Its morphisms  $f \colon (a, \alpha) \longrightarrow (b, \beta)$  are merely morphisms  $f \colon a \longrightarrow b$  in  $\mathcal{V}$  which respect  $\alpha$  and  $\beta$  in the obvious way. Certainly this is a perfectly good category and the obvious mapping  $(a, \alpha) \mapsto a$  describes (the object-part of) a perfectly good functor  $U_H \colon H - \mathbf{mod} \longrightarrow \mathcal{V}$ . It is an obvious idea to give  $H - \mathbf{mod}$  a monoidal product by defining:





Figure 3: Calculations of  $S\star \tan F$  and  $\tan F\star S$ 



Figure 4: "Source" and "Target" maps



Figure 5: The calculation showing  $S \star \tan F \star S = S$  (part 1 of 2). The equalities hold by: definition of the multiplication on  $\tan F$ ; the definition of the antipode on  $\tan F$ ; a slew of naturalities and braid axioms; and, finally, the definition of the comultiplication.



Figure 6: The calculation showing  $S \star \tan F \star S = S$  (part 2 of 2). The equalities hold by: two instances of separability of F and one each of F being monoidal and comonoidal; naturality of  $\alpha$ ; a triangle identity in A; and, finally, the definition of the antipode of  $\tan F$ .

This action is associative but fails to be unital. To prove that it unital, we would have to show that



Since  $\sim = \overset{\sim}{\underset{\sim}{\longrightarrow}}$  does *not* necessarily hold in a weak bialgebra, this last equality generally does not hold. However, the left-hand-side of the above is nevertheless an idempotent on  $a \otimes b$ , as an easy calculation shows. We write this idempotent as  $\nabla_{a,b}$ , abbreviating it to  $\nabla$  when context permits.

We define a new category of modules for H, which we write as  $H - \mathbf{mod}_K$ . The objects of  $H - \mathbf{mod}_K$  are triples  $(a, \alpha \colon H \otimes a \longrightarrow a, a' \colon a \longrightarrow a)$ , where a is an object of  $\mathcal{V}$ , where a' is an idempotent on a, and where  $\alpha$  is an action which is associative and "unital-upto-a'"; that is, we insist on  $\alpha(\eta \otimes a) = a'$ . This of course means that a' is redundant; it can be obtained from  $\alpha$  and the unit of H. Moreover, it can be readily deduced that a'obtained in this way must necessarily be idempotent and satisfy  $\alpha(H \otimes a') = \alpha = a'\alpha$ .

Now, we can define a monoidal product on  $H - \mathbf{mod}_K$  by:

$$\left(a, a, a'\right) \otimes_{H} \left(b, a, b'\right) = \left(a \otimes b, a \otimes b, a' \otimes b'\right) = \left(a \otimes b, a' \otimes b' \otimes_{h} b' \otimes_{$$

It may seem surprising to note that a' and b' do not feature on the right-hand side of this definition; however, since a' satsfies  $\alpha(H \otimes a') = \alpha = a'\alpha$  (and similarly for b'), this is not so strange.

It is routine to verify that the equivalence  $K\mathcal{V} \simeq \mathcal{V}$  lifts to an equivalence  $H - \mathbf{mod}_K \simeq H - \mathbf{mod}$ , but we shall nevertheless continue to work in  $K\mathcal{V}$  and  $H - \mathbf{mod}_K$  for clarity.

The unit  $\top_H$  for the above monoidal structure is obtained using the canonical idempotent t defined in Section 2.12, namely:



This choice is arbitrary and unimportant, since, as we have remarked above in Proposition 2.15, all four idempotents are isomorphic. However, the precise form of the nullary monoidal constraint isomorphisms will depend on this choice; here, they are:



We omit the (routine) verifications that these are well-defined as maps of actions and maps of idempotents.

With these definitions,  $U_H: H - \mathbf{mod}_K \longrightarrow K\mathcal{V}$  inherits a separable Frobenius monoidal structure, with both binary structure maps given by  $\nabla$  and nullary structure maps given by:

$$(\top,\top) \xrightarrow{\eta} (H,t) = U_H \top_H \qquad \qquad U_H \top_H = (H,t) \xrightarrow{\epsilon} (\top,\top)$$

Verifying the various axioms is routine.

## 5.0.1. Representations of Weak Hopf Algebras

If our weak bialgebra  $H \in \mathcal{V}$  is known to be a weak *Hopf* algebra, then its category of representations  $H - \mathbf{mod}$  is "as autonomous as  $\mathcal{V}$  is"; that is, if an object a has a dual in  $\mathcal{V}$ , every representation  $(a, \alpha \colon H \otimes a \longrightarrow a)$  of H has a dual in  $H - \mathbf{mod}$ . For details, see Section 4 of Pastro and Street [PS09], although note that the treatment there uses co-representations instead of representations. In particular, if  $\mathcal{V}$  is autonomous, then  $H - \mathbf{mod}_K$  is also autonomous.

## 5.1. Extension of Representation to Morphisms

Given a separable Frobenius monoidal functor  $F: A \longrightarrow \mathcal{V}$  for which  $\mathcal{V}$  admits reconstruction, we have described in Section 4 a method for obtaining a weak bialgebra tan F in  $\mathcal{V}$ . Similarly, given a weak bialgebra H in a braided category  $\mathcal{V}$ , the construction in Section 5 produces a separable Frobenius monoidal functor  $U: H - \text{mod} \longrightarrow \mathcal{V}$ . Of course, we would like to construe these constructions as the object parts of functors; this will require defining a suitable category of functors into  $\mathcal{V}$  and a suitable category of weak bialgebras in  $\mathcal{V}$ . 5.2. DEFINITION. Fix a braided monoidal category  $\mathcal{V}$ . Denote by sfmon  $\not / \mathcal{V}$  the category whose objects are those separable Frobenius monoidal functors into  $\mathcal{V}$  for which  $\mathcal{V}$  admits reconstruction. If  $F: A \longrightarrow \mathcal{V}$  to  $G: C \longrightarrow \mathcal{V}$  are two such functors, then a morphism  $H: F \longrightarrow G$  in sfmon  $\not / \mathcal{V}$  is a separable Frobenius monoidal functor  $H: A \longrightarrow C$  for which GH = F. Note that we do not assume that C admits reconstruction for H.

Another way to view this category is as the full subcategory of the slice category **sfmon**/ $\mathcal{V}$  determined by the morphisms for which  $\mathcal{V}$  admits reconstruction; we use the "modified slash" notation to emphasize that **sfmon**  $\not \mathcal{V}$  is *not* itself a slice category.

5.3. DEFINITION. Fix  $\mathcal{V}$  as in the above definition. We denote by sfmon<sup>\*</sup> /  $\mathcal{V}$  the subcategory of sfmon /  $\mathcal{V}$  determined by those functors whose domains have left duals.

However, for morphisms between weak bialgebras, we need a not-so-well-known notion.

5.4. DEFINITION. Let H and J be weak bialgebras in  $\mathcal{V}$ , and let  $f: H \longrightarrow J$  be an arrow in  $\mathcal{V}$ . We say that f is a weak morphism of weak bialgebras (compare [Szl03], Proposition 1.4; the notion here is the union of the notions there of "weak left morphism" and "weak right morphism") if it:

- 1. Commutes with the four canonical idempotents on H and J,
- 2. Strictly preserves the multiplications and units of H and J, and
- 3. Weakly preserves the comultiplications of H and J in the sense that:



The asymmetry between the preservation of multiplication and preservation of comultiplication corresponds to the choice of modules instead of comodules in the representation theory earlier. Had we chosen to work with comodules, we would instead consider the dual notion of morphisms which strictly preserve the comultiplication and counit but only weakly preserve the multiplication.

It is not too difficult to prove that the composite of two weak morphisms is a weak morphism. The first two conditions pose no difficulty; as for the third condition, we prove the second equality by the following:



In counter-clockwise order from top-left, the equalities hold since: g weakly preserves comultiplication; f weakly preserves comultiplication; g strictly preserves multiplication; associativity of multiplication and some braid axioms; g weakly preserves comultiplication; g strictly preserves units.

The first equality in condition 3 is proved similarly. In sum, weak morphisms between weak bialgebras in a braided monoidal category  $\mathcal{V}$  form a category which we write as **wba** $\mathcal{V}$ . We define a weak morphism of weak Hopf algebras to be a weak morphism between underlying weak bialgebras, and we denote this category by **wha** $\mathcal{V}$ .

5.5. PROPOSITION. Every strong morphism of weak bialgebras (that is, one strictly preserving the units, counits, multiplications and comultiplications) is a weak morphism of weak bialgebras, and, moreover, if the weak bialgebra is in fact a (non-weak) bialgebra, then the notions of weak and strong morphism coincide. In particular, this means that we have inclusions  $\mathbf{ba} \mathcal{V} \longrightarrow \mathbf{wba} \mathcal{V}$  and  $\mathbf{ha} \mathcal{V} \longrightarrow \mathbf{wha} \mathcal{V}$ .

# 5.6. EXTENSION OF TANNAKA RECONSTRUCTION TO MORPHISMS

In this section we extend Tannaka reconstruction of algebras described in Section 4 to a functor

$$\tan : \operatorname{sfmon} / \mathcal{V} \longrightarrow \operatorname{wba} \mathcal{V}$$

Suppose that



is a morphism  $H: F \longrightarrow G$  in **sfmon**  $\not V$ . We must obtain from such a commuting triangle a weak morphism of weak Hopf algebras  $\tan H: \tan G \longrightarrow \tan F$ . A morphism from  $\tan G$  into  $\tan F$  is the same thing as an action of  $\tan G$  on F; we take here the canonical action

$$\tan G \otimes F = \tan G \otimes GH \xrightarrow{\alpha H} GH = F$$

Graphically, we write this as:



where we have written F as green, H as red, and G as blue. Note that the boundaries of this definition are equal precisely because F = GH.

We must verify that  $\tan H$  strictly preserves the monoidal structures of  $\tan G$  and  $\tan F$  and weakly preserves their comultiplication. As for the unit, it is immediate:



And the multiplication is similarly easy:



However, as expected for a weak morphism of weak bialgebras,  $\tan H$  need not strictly preserve the comultiplications. On the one hand, we compute the discharged form of  $\tan G \xrightarrow{\Delta} \tan G \otimes \tan G \xrightarrow{\tan H \otimes \tan H} \tan F \otimes \tan F$ :



Whereas, on the other hand, we compute the discharged form of  $\tan G \xrightarrow{\tan H} \tan F \xrightarrow{\Delta} \tan F \otimes \tan F$ :



Certainly the above shows that, if H is strong monoidal,  $\tan H$  will preserve the comultiplications strictly.

As an aside, we investigate whether  $\tan H$  preserves the counits. On the one hand, we compute:

 $\tan G - \tan H =$ 

And on the other hand, we compute:



So we see that, for  $\tan H$  to preserve the counits, it suffices for H to be strong; specifically, for the composite  $\top \xrightarrow{\varphi_0} H \top \xrightarrow{\psi_0} \top$  to be the identity.

We proceed to show that  $\tan H$  weakly respects the comultiplications of  $\tan G$  and  $\tan H$ . We show the second equality of Condition 3 in the definition of weak morphism, the first equality is proved similarly. First, we compute the discharged form of  $\top \xrightarrow{\eta} \tan G \xrightarrow{\delta} \tan G \otimes \tan G$  as:



Second, exploiting the basic fact that the discharged form of a product is the composite of discharged forms, we see that the discharged form of



is:



where we have used the fact that G is separable followed by the naturality of the canonical action of  $\tan G$  on G. Thus,  $\tan H$  respects the comultiplications of  $\tan H$  and  $\tan G$  in the sense required of a weak morphism of weak bialgebras.

Finally, we must check that  $\tan H$  commutes with the four canonical idempotents. We show that  $(\tan H)r = r(\tan H)$  by the following chain of calculation:



Counter-clockwise from top-left, the equalities hold by: the discharged form of r from the left-hand column of Figure 4; the definition of  $\tan H$ ; naturality of action and the monoidality of F; the discharged form of r once again; and finally the definition of  $\tan H$  again. The proofs that  $\tan H$  respects the other three idempotents are similar.

Thus, we have that, for H an arrow in **sfmon**  $\not$  $\mathcal{V}$ , the arrow tan H is a weak morphism of weak bialgebras. It is routine to verify that tan defined on morphisms in this way preserves composition and identities; hence, we have a functor:

$$\tan : \mathbf{sfmon} \swarrow \mathcal{V} \longrightarrow (\mathbf{wba} \mathcal{V})^{\mathrm{op}}$$

And, if we restrict to the full subcategory of **sfmon**  $\not\subset \mathcal{V}$  consisting of functors with autonomous domain, we have a functor:

$$\tan\colon \mathbf{sfmon}^*/\mathcal{V} \longrightarrow (\mathbf{wha}\,\mathcal{V})^{\mathrm{op}}$$

5.7. EXTENSION OF THE REPRESENTATION THEORY TO MORPHISMS Let  $f: H \longrightarrow J$  be a weak morphism of weak bialgebras. We define  $f^* = K(f \text{-mod}): J - \text{mod}_K \longrightarrow H - \text{mod}_K$  to have action on objects:

$$f^*\left(a, a, a'\right) = \left(a, a, a'\right) = \left(a, a, a'\right)$$

and to be the identity on morphisms.

Since f strictly preserves the unit and the multiplication,  $f^*$  takes associative and unital *J*-modules to associative and unital *H*-modules, as required. It is clear that, as mere functors,  $U_H f^* = U_J$ . What is considerably more complicated is the separable Frobenius monoidal structure on  $f^*$ . Let us agree to abbreviate the right-hand side of the above definition as  $f^*a$ , to simplify notation. We compute



By condition 3 of f being a weak morphism of weak Hopf algebras, we can view  $\nabla_{a,b}$ as a monoidal structure  $f^*a \otimes_H f^*b \longrightarrow f^*(a \otimes_J b)$  as well as a comonoidal structure  $f^*(a \otimes_J b) \longrightarrow f^*a \otimes_H f^*b$ . Moreover, this is clearly separable, since the idempotent on  $f^*(a \otimes_J b)$  is  $\nabla_{a,b}$ . However, since the idempotent on  $f^*a \otimes_H f^*b$  is not equal to  $\nabla_{a,b}$ , the composite

$$f^*a \otimes_H f^*b \longrightarrow f^*(a \otimes_J b) \longrightarrow f^*a \otimes_H f^*b$$

is not necessarily the identity.

Furthermore, for the nullary structure, we compute:



We define  $\top_H \longrightarrow f^* \top_J$  to be ft and  $f^* \top_J \longrightarrow \top_H$  to be  $\int_{-\pi}^{\pi} \cdots \int_{-\pi}^{\pi} f^* \top_J$ . Notice that, when f is the identity, both the monoidal and comonoidal structure are t; which is to say that  $(-)^*$  preserves identities.

It is a somewhat lengthy verification to show that all of of the above maps are welldefined and constitute a separable Frobenius monoidal structure on  $f^*$ ; we consider the Frobenius axioms themselves (Equations 10), leaving the other details to the reader. To save space, we label each of the morphisms in the diagrams below with the element of  $H \otimes H \otimes H$  which acts on  $a \otimes b \otimes c$ , according to the definition of  $\nabla$  and the tensor products  $\otimes_H$  and  $\otimes_J$ . From the above definition:



Easy calculations show that the bottom-left composites of the above are:



Furthermore, the top-right composites of the above squares are calculated as:



Therefore, we see that these squares commute precisely because of the Weak Unit Axioms (Equations 5) for J.

Further calculations show that  $(gf)^* = g^*f^*$  as Frobenius monoidal functors; consequently, we obtain a functor:

$$\mathbf{mod}: \ (\mathbf{wba} \, \mathcal{V})^{\mathrm{op}} \longrightarrow \mathbf{sfmon} \, \swarrow \, \mathcal{V}$$

Since weak morphisms between weak Hopf algebras are simply weak morphisms between their underlying weak bialgebras, and strong monoidal functors between autonomous categories are simply strong monoidal functors between their underlying monoidal categories, this **mod** restricts to a functor:

$$\operatorname{\mathbf{mod}} \colon \operatorname{(\mathbf{wha} \mathcal{V})}^{\operatorname{op}} \longrightarrow \operatorname{\mathbf{sfmon}}^* / \mathcal{V}$$

# 6. The Tannaka Adjunction

In this section, we will show that the functors defined in the previous two sections form an adjunction, specifically:



Furthermore, there is a restricted adjunction:

$$\mathbf{sfmon}^* / \mathcal{V} \underbrace{ \downarrow}_{\mathbf{mod}}^{\mathrm{tan}} (\mathbf{wha} \, \mathcal{V})^{\mathrm{op}}$$

# 6.0.1. UNITS AND COUNITS

Let H be a weak Hopf algebra in  $\mathcal{V}$ . We define a unit  $\eta: H \longrightarrow \tan U_H$ , where  $U_H: H - \mathbf{mod} \longrightarrow \mathcal{V}$  is the forgetful functor. Specifically, we define  $\eta$  to correspond to the obvious action  $\tilde{\alpha}: H \otimes U_H \longrightarrow U_H$  whose component at an H-module  $(A, \alpha)$  is  $\alpha$ . This is readily checked to be natural in H, and a *strong* morphism of weak bialgebras; for instance, the following diagram shows that  $\eta$  respects the counits:



The irregular central cell commutes since  $\otimes$  is functorial; the cell marked  $\simeq$  commutes by naturality of  $\simeq$ ; the left-hand bubble commutes since H is a unital algebra; the right-hand bubble commutes by definition of  $\epsilon$ ; the cell marked  $\phi_0$  commutes by definition of  $\phi_0$ ; the cell marked  $\eta$  commutes by definition of  $\eta$ ; the cell marked  $\tilde{\alpha}$  commutes by definition of  $\tilde{\alpha}$ , since the tensor unit  $\top_H$  in  $H - \mathbf{mod}$  is  $(H, t\mu, t)$ ; the lower bubble is an easy calculation; and the cell labelled  $\psi_0$  commutes by the definition of  $\psi_0$  given in Section 5.

Suppose that  $\mathcal{V}$  admits reconstruction for a separable Frobenius monoidal functor  $F: A \longrightarrow \mathcal{V}$ . We define a (contravariant) counit  $\epsilon_F: A \longrightarrow (\tan F)$ -mod by taking every object x of A to Fx equipped with the canonical tan F action. Specifically:

$$\epsilon x = \left(Fx, \tan F \otimes Fx \xrightarrow{\alpha} Fx, Fx\right)$$

Given this, we compute:

$$\epsilon(x \otimes y) = \left(F(x \otimes y), \tan F \otimes F(x \otimes y) \xrightarrow{\alpha} F(x \otimes y), F(x \otimes y)\right)$$

$$= \left(F(x \otimes y), \underbrace{x \otimes F_{x} \otimes F_{y}}_{y \otimes y}, \underbrace{y \otimes F_{y} \otimes F_{y}}_{y \otimes y}\right)$$

$$\epsilon x \otimes \epsilon y = \left(Fx, \tan F \otimes Fx \xrightarrow{\alpha} Fx, Fx\right) \otimes \left(Fy, \tan F \otimes Fy \xrightarrow{\alpha} Fy, Fy\right)$$

$$= \left(Fx \otimes Fy, \underbrace{x \otimes Fy}_{y \otimes y}, \underbrace{y \otimes Fy}_{y \otimes y}, \underbrace{y \otimes Fy}_{y \otimes y}\right)$$

We therefore take the binary monoidal and comonoidal structures on  $\epsilon$  to be those of F, this is well-defined as a map of actions and a map of idempotents precisely because F is separable.

As for the nullary monoidal and comonoidal structures on  $\epsilon$ , we compute:

$$\epsilon \top_A = \left( F \top, \tan F \otimes F \top \xrightarrow{\alpha} F \top, F \top \right)$$
$$\top_{(\tan F) \cdot \mathbf{mod}} = \left( \tan F, \tan F \otimes \tan F \xrightarrow{\mu} \tan F \xrightarrow{t} \tan F, t_{\tan H} \right)$$

We therefore define the nullary monoidal structure  $\phi_0: \top \longrightarrow \epsilon \top$  to be:

$$\tan F \xrightarrow{\simeq^{-1}} \tan F \otimes \top \xrightarrow{\tan F \otimes \phi_0} \tan F \otimes F \top \xrightarrow{\alpha} F \top$$

and we define the nullary comonoidal structure  $\psi_0 \colon \epsilon \top \longrightarrow \top$  to be the map  $F \top \longrightarrow \tan F$  corresponding to the action of  $F \top$  on F defined by:

$$F \top \otimes Fx \xrightarrow{\phi} F(\top \otimes x) \xrightarrow{F\simeq} Fx$$

Graphically, this defines  $\psi_0$  as the unique map such that:



One checks at some length that  $\phi_0$  and  $\psi_0$  so defined are maps of idempotents, are maps of actions, are mutually inverse, form coherent monoidal and comonoidal structures on  $\epsilon$ , and render  $\epsilon U_{\tan F} = F$  as Frobenius functors. To see that they are mutually inverse, for instance, one first computes:



and furthermore, that



which we recognize from the right-hand-side of Figure 4 as the discharged form of the idempotent t on tan F, as required. Furthermore,  $\epsilon$  commutes with F and  $U_{\tan F}$  as a Frobenius functor since F is separable. Note in particular that, although F is not strong,  $\epsilon$  is strong, since the identity on  $\epsilon x \otimes \epsilon y$  is the idempotent given.

Hence, this  $\epsilon$  defines a morphism  $F \longrightarrow U_{\tan F}$  in sfmon  $\swarrow \mathcal{V}$  and is, in fact, strong monoidal. Furthermore, it is easily seen to be natural in F.

We must verify the triangle identities for the adjunction  $\tan \dashv \mathbf{mod}$ . On the one hand, let a weak bialgebra H be given, we must show that

$$\mathbf{mod}H \xrightarrow{\epsilon_{U_H}} \mathbf{mod} (\tan U_H) \xrightarrow{\mathbf{mod}(\eta_H)} \mathbf{mod}H$$

is the identity. Hence, let  $(a, \gamma: H \otimes a \longrightarrow a)$  in **mod** *H* be given. We compute that

$$\mathbf{mod}(\eta_H) \epsilon_{U_H} \left( a, H \otimes a \xrightarrow{\gamma} a \right) = \mathbf{mod}(\eta_H) \left( a, \tan U_H \otimes U_H(a, \gamma) \xrightarrow{\alpha} U_H(a, \gamma) \right)$$
$$= \left( a, H \otimes U_H(a, \gamma) \xrightarrow{\eta_N \otimes U_H(a, \gamma)} \tan U_H \otimes U_H(a, \gamma) \xrightarrow{\alpha} U_H(a, \gamma) \right)$$
$$= \left( a, H \otimes U_H(a, \gamma) \xrightarrow{\alpha} U_H(a, \gamma) \right)$$
$$= \left( a, H \otimes a \xrightarrow{\gamma} a \right)$$

Where the equalities hold: by definition of  $\epsilon$ , by definition of **mod**, and by definition of  $\eta$ . On the other hand, let  $F: A \longrightarrow \mathcal{V}$  be a separable Frobenius monoidal functor for which  $\mathcal{V}$  admits reconstruction; we must show that

$$\tan F \xrightarrow{\eta_{\tan F}} \tan U_{\tan F} \xrightarrow{\tan \epsilon_F} \tan F$$

is the identity. For this, consider the following diagram:



The upper cell commutes since  $U_{\tan F}\epsilon_F = F$ ; the left-hand cell commutes by definition of  $\epsilon$ ; the right-hand cell commutes by definition of tan; and the central cell commutes by definition of  $\eta$ . Hence, we have shown that:

$$\alpha \left( \tan \epsilon_F \eta_{\tan F} \otimes F \right) = \alpha$$

which, by the universal property of  $\alpha$ , gives

$$\tan \epsilon_F \eta_{\tan F} = \tan F$$

as desired. Hence, we have that  $\tan \dashv \mathbf{mod}$ , as desired.

Furthermore, we have noted that the components of  $\eta$  and  $\epsilon$  are actually strong, and that the functors tan and **mod** are well-defined when simultaneously restricted to strong morphisms of weak bialgebras and strong monoidal functors between separable Frobenius functors. Therefore, this restricted "tan" is left adjoint to this restricted "**mod**". This restricted adjunction is well-known; see, for instance, Section 16 of Street [Str07].

So, we have proved:

#### 6.1. PROPOSITION. There is a linked pair of adjunctions:



Where the diagram commutes serially. Furthermore, we can restrict to non-weak bialgebras and strong monoidal functors, and the above adjunctions restrict to the well-known adjunctions:



There is an evident quadruple of inclusions from the four categories in this last diagram to the four categories in the first diagram, making in all a commutative square of adjunctions.

6.2. The Separable Frobenius Algebra Associated to  $\tan F$ 

We have seen above that the nullary monoidal and comonoidal structures of the functor  $\epsilon$ —namely,  $\phi_0: F \top \longrightarrow \tan F$  and  $\psi_0: \tan F \longrightarrow F \top$ —have the property that  $\phi_0 \psi_0 = t_{\tan F}$  and  $\psi_0 \phi_0 = F \top$ ; that is, we have witnessed  $F \top$  as a splitting of  $t_{\tan F}$ .

It is shown by Schauenburg (Proposition 4.2 of [Sch03], see also Pastro and Street [PS09]) that a splitting  $(\alpha, \beta)$  of the idempotent  $t: H \longrightarrow H$  on a weak Hopf algebra H inherits

a separable Frobenius structure from the weak bialgebra structure of H. Specifically:

$$\mu' = h \otimes h \xrightarrow{\beta \otimes \beta} H \otimes H \xrightarrow{\mu} H \xrightarrow{\alpha} h$$
$$\delta' = h \xrightarrow{\beta} H \xrightarrow{\delta} H \otimes H \xrightarrow{\alpha \otimes \alpha} h \otimes h$$
$$\epsilon' = h \xrightarrow{\beta} H \xrightarrow{\epsilon} \top$$
$$\eta' = \top \xrightarrow{\eta} H \xrightarrow{\alpha} h$$

We can calculate the explicit forms of this structure in the case where  $(\alpha, \beta) = (\psi_0, \phi_0)$ , to find that these four maps are given by:



Trivially,  $\top$  bears a Frobenius algebra structure in A, hence, so too does its image  $F \top$  under the separable Frobenius functor F. The above calculation proves a conjecture of Dimitri Chikhladze that these two Frobenius algebra structures on  $F \top$  coincide.

7. Change of Base for the Tannaka Adjunction

We have seen that, for fixed  $\mathcal{V}$ , there is an adjunction:



Now let us consider what happens when we vary the base category  $\mathcal{V}$ . We must define a suitable category through which  $\mathcal{V}$  is to vary.

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7.1. DEFINITION. Denote by  $\mathbb{K}$  the 2-category whose objects are braided monoidal categories, whose arrows are separable Frobenius monoidal functors which are braided (both as monoidal functors and as comonoidal functors) and preserve reconstruction objects, and whose 2-cells are monoidal and comonoidal natural transformations.

7.2. PROPOSITION. There is a 2-functor sfmon  $\swarrow -: \mathbb{K} \longrightarrow \mathbf{Cat}$  whose value at a braided category  $\mathcal{V}$  is sfmon  $\swarrow \mathcal{V}$  as defined above.

PROOF. For each object  $\mathcal{V}$  in  $\mathbb{K}$ , we define  $\operatorname{sfmon} / \mathcal{V}$  as above, namely, the subcategory of  $\operatorname{sfmon}/\mathcal{V}$  consisting of those functors for which  $\mathcal{V}$  admits reconstruction. If  $\Phi: \mathcal{V} \longrightarrow \mathcal{W}$  is an arrow in  $\mathbb{K}$ , then composition with  $\Phi$  defines a functor  $\operatorname{sfmon} / \Phi: \operatorname{sfmon} / \mathcal{V} \longrightarrow$ sfmon  $/ \mathcal{W}$ , since  $\Phi$  preserves reconstruction objects. Similarly, given  $\Phi, \Psi: \mathcal{V} \longrightarrow \mathcal{W}$  and  $\alpha: \Phi \Longrightarrow \Psi$  in  $\mathbb{K}$ , then  $\operatorname{sfmon} / \alpha: \operatorname{sfmon} / \Phi \longrightarrow \operatorname{sfmon} / \Psi$  defines a natural transformation whose value at an object  $F: A \longrightarrow \mathcal{V}$  of  $\operatorname{sfmon} / \mathcal{V}$  is  $\alpha$  whiskered by F. Verification of the 2-functor axioms is routine.

We will require the following:

7.3. LEMMA. [The Bow Lemma] If F is a Frobenius functor which is braided as a monoidal functor or braided as a comonoidal functor, then the following equation holds:



PROOF. We present the case where F is known to be braided as a comonoidal functor; a dual proof can be obtained by taking horizontal flips of every step. Consider the following calculation:



The first equality is simply the insertion of an isomorphism (in the codomain) and its inverse. The second equality uses the braidedness of the functor on the left and the naturality of the braid on the right. The third equality uses a Frobenius axiom followed by another instance of the braidedness of the functor. Finally, the last equality simply cancels out an isomorphism (in the domain) with its inverse.

7.4. PROPOSITION. There is a 2-functor  $\mathbf{wba} - : \mathbb{K} \longrightarrow \mathbf{Cat}$  whose value at a braided category  $\mathcal{V}$  is  $\mathbf{wba} \mathcal{V}$  as defined above.

PROOF. Let  $\Phi: \mathcal{V} \longrightarrow \mathcal{W}$  be an arrow in  $\mathbb{K}$ . Define  $\mathbf{wba} \Phi: \mathbf{wba} \mathcal{V} \longrightarrow \mathbf{wba} \mathcal{W}$  as follows: Let  $(B, \delta, \mu, \eta, \epsilon)$  be a weak bialgebra in  $\mathbf{wba} \mathcal{V}$ . Define  $(\mathbf{wba} \Phi)B$  to be  $\Phi B$  equipped with suitably conjugated versions of the structural maps of  $\mathcal{V}$ , this is again a weak bialgebra. To see that  $(\mathbf{wba} \Phi)B$  satisfies the weak counit axioms, consider the following calculation:



The first equality in the first line uses the fact that  $\Phi$  is braided as a monoidal functor; after that, the equalities in both lines follow from the Frobenius axioms, followed by the weak bialgebra counit axioms in the domain. The weak unit axioms are satisfied by the horizontally flipped versions of the same calculations; this will use the fact that  $\Phi$  is braided as a comonoidal functor.

Finally, we must verify the bialgebra axiom. To this end, consider the following:



The first equality holds by the Bow Lemma, the second by both Frobenius axioms and separability of  $\Phi$ , and the last by the bialgebra axiom in  $\mathcal{V}$ . Thus,  $(\mathbf{wba} \Phi)B$  is a weak bialgebra as defined.

Let arrows  $\Phi, \Psi: \mathcal{V} \longrightarrow \mathcal{W}$  and 2-cell  $\alpha: \Phi \Longrightarrow \Psi$  in  $\mathbb{K}$  be given. Then define  $\mathbf{wba} \alpha: \mathbf{wba} \Phi \Longrightarrow \mathbf{wba} \Psi$  to be  $\alpha B: \Phi B \longrightarrow \Psi B$ . Since  $\alpha$  is monoidal and comonoidal, this defines a *strict* morphism of weak bialgebras, although we will not need this fact.

Verifying that wba - so defined satisfies the 2-functor axioms is straightforward.

With these definitions in hand, we discuss the naturality in  $\mathcal{V}$  of Tannaka duality over  $\mathcal{V}$ .

7.5. PROPOSITION. There is a lax natural transformation  $\tan_{-}$  from sfmon  $\swarrow - to$   $(\mathbf{wba} -)^{\mathrm{op}}$ , whose value at a braided  $\mathcal{V}$  is the functor  $\tan_{\mathcal{V}}$ : sfmon  $\measuredangle \mathcal{V} \longrightarrow (\mathbf{wba} \mathcal{V})^{\mathrm{op}}$  discussed above.

**PROOF.** As promised, we define the 1-cells of the lax natural transformation  $\tan_{-}$  to be  $\tan_{\mathcal{V}}$  for each object  $\mathcal{V}$  of  $\mathbb{K}$ . Given an arrow  $\Phi: \mathcal{V} \longrightarrow \mathcal{W}$  in  $\mathbb{K}$ , define the 2-cells of the lax natural transformation  $\tan_{-}$  to be  $\rho\Phi$ :



where  $\rho \Phi$  is defined at an object  $F \in \mathbf{sfmon} \swarrow \mathcal{V}$  as the morphism

```
\rho \Phi F \colon \Phi \tan F \longrightarrow \tan \Phi F
```

in  $(\mathbf{wba} \mathcal{W})^{\mathrm{op}}$  corresponding to

$$\Phi \tan F \otimes \Phi F \xrightarrow{\varphi} \Phi (\tan F \otimes F) \xrightarrow{\Phi_{\alpha}} \Phi F$$

Verifying that this is natural in F is a routine unravelling of the definitions of  $\rho$  and  $\tan_{-}$  on arrows.

We must show that  $\rho\Phi$  so defined is a weak morphism of weak bialgebras. In fact, it is a *strong* morphism of weak bialgebras.

First, to see that  $\rho \Phi$  preserves the unit, consider:



The equalities hold by: definition of  $\rho$ ; naturality and monoidality of the monoidal structure of  $\Phi$ ; the definition of the unit of tan F; and the definition of the unit of tan  $\Phi F$ .

Second, to see that  $\rho\Phi$  preserves the counit, consider:



The equalities hold by: definition of the counit of  $\tan \Phi F$ ; definition of  $\rho$ ; naturality and monoidality of the monoidal structure of  $\Phi$ ; and the definition of the counit of  $\tan F$ .

Third, to see that  $\rho\Phi$  preserves the multiplication, consider:



The equalities hold by: definition of the multiplication of  $\tan \Phi F$ ; definition of  $\rho$ ; naturality and associativity of the monoidal structure of  $\Phi$ ; and the definition of  $\rho$  once more.

Fourthly and finally, to see that  $\rho \Phi$  preserves the comultiplication, see Figure 7



Figure 7: Preservation of comultiplication by  $\rho\Phi$ . Counterclockwise from top-left, the equalities hold by: definition of  $\rho$ ; the bow lemma for  $\Phi$ ; Frobenius and associativity axioms for  $\Phi$ ; the definition of the comultiplication of  $\tan F$ ; the definition of  $\rho$  again; and, finally, the definition of the comultiplication of  $\tan \Phi F$ .

Verifying the lax natural transformation axioms is routine.

Since, for each  $\mathcal{V}$ , the functor  $\tan_{\mathcal{V}}$  has a right adjoint, an application of "Australian"

mates" to this lax natural transformation  $\rho$  yields an oplax natural transformation



Given a weak bialgebra B in  $\mathcal{V}$ , the behaviour of  $\gamma \colon \mathbf{mod}_{\mathcal{V}}B \longrightarrow \mathbf{mod}_{\mathcal{W}}\Phi B$  can be calculated as

$$\gamma\left(a, B \otimes a \xrightarrow{\beta} a, \nabla_a \colon a \longrightarrow a\right) = \left(\Phi a, \Phi B \otimes \Phi a \xrightarrow{\varphi} \Phi(B \otimes a) \xrightarrow{\Phi\beta} \Phi a, \Phi \nabla_a\right)$$

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